

09-17-2001

Attorney Docket No. 020681-000310US

Form PTO-1595

(Rev. 03-01)

OMB No. 0651-0027 (exp. 5/31/2002)



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Sheet

U.S. Department of Commerce
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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Martin T. Baker

Additional name(s) of conveying parties attached? ☒ Yes ☐ No.

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other: _____

Execution Date: 8/23/01 and 8/31/01

2. Name and address of receiving party(ies)

Name: OPTIME Therapeutics, Inc.

Internal Address: _____

Street Address:

1333 N. McDowell Blvd, Suite A

City: Petaluma, State: CA ZIP: 94954-7106

Additional names and addresses attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s): 09/831,552

B. Patent No(s): _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Joseph R. Snyder
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

Joseph R. Snyder

Name of Person Signing
Atty. Reg. No. 39,381

Signature

September 7, 2001

Date

Total number of pages including cover sheet, attachments and document 4

Mail documents to be recorded with required cover to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

09/17/2001 6TON11 00000060 201430 09831552

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PATENT
REEL: 012151 FRAME: 0899

1. Additional name(s) of conveying party(ies):
(Continued from Page 1)

William A. Heriot

2. Additional name(s) and address(es) of receiving party(ies):
(Continued from Page 1)

3. Additional application number(s) or patent number(s):
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

SF 1267326 v1

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Martin T. Baker, of 509 Maria Drive, Petaluma, CA 94954-1158; William A. Heriot, of 611 Sunset Cliff Circle, Las Vegas, NV 89123; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **METHOD AND APPARATUS FOR LIPOSOME PRODUCTION**

Date(s) of execution of Declaration:

Filing Date: May 11, 2001

Application No.: 09/831,552; and

WHEREAS, OPTIME Therapeutics, Inc., a corporation of United States, located at 1333 N. McDowell Blvd., Suite A, , Petaluma, , CA, 94954-7106, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

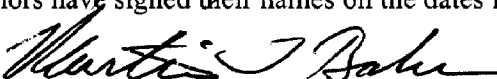
For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8th Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

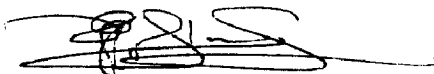
IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 08-23-01

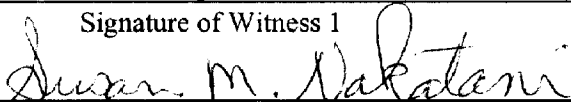

Martin T. Baker

SIGNATURE WITNESSED BY:

(1) Date: 08/23/2001


Signature of Witness 1

(2) Date: 08/23/2001



Signature of Witness 2

Dated: 8/31/01

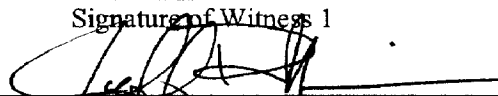

William A. Heriot

SIGNATURE WITNESSED BY:

(1) Date: 8/31/01


Signature of Witness 1

(2) Date: 31 Aug 01


Signature of Witness 2

SF 1259235 v1