



09-21-2001



HEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Docket No.: 1313/OJ382

To the Honorable Commissioner of Patents & Trademarks

101852457

ed original documents or copy thereof.

1. Name of conveying party(ies):

From Jos. Hunkeler AG Fabrik für graphische  
Maschinen to Jos. Hunkeler AG  
Papierverarbeitungsmaschinen and from Jos. Hunkeler  
AG Papierverarbeitungsmaschinen to Hunkeler AG  
Papierverarbeitungsmaschinen

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☒ Change of Name  
☒ Translator's Certificate dated 09/11/01 and  
English Translation of the German Document

Execution Date: September 15, 1999

2. Name and address of receiving party(ies):

Name: **Hunkeler AG Papierverarbeitungsmaschinen**

Internal Address:

Street Address: **Bahnhofstrasse**

**31, CH-4806 Wikon**

**Switzerland**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

4,573,670

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Darby & Darby P.C.

Internal Address:

Street Address: 805 Third Avenue, 27th Floor

City: New York State: New York Zip: 10022-7513

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

04-0100

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Robert C. Sullivan, Jr. (Reg. #30,499)  
Name of Person Signing

[Signature]  
Signature

September 11, 2001  
Date

Total number of pages including cover sheet, attachments, and document:

7

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

**PATENT**  
**REEL: 012166 FRAME: 0345**

REC'D

06-04-2001



U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Docket No.: 1313/0J382

To the Honorable Commissioner of Patents

101738478

original documents or copy thereof.

1. Name of conveying party(ies): **52501**  
From Jos. Hunkeler AG Fabrik für graphische  
Maschinen to Jos. Hunkeler AG  
Papierverarbeitungsmaschinen and from Jos. Hunkeler  
AG Papierverarbeitungsmaschinen to Hunkeler AG  
Papierverarbeitungsmaschinen

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☒ Change of Name  
☒ Other

Execution Date: September 15, 1999

2. Name and address of receiving party(ies):

Name: **Hunkeler AG Papierverarbeitungsmaschinen**

Internal Address:

Street Address: **Bahnhofstrasse**

**31, CH-4806 Wikon**

**Switzerland**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

Date 5/25/01 Label No. EC 06741472

A. Place by copy machine the date(s) indicated above this paper on  
fee was deposited with the U.S. Postal Service & that it was  
addressed for delivery to the Assistant Commissioner,  
Patents, Washington, DC 20231 by "Express Mail Post" to  
"Addressee" service.

B. Patent No.(s)

4,573,670

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence  
concerning document should be mailed:

Name: Darby & Darby P.C.

Internal Address:

Street Address: 805 Third Avenue, 27th Floor

City: New York State: New York Zip: 10022-7513

06/04/2001 GT011 00000039 4573670

01 FC:581

40.00 OP

6. Total number of applications and patents  
involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

04-0100

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert C. Sullivan, Jr. (Reg. #30,499)  
Name of Person Signing

Signature

May 25, 2001  
Date

Total number of pages including cover sheet, attachments, and document: 2

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Washington, D.C. 20231

|                   |                                                          |
|-------------------|----------------------------------------------------------|
| Canton of Lucerne | Excerpt from the Trade Register of the Canton of Lucerne |
|-------------------|----------------------------------------------------------|

|                                                                                                                                   |                                                                                                                                                                                                                                                                                                               |
|-----------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Firm Name (German):<br>(French):<br>(Italian):<br>(English):                                                                      | Hunkeler AG Papierverarbeitungsmaschinen<br>Hunkeler SA Equipment pour la façonnage du papier*<br>Hunkeler SA Sistemi per il trattamento della carta<br>Hunkeler Ltd. Paper Processing Systems                                                                                                                |
| Headquartered in:                                                                                                                 | Wikon                                                                                                                                                                                                                                                                                                         |
| Business address:                                                                                                                 | Bahnhofstrasse 31, 4806 Wikon                                                                                                                                                                                                                                                                                 |
| Legal form of business:                                                                                                           | Shareholder-owned company                                                                                                                                                                                                                                                                                     |
| Basis:                                                                                                                            | Statutes ( <i>by-laws</i> ) of December 6, 1968 /<br>April 16, 1997                                                                                                                                                                                                                                           |
| Registration date:                                                                                                                | December 13, 1968                                                                                                                                                                                                                                                                                             |
| Last SHAB No.<br>( <u>S</u> chweizerisches <u>H</u> andels <u>a</u> mts <u>b</u> latt<br>= <i>Official Swiss Trade Bulletin</i> ) | 178 Date: September 14, 1999 Page: 6298                                                                                                                                                                                                                                                                       |
| Purpose:                                                                                                                          | Consulting, development and design of paper-processing machines and systems solutions;<br>manufacture and commercial trade of systems and individual products in the paper-processing field;<br>providing services; participation in business ventures;<br>buying, holding and selling real estate properties |
| Shareholder capital:                                                                                                              | 700,000 Swiss francs                                                                                                                                                                                                                                                                                          |
| Details regarding capital:                                                                                                        | divided into 700 name shares of 1000 francs each;<br>paid up in full; the selling of the name shares is subject to approval by all of the shareholders                                                                                                                                                        |
| Means of publication:                                                                                                             | SHAB ( <u>S</u> chweizerisches <u>H</u> andels <u>a</u> mts <u>b</u> latt = <i>Official Swiss Trade Bulletin</i> ). Notices are given by letter.                                                                                                                                                              |
| Governing body:                                                                                                                   | Board of Directors<br>President: Franz Hunkeler, citizen of Wikon and Malters, residing in Zofingen<br>Member: Toni Blum, citizen of and residing in Pfaffnau<br>Each of the above is authorized to sign for the corporation jointly with one other authorized individual                                     |

