09-17-20	001
FORM PTO-1596 (Rev. 6-93) OMB-0651-0011	US DEPARTMENT OF COMMERCE
To the Honorable Commissioner 1018456	ne attached original documents or copy thereof.
Name of conveying party(ies): /	2. Name and address of receiving party(ies)
1. Dan Mark Marom /3/0/	Name: Lucent Technologies Inc.
8/5.(1)	Internal Address:
	24
Additional name(s) of conveying party(ies) attached? Yes No	600
3. Nature of conveyance:	Street Address: 600 Mountain Avenue
	P.O. Box 636
Security Agreement Change of Name	City: Murray Hill State: NJ ZIP: 07974-0636
Other	
Execution Date(s): August 31,2001	Additional name(s) & address(es) attached? Yes X No
4. Application number(s) or patent number(s):	09/944802
If this document is being filed together with a new application, the execution date of the application is: Aug. 31, 2001	
A. Patent Application No.(s) 60/300272	B. Patent No.(s)
Additional numbers attached? Yes I No	
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1
Name: Docket Administrator	7. Total fee (37 CFR 3.41) \$40.00
Internal Address: Room 3J-219	☐ Enclosed
	Authorized to be charged to deposit account
	8. Deposit account number: 12-2325
Street Address: 101 Crawfords Corner Road	
City: Holmdel State: NJ ZIP: 07733-3030	(Attach duplicate copy of this page if paying by deposit account)
DO NOT USE THIS SPACE	
9. Statement and signature.	
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.	
Barry H. Freedman Name of Person Signing Signature 831/0/ Signature Date	
Total number of pages including cover sheet, attachments, and document: 3	
Mail documents to be recorded with required cover sheet information to:	

Commissioner of Patents & Trademarks, Box Assignment

Washington, D.C. 20231

Case Name/No.: Marom 3

09/14/2001 AAHMED1 00000120 122325 09944802 40.00 CH 01 FC:581

ASSIGNMENT AND AGREEMENT

For value received, I, Dan Mark Marom, of Howell in the County of Monmouth and the State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A. and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to Tunable, Multi-Port Optical Add-Drop Multiplexer described in an application for Letters Patent of the United States, Serial No. 60/300272, filed June 22, 2001, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection. including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

I authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

I request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

> PATENT REEL: 012155 FRAME: 0497

I agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Date: $\frac{8}{3}$

United States of America)

State of New Jossey) ss.: County of Monmouth

On this 31th day of august me personally came, Dan Mark Marom to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

SHARON L. LOBOSCO Notary Public, State of New Jersey No. 2278076 Qualified in Ocean County Commisssion Expires August 14, 2006

Lucent Technologies Inc. Docket Administrator (Room 3C-512) Lucent Technologies Inc. 101 Crawfords Corner Road Holmdel, NJ 07733-3030

RECORDED: 08/31/2001

PATENT **REEL: 012155 FRAME: 0498**