



09-14-2001



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FORM PTO-1595

TRANSM

ATION

Atty. Docket: 3073-24

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Hsing CHEN

Additional name(s) of conveying party(ies) attached?

☐ Yes

☒ No

2. Name and address of receiving party(ies):

Name: SOLIDLITE CORPORATION

Foreign Address: 3F, No. 80, Shan Jeng 9 Road

Ju-Bei City, Shinchu

Taiwan, R.O.C.

Domestic Address: _____

City: _____ State _____ ZIP _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: June 27, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent Registration No.(s) 5,962,971

Additional numbers attached?

☐ Yes

☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: DOUGHERTY & TROXELL

5205 Leesburg Pike

Suite 1404

Falls Church, Virginia 22041-3401

Telephone No.: (703) 845-0758

Facsimile No.: (703) 575-2707

6. Number of applications and patents involved:
One (1)

7. Total fee (37 CFR 3.41): . . . \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number _____

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David E. Dougherty

Name of Person Signing
Registration No. 19,576

[Signature]
Signature

September 7, 2001

Date

09/13/2001 DBYRNE 00000164 5962971

Total number of pages including cover sheet, attachments, and documents: 2

01 FC:581

40.00

PATENT
REEL: 012145 FRAME: 0742

UTILITY - US ONLY - WITNESS

ASSIGNMENTWHEREAS, I (we), **Hsing CHEN**

whose post office address(es) appear(s) below, hereinafter referred to as ASSIGNOR, is(are) the owner of certain new and useful improvements in **LED STRUCTURE WITH ULTRAVIOLET-LIGHT EMISSION CHIP AND MULTILAYERED RESINS TO GENERATE VARIOUS COLORED LIGHTS**

(hereinafter referred to as the INVENTION) for which an application for United States Letters Patent was

☐ executed on even date herewith

☐ executed on

☒ filed on: **Aug. 29, 1997**

Serial No: **08/921,071**

☐ established by PCT International Patent Application No. _____
designating the United States of America

filed:

WHEREAS, **SOLIDLITE CORPORATION**

whose post office address is **3F, No. 80, Shan Jeng 9 Road, Ju-Bei City, Hsinchu, Taiwan, R.O.C.** hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to the same in the United States;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, I (we), ASSIGNOR, by these presents do sell, assign and transfer unto said ASSIGNEE, the entire right, title, and interest in and to said INVENTION and application throughout the United States of America, including any and all Letters Patent granted on any division, continuation, continuation-in-part and reissue of said application.

ALSO, ASSIGNOR hereby agrees to execute any documents that legally may be required in connection with the filing, prosecution and maintenance of said application or any other patent application(s) in the United States for said INVENTION, including additional documents that may be required to affirm the rights of ASSIGNEE in and to said INVENTION, all without further consideration. ASSIGNOR also agrees, without further consideration and at ASSIGNEE's expense, to identify and communicate to ASSIGNEE at ASSIGNEE's request documents and information concerning the INVENTION that are within ASSIGNOR's possession or control, and to provide further assurances and testimony on behalf of ASSIGNEE that lawfully may be required of ASSIGNOR in respect of the prosecution, maintenance and defense of any patent application or patent encompassed within the terms of this instrument. ASSIGNOR's obligations under this instrument shall extend to ASSIGNOR's heirs, executors, administrators and other legal representatives.

ALSO, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters Patent referred to above to ASSIGNEE, as the ASSIGNEE of the entire right, title and interest in and to the same, for ASSIGNEE's sole use and behoof, and for the use and behoof of ASSIGNEE's legal representatives and successors, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR authorizes any member of the firm of **DOUGHERTY & TROXELL** to insert or complete any information in this document needed to effect its recordal in the U.S. Patent and Trademark Office.

Assignor Name Hsing CHEN	Address 5F, No. 83, Jen-Ai St., Hsinchu City, Taiwan, R.O.C.
Where Signed	
Date June - 29 - 2001	Signature <i>Hsing Chen</i>
Witness (optional)	Witness (optional)
Print/Type Name Hsing CHEN	Print/Type Name
Signature	Signature

☐ Continued on Next Page