|                            |                                                                                                                                                                                                                                                                                                                                                        |
|----------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Governing body:            | Secretary of the Board of Directors:<br>Michel Hunkeler, citizen of Wikon and Malters,<br>residing in Zofingen<br>He is authorized to sign for the corporation jointly with<br>one other of the authorized individuals                                                                                                                                 |
| Governing body:            | Authorized to sign for the corporation:<br>Franz Affentranger, citizen of Grossdietswil, residing<br>in Vico Morcote<br>Kurt Felber, citizen of Nebikon, residing in Zofingen<br>Hannes Wirz, citizen of Zofingen, residing in Brittnau<br>Each of the above is authorized to sign for the<br>corporation jointly with one other authorized individual |
| Governing body:            | Auditors:<br>Revisuisse Price Waterhouse AG, in Lucerne                                                                                                                                                                                                                                                                                                |
| Previous names:            | "Jos. Hunkeler AG Papierverarbeitungsmaschinen"<br>(until April 4, 1997), "Jos. Hunkeler AG Fabrik für<br>graphische Maschinen" (until August 23, 1990)                                                                                                                                                                                                |
| Locations of subsidiaries: | none                                                                                                                                                                                                                                                                                                                                                   |

Lucerne, September 15, 1999

Stamped: Trade Register Office of the Canton  
of Lucerne, Substitute Chief  
(signature illegible)

*\* Translator's note: The correct French name should say "Hunkeler SA Equipement pour le façonnage du papier" (with an extra "e" in Equipement, and "le" instead of "la" before faconnage). Since it is an official document, I didn't correct this. WK*

**Firma:** Hunkeler AG Papierverarbeitungsmaschinen (Hunkeler SA  
Equipment pour la façonnage du papier) (Hunkeler SA Sistemi  
per il trattamento della carta) (Hunkeler Ltd. Paper  
Processing Systems)

**Sitz:** Wikon  
**Domizil:** Bahnhofstrasse 31, 4806 Wikon  
**Rechtsform:** Aktiengesellschaft  
**Grundlagen:** Statuten vom 06.12.1968 / 16.04.1997  
**Eintragungsdatum:** 13.12.1968

**Letzte SHAB Nr.:** 178      **Datum:** 14.09.1999      **Seite:** 6298

**Zweck:** Beratung, Entwicklung und Konstruktion von Papierverarbei-  
tungsmaschinen und Systemlösungen; Fertigung von und Handel  
mit entsprechenden Anlagen und Einzelprodukten; Anbieten von  
Serviceleistungen; Beteiligungen; Erwerb, Halten und Ver-  
äusserung von Grundstücken.

**Aktienkapital:** Fr. 700'000.00  
**Kapitaldetails:** eingeteilt in 700 Namenaktien zu Fr. 1'000.--,  
voll liberiert.  
Die Namenaktien sind vinkuliert.

**Publikationsorgan:** SHAB. Mitteilungen erfolgen durch Brief.

**Verwaltungsorgan:** Verwaltungsrat

Präsident: Franz Hunkeler, von Wikon und Malters,  
in Zofingen.  
Mitglied: Toni Blum, von und in Pfaffnau.

Sie zeichnen kollektiv zu zweien.

**Verwaltungsorgan:** Sekretär des Verwaltungsrates

Michel Hunkeler, von Wikon und Malters, in Zofingen.  
Er zeichnet kollektiv zu zweien.

**Verwaltungsorgan:** Zeichnungsberechtigte

Franz Affentranger, von Grossdietwil, in Vico Morcote.  
Kurt Felber, von Nebikon, in Hohenrain.  
Hannes Wirz, von Zofingen, in Brittnau.

Sie zeichnen kollektiv zu zweien.

**Verwaltungsorgan:** Revisionsstelle

Revisuisse Price Waterhouse AG, in Luzern.

**Frühere Namen:** "Jos. Hunkeler AG Papierverarbeitungsmaschinen" (bis  
16.04.1997), "Jos. Hunkeler AG Fabrik für graphische  
Maschinen" (bis 23.08.1990).

**Filialsitze:** Keine.

Luzern, 15. September 1999



Handelsregisteramt  
des Kantons Luzern  
Vorsteher-Stellvertreter

*[Handwritten signature]*

1313/OJ382



## TRANSLATOR'S CERTIFICATE

TO WHOM IT MAY CONCERN:

I hereby certify that:

- I am familiar with the English and German language.
- I prepared the attached translation of the Swiss document "Kanton Luzern / Auszug aus dem Handelsregister des Kantons Luzern".
- The attached translation, "Canton of Lucerne / Excerpt from the Trade Register of the Canton of Lucerne" is a verbatim translation of the original.

September 11, 2001

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