

09-18-2001

HEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



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To the Honorable Commissioner of F.

101846719

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kenneth E. Kadziauskas
Mark E. Steen

09/07/01

2. Name and address of receiving party(ies):

Name: **ALLERGAN SALES, INC.**Address: **2525 Dupont Drive**

J1033 U.S. PTO
09/949405
09/07/01

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherCity: **Irvine**State/Prov.: **CA**Country: **US**ZIP: **92612**Execution Date: **08/30/2001**

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

09/949405

09/12/2001 MAHME1 00000085 09949405

02 FC:581

40.00 OP

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Walter A. Hackler, Ph.D.**Registration No. **27,792**Address: **2372 S.E. Bristol, Suite B**City: **Newport Beach**State/Prov.: **CA**Country: **US**ZIP: **92660-0755**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

08-0114

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Walter A. Hackler, Ph.D.

Signature

Date

Name of Person Signing

3

Total number of pages including cover sheet, attachments, and

PATENT

REEL: 012161 FRAME: 0011

ASSIGNMENT

WHEREAS WE, Kenneth E. Kadziauskas residing at 24 Pamela Way
Coto de Caza, California 92679
 USA, and Mark E. Steen residing at 16044 Medlar Lane
Chino Hills, California
 USA, and _____ residing at _____

 USA, and _____ residing at _____

(hereinafter referred to as ASSIGNORS), have invented and own a certain invention entitled: CATARACT EXTRACTION APPARATUS AND METHOD

for which application for Letter Patent of the United States has been executed on even date herewith.

WHEREAS: Allergan Sales, Inc., having its principal place of business at 2525 Dupont, Irvine, CA 92612 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, divisional, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalent thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal this
August 30 2001

Kenneth E. Kadziauskas

Kenneth E. Kadziauskas

State of California

County of Orange

) ss:
)

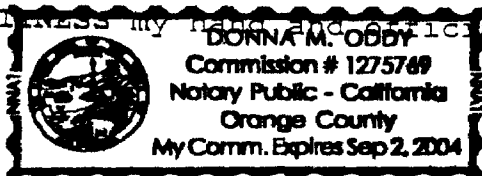
On August 30, 2001 before me, Donna M. Oddy

Personally appeared

Kenneth E. Kadziauskas

Personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature(s) on the instrument the person, or the entity upon behalf of which there person(s) acted, executed the instruments.

WITNESS my hand and official seal.



Donna M. Oddy
 Notary Public

IN WITNESS WHEREOF, We have hereunto set hand and seal this
August 30 2001

Mark E. Steen

Mark E. Steen

State of California

County of Orange

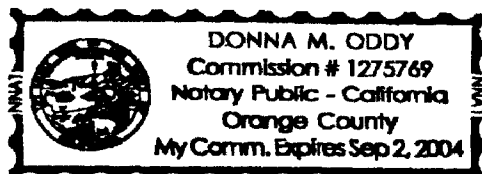
) ss:
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On August 30, 2001 before me, Donna M. Oddy
 Personally appeared

Mark E. Steen

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WITNESS my hand and official seal.



Donna M. Oddy
 Notary Public