

09-20-2001

FORM PTO-1595

1-31-92

Docket No.: RU-0123

9-13-01



101849716

DEPARTMENT OF COMMERCE

Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY  
ID NO:

To The Honorable Commissioner of Patents and Trademarks. Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies):  
**Geetha Ghai, Kuang Yu Chen, Robert T. Rosen, Mingfu Wang, Nitin Telang, Martin Lipkin and Chi-Tang Ho**

2. Name and address of receiving party(ies):  
Name: **Rutgers, the State University**  
Internal Address: **Old Queens Building**  
Street Address: **Somerset and George Streets**  
City: **New Brunswick**  
State: **New Jersey** Zip: **08901**

Additional name(s) of conveying party(ies) attached?  
 YES  NO

Additional names (s) & address attached?  
 YES  NO

3. Nature of Conveyance:  
 Assignment  Merger  
 Name Change  Security Agreement  
 Other: \_\_\_\_\_  
Execution date: **August 22, 2001**

4. Application number(s) or patent number(s):  
A. Patent Application No(s). **09/860,919** FILED **May 18, 2001** B. Patent No(s).  
If this document is being filed together with a new application, the execution date of the application is:  
Additional numbers attached?  YES  NO

5. Name and address of party to whom correspondence concerning documents should be mailed:

6. Total number of applications and patents involved: **One (1)**

**Licata & Tyrrell P.C.**  
**66 E. Main Street**  
**Marlton, New Jersey 08053**

7. Total fee (37 CFR 3.41): \$40.00  
 Enclosed  
 Authorized to be charged to deposit account.

8. Deposit Account number: **50-1619**  
(Attach duplicate copy of this page if paying by deposit account.)

DO NOT USE THIS SPACE

9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Kathleen A. Tyrrell, Reg. No. 38,350**  
Name of Person Signing

Signature *Kathleen A. Tyrrell* **September 11, 2001**

Total number of pages comprising cover sheet, attachments, and document: **Six**

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01 FC:581 40.00 CH

A S S I G N M E N T

7-17-01 7-18-01  
WHEREAS, we, <sup>h</sup>Geeta Ghai, <sup>K</sup>Huang Yu Chen, Robert T. Rosen, Mingfu Wang, Nitin <sup>A</sup>Telang, Martin Lipkin and Chi-Tang Ho, hereinafter referred to as the assignors, residing respectively at 250 Gallinson Drive, Murray Hill, New Jersey 07974, 4 Silverton Lane, Belle Mead, New Jersey 08502, 347 Harrier Drive, Monroe Township, New Jersey 08831, 37 Marvin Lane, Piscataway, New Jersey 08854, 788 Colonial Avenue, Pelham Manor, New York 10803, 535 East 86th Street, New York, New York 10028 and 32 Jernee Drive, East Brunswick, New Jersey 08816, are the joint inventors of certain inventions or improvements for which we have made application for Letters Patent to the United States, identified as Serial No. 09/860,919, filed May 18, 2001, entitled **Resveratrol Analogs for Prevention