

09-19-2001

Docket No.: 2479.2042-001

RI



SR SHEET

101848402

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

- (1) Griffin K. Gothard (7) James A. Proctor, Jr.
 (2) Alton S. Keel, Jr. (8) Kenneth M. Gainey
 (3) Christopher A. Snyder
 (4) Bing Chiang
 (5) Joe T. Richeson
 (6) Douglas H. Wood

09/14/01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Tantivy Communications, Inc.

Internal Address: _____

Street Address: 1450 S. Babcock Street

City: Melbourne State: FL ZIP: 32901

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: (1) 06-18-01, (2) 07-02-01, (3) 06-18-01, (4) 06-14-01, (5) 07-03-01, (6) 06-18-01, (7) 06-19-01, (8) 06-21-01

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
09/859,001

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David J. Thibodeau, Jr., Esq.

Internal Address: _____

Hamilton, Brook, Smith & Reynolds, P.C.

Street Address: Two Militia Drive

City: Lexington State: MA ZIP: 02421-4799

6. Total number of applications and patents involved: ☒ 1

7. Total Fee (37 C.F.R. 3.41)..... \$ 40.00

- ☒ Enclosed
☒ Authorized to charge any deficiencies or credit any overpayment to deposit account
☐ Authorized to be charged to deposit account

8. Deposit account number:

08-0380

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark B. Solomon

Name of Person Signing

Mark B. Solomon
 Signature

9/10/01

Date

Total number of pages including cover sheet, attachments, and document: ☒ 7

09/10/2001 LMEILLER 00000248 09055000 44.00 DP 01 FC:500

JointASSIGNMENT

WHEREAS, we, **Griffin K. Gothard, Alton S. Keel, Jr., Christopher A. Snyder, Bing Chiang, Joe T. Richeson, Douglas H. Wood, James A. Proctor, Jr. and Kenneth M. Gainey** have invented a certain improvement in **Adaptive Antenna for Use in Wireless Communication Systems** described in an application for Letters Patent of the United States, the specification of which:

☐ is being executed on even date herewith and is about to be filed in the United States Patent Office;

☒ was filed on **May 16, 2001** as Application No. **09/859,001**;

☐ was patented under U.S. Patent No. ☐ on ☐.

WHEREAS, **Tantivy Communications, Inc.** (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **State of Delaware**, and having a usual place of business at **1450 S. Babcock Street, Melbourne, FL 32901** desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving

of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor's Signature: _____

Griffin K. Gothard

Griffin K. Gothard

State/Commonwealth _____

of _____

Florida

County of _____

Brevard

Then personally appeared before me the above-named **Griffin K. Gothard** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 18th day of June, 2001.

(SEAL)



Kathleen K. Renvo

Notary Public

(print name)

My Commission expires ____/____/____

Inventor's Signature: _____

Alton S. Keel, Jr.

State/Commonwealth _____

of _____

County of _____

Then personally appeared before me the above-named **Alton S. Keel, Jr.** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 2nd day of July, 2001.



Kathleen K. Renyo

Notary Public

(print name)

My Commission expires ____/____/____

Inventor's Signature: _____

Christopher A. Snyder

State/Commonwealth _____

of _____

County of _____

Then personally appeared before me the above-named **Christopher A. Snyder** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 18th day of June, 2001.

(SEAL)



Kathleen K. Renyo

Notary Public

(print name)

My Commission expires ____/____/____

Inventor's Signature: _____

Bing Chiang
Bing Chiang

State/Commonwealth

of _____

County of _____

Florida

Brevard

Then personally appeared before me the above-named **Bing Chiang** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 14th day of June 2001.

(SEAL)

Kathleen K. Renyo

Notary Public

(print name)

My Commission expires ____/____/____



Inventor's Signature: _____

Joe T. Richeson
Joe T. Richeson

State/Commonwealth

of _____

County of _____

Florida

Brevard

Then personally appeared before me the above-named **Joe T. Richeson** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 3rd day of July 2001.

(SEAL)

Kathleen K. Renyo

Notary Public

(print name)

My Commission expires ____/____/____



Inventor's Signature: _____

Douglas H. Wood

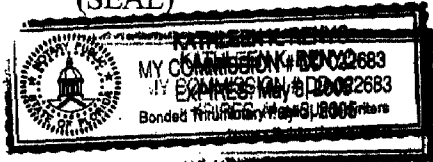
State/Commonwealth

of

County of

Then personally appeared before me the above-named **Douglas H. Wood** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 18th day of June, 2001.

(SEAL)



Kathleen K. Renyo Notary Public

(print name)

My Commission expires 3/03/05

Inventor's Signature: _____

James A. Proctor, Jr.

State/Commonwealth

of

County of

Then personally appeared before me the above-named **James A. Proctor, Jr.** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 19th day of June, 2001.

(SEAL)



Kathleen K. Renyo Notary Public

(print name)

My Commission expires 1/1/1

Inventor's Signature: Kenneth M. Gainey
Kenneth M. Gainey

State/Commonwealth of Florida
County of Brevard

Then personally appeared before me the above-named **Kenneth M. Gainey** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 9/5th day of June 2001.

(SEAL)

Kathleen K. Renyo Notary Public
(print name)



My Commission expires / /

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