

09-20-2001

FORM PTO-1595
1-31-92

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents a.

101850409

ents or copy thereof

1. Name of conveying party(ies):

Peter G. Klimko
Mark R. Hellberg
John R. Falck
Raymond E. Conrow

2. Name and address of receiving party(ies):

Name: Alcon Universal Ltd.

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: September 7, 2001Street Address: P. O. Box 62, Bösch 69CH-6331 HünenbergSwitzerland

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No10970 U.S. PRO
09/950457

09/10/01

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: September 10, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patrick M. RyanInternal Address: R&D Counsel Q-148ALCON RESEARCH LTD.Street Address: 6201 South FreewayCity: Fort Worth State: TX ZIP: 76134-2099

6. Total number of applications and patents involved:

one (1)7. Total fee (37 CFR 3.41) \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

501051

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Patrick M. Ryan Reg. No. 36,263

Name of Person Signing

Signature

Date

Total number of pages including cover sheet 5

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09/20/2001 GTOM11 00000017 501051 09950457

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PATENT
REEL: 012168 FRAME: 0741

A S S I G N M E N T

WHEREAS I am a below named joint inventor of the invention entitled:

“Hydroxyeicosatetraenoic Acid Analogs and Methods of
Their Use in Treating Dry Eye Disorders”

and described in a United States Patent Application filed with the United States Patent and Trademark Office on September 10, 2001, and further identified by Attorney Docket No. 1802A;
and

WHEREAS, ALCON UNIVERSAL LTD., having a place of business at P. O. Box 62, Bösch 69, CH-6331 Hünenberg, Switzerland, is desirous of acquiring the entire right, title and interest in and to said invention and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, I do hereby sell, assign and transfer to ALCON UNIVERSAL LTD., its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, I hereby agree that I will not execute any writing or do any act whatsoever conflicting with these presents, and that I will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant and render all necessary assistance in making application for and obtaining original, divisional, continuation-in-part, reexamined, reissued, or other Letters Patent of the United States or of any and all foreign countries on said invention and in enforcing any rights in action accruing as a result of such applications or patents, said assistance to include my cooperation in all prosecution associated with obtaining such applications or patents and my provision of testimony in any proceedings or transactions involving such applications or patents, it being understood that the foregoing covenant and agreement shall bind, and insure to the benefit of, the assigns and legal representatives of assignor and assignee.

AND I request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said ALCON UNIVERSAL LTD., its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in said patent and the invention covered thereby.

Full name of Inventor: Peter G. Klimko

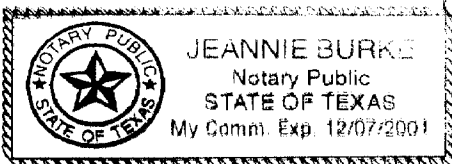
Address: 2115 Pembroke Drive
Fort Worth, Texas 76110

Inventor's Signature: Peter G. Klimko

Date: 9-6-01

STATE OF TEXAS §
 §
COUNTY OF TARRANT §

On this 6th day of September 2001, before me personally appeared Peter G. Klimko to me known to be the person named in and who executed the above instrument, and acknowledged to me he executed the same for the uses and purposes therein set forth.



Jeannie Burke
Notary Public

Full name of Inventor:

Mark R. Hellberg

Address:

5211 Override Drive
Arlington, Texas 76017

Inventor's Signature:

Mark R. Hellberg

Date:

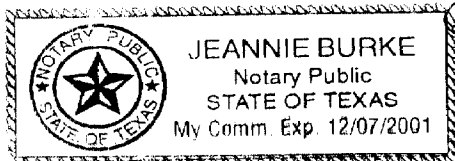
9-6-01

STATE OF TEXAS

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COUNTY OF TARRANT

On this 6th day of September 2001, before me personally appeared Mark R. Hellberg to me known to be the person named in and who executed the above instrument, and acknowledged to me he executed the same for the uses and purposes therein set forth.



Jeannie Burke
Notary Public

Full name of Inventor:

John R. Falck

Address:

2728 Amherst
Dallas, Texas 75225

Inventor's Signature:

John R. Falck

Date:

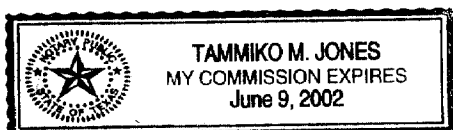
Sept 7, 2001

STATE OF TEXAS

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COUNTY OF DALLAS

On this 7TH day of SEPTEMBER, 2001, before me personally appeared John R. Falck to me known to be the person named in and who executed the above instrument, and acknowledged to me he executed the same for the uses and purposes therein set forth.



Tammiko M. Jones
Notary Public

Full name of Inventor:

Raymond E. Conrow

Address:

1008 Colina Vista Lane
Crowley, Texas 76036

Inventor's Signature:

Raymond E. Conrow

Date:

Sept. 6, 2001

STATE OF TEXAS

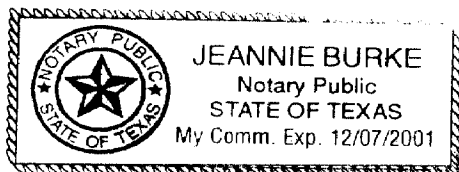
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COUNTY OF TARRANT

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On this 6th day of September 2001, before me personally appeared Raymond E. Conrow to me known to be the person named in and who executed the above instrument, and acknowledged to me he executed the same for the uses and purposes therein set forth.



Jeannie Burke
Notary Public