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09-17-2001



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HEET

Application Number	09/393,753
Confirmation Number	To Be Assigned
Filing Date	September 10, 1999
First Named Inventor	TUGANOV
Examiner	A. Rodriguez
Group Art	2877
Attorney Docket No.	NUFO015

ADDRESS TO:

Commissioner of Patents and Trademarks
Box Assignment
Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Emmerich Mueller

09/10/01

Additional name(s) of conveying party(ies) attached?

☐ Yes
☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution Date(s): 11-08-1999

2. Name and address of receiving party(ies):

Name: New Focus, Inc.

Internal Address:

Street Address: 5215 Hellyer Avenue

City: San Jose State: CA Zip: 95138

Additional name(s) & address(es) attached?

☐ Yes
☒ No

4. Application number(s) or patent number(s): 1

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application Number(s):
09/393,753

B. Patent Number(s):

5. Name and address of party to whom correspondence
concerning document should be mailed:

Name: Robert C. Hall
Street Address: BOZICEVIC, FIELD & FRANCIS, LLP
200 Middlefield Road, Suite 200
Menlo Park, CA 94025

Telephone: (650) 327-3400

Facsimile: (650) 327-3231

6. Total number of applications and
patents involved: _____

7. Total fee (37 CFR 3.41): \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account
A Duplicate Copy of this Sheet is Attached

8. The Commissioner is authorized to charge any fees
which may be required, or credit any overpayment to
Deposit Account 50-0815. If additional fees are
required, including extensions of time, please consider
this a petition therefore.

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of
the original document.

Robert C. Hall (Reg. No. 39,209)

Name of Person Signing

Signature

09-05-2001

Date

ASSIGNMENT

WHEREAS, Agilent Technologies Deutschland GmbH (a German Inc. having its principal place of business in Boeblingen, Federal Republic of Germany) has made an unlimited claim under Section 6 of the German Employee Invention Act to the invention entitled:

PASSIVE THERMAL STABILIZATION OF THE TUNING ELEMENT IN A TUNABLE LASER

devised by:

EMMERICH MUELLER et. al.

(inventors names)

NOW, THEREFORE in accordance with an Agreement between Agilent Technologies Deutschland GmbH and New Focus, Inc., 5215 Hellyer Avenue, San Jose, CA 95138-1001, USA, Agilent Technologies Deutschland GmbH hereby perfects the assignment to New Focus, Inc., its successors and assigns, of (a) the right to file and prosecute an application for Letters Patent for the above identified invention in each country worldwide, including, in particular, the Letters Patent for United States Patent Application entitled:

PASSIVE THERMAL STABILIZATION OF THE TUNING ELEMENT IN A TUNABLE LASER, Application No. 09/393,753; filed on Sept. 10, 1999, Art Group 2874, Examiner: Unknown

and executed by the below named inventors on the indicated dates

executed by Emmerich Mueller	on 11/08/1999
executed by	on
executed by	on
executed by	on
executed by	on

and (b) the right to claim any and all priority rights, especially from the first-filed patent or utility model applications, relative to the above-identified invention, as well as (c) the right to obtain Letters Patent in each country and the right, title and interest in such Letters Patent as consistent with the Agreement between Agilent Technologies Deutschland GmbH and New Focus, Inc..

IN WITNESS WHEREOF, this Assignment is executed by a duly authorized representative of Agilent Technologies Deutschland GmbH.

By:



Title: Senior IP Attorney

Date: March 29, 2001