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U.S. Department of Commerce  
Patent and Trademark Office

## 1. Name of conveying party(ies)

- A) JURAJ BABJAK  
B) FENG ZOU  
C) STEPHEN JOSEPH BAKSA  
D) VICTOR ALEXANDER ETTTEL
- 09/04/01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: INCO LIMITEDInternal Address: (SAME AS BELOW)Street Address(es): 145 KING STREET WEST, SUITE 1500City: TORONTO Province: ONTARIO ZIP: M5H 4B7Country: CANADAAdditional name(s) & address(es) attached? ☐ Yes ☒ NoJC997 U.S. PTO  
09/945869

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: AUGUST 28<sup>TH</sup>, 2001

## 4. Application number or patent number(s)

If this document is being filed together with a new application, the execution date of the application is: AUGUST 28<sup>TH</sup>, 2001

## A. Patent Application No.

09/07/2001 EHAILE1 00000035 09945869

02 FC:581

40.00 DP

## B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence

Name: EDWARD A. STEENInternal Address: INCO PATENTS & LICENSINGPARK 80 WEST - PLAZA TWO, SADDLE BROOK, NJ 07663Street Address: SAME AS ABOVECity: SADDLE BROOK State: NJ ZIP: 07663

## 6. Total number of applications involved: [ 1 ]

7. Total Fee (37 CFR 3.41) . \$40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

## 8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Edward A. Steen

Name of Person Signing

  
Signature9/04/01  
Date

Total number of pages comprising cover sheet [ 2 ]

PATENT  
REEL: 012147 FRAME: 0392

[Serial No. \_\_\_\_\_]

Assignment of Invention and Application

## ASSIGNMENT

WHEREAS 1) JURAJ BABJAK, 2) FENG ZOU, 3) STEPHEN JOSEPH BAKSA &  
4) VICTOR ALEXANDER ETTTEL

OF:

1) 671 Lewis Drive, Mississauga, Ontario, Canada L5B 2V4

2) 1547 Mississauga Valley Blvd., Apt. 907, Mississauga, Ontario, Canada L5A 3X8

3) 1477 Elm Road, Oakville, Ontario, Canada L6H 1W3

4) 2356 Wadding Crescent, Mississauga, Ontario, Canada L5K 1Z3

hereinafter called the Assignor(s) have/has made an invention relating to certain new and useful improvements in  
**METHOD FOR REDUCING THE CRYSTALLINITY OF POWDERS**

for which WE make/made an application for Letters Patent of the United States, for which on August 28, 2001,  
we executed application for United States Letters Patent.

AND WHEREAS, INCO LIMITED - 145 KING STREET WEST, SUITE 1500, TORONTO, ONTARIO, CANADA M5H 4B7 hereinafter called the Assignee(s), are desirous of acquiring the entire right, title and interest in and to said invention within the United States of America and its territorial possessions, said application for United States Letters Patent, and any and all United States Letters patent that may be granted therefor, and in and to said invention within all foreign countries and corresponding foreign Letters Patent that may be granted therefor.

NOW THEREFORE, for good and valuable considerations, the receipt whereof is hereby acknowledged, the Assignor(s) have/has assigned and transferred, and do/does hereby assign and transfer to said Assignee(s), the entire right, title and interest in and to said invention within the United States of America and its territorial possessions, in and to said application for United States Letters Patent, and in and to any and all Letters Patent of the United States that may be granted therefor and any and all divisions, reissues, continuations, continuations-in-part, renewals, reexaminations, provisionals, substitutes, and/or extensions thereof, together with the right to extend the protection thereof to the various territorial possessions now owned or which may be hereafter acquired by the United States of America, and in and to said invention within all foreign countries and corresponding foreign Letters Patent that may be granted therefor, all said rights to be held and enjoyed by the Assignee(s) for their own use and behoof, and for the use and behoof of its successors, nominees and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by said Assignor(s) if this assignment and sale had not been made. And the Assignor(s) do/does hereby request and authorize the Commissioner of Patents to issue said Letters Patent, when granted, in accordance with this assignment.

IN FURTHER CONSIDERATION for good and valuable considerations, the Assignor(s) covenant(s) and agree(s) with the Assignee(s) that the Assignor(s) have/has a full and unencumbered title to the invention hereby assigned, which title and Assignor(s) warrant(s) unto the Assignee(s); that no license has been granted to make, use or vend said invention, that the Assignor(s) have/has the full right to make this assignment; and the Assignor(s) further agree(s) that THEY will, without demanding any further consideration therefor, at the request but at the charges of the Assignee(s), do all lawful and just acts, including the execution and acknowledgment of instruments that may be or become necessary for obtaining, sustaining, reissuing, disclaiming reexamining or extending the aforesaid Letters Patent for said invention and for maintaining and perfecting the right of the Assignee(s) and/or their nominees to said invention and Letters Patent, particularly in cases of interferences and litigation.

IN TESTIMONY WHEREOF the Assignor(s) have/has hereunto set their/his hand(s)

[1] this 28 day of Aug., 2001

Juraj Babjak  
JURAJ BABJAK

[2] this 28 day of Aug., 2001

Feng Zou  
FENG ZOU

[3] this 28 day of Aug., 2001


Stephen Joseph Baksa  
STEPHEN JOSEPH BAKSA

[4] this 28 day of Aug., 2001

Victor Alexander Ettel  
VICTOR ALEXANDER ETTTEL

Province of Ontario )  
Region of Peel ) ss.:  
District of Peel )

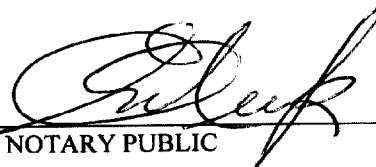
On the 28 day of AUGUST, 2001, before me personally appeared **JURAJ BABJAK** to me known and known to me to be the individual(s) described in and who executed the foregoing instrument, and he acknowledged to me that he executed the same.

  
NOTARY PUBLIC

[NOTARIAL SEAL]

Province of Ontario )  
Region of Peel ) ss.:  
District of Peel )

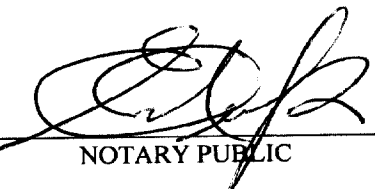
On the 28 day of AUGUST, 2001, before me personally appeared **FENG ZOU** to me known and known to me to be the individual(s) described in and who executed the foregoing instrument, and he acknowledged to me that he executed the same.

  
NOTARY PUBLIC

[NOTARIAL SEAL]

Province of Ontario )  
Region of Peel ) ss.:  
District of Peel )

On the \_\_\_\_ day of \_\_\_\_\_, 2001, before me personally appeared **STEPHEN JOSEPH BAKSA & VICTOR ALEXANDER ETTTEL** to me known and known to me to be the individual(s) described in and who executed the foregoing instrument, and he acknowledged to me that he executed the same.

  
NOTARY PUBLIC

[NOTARIAL SEAL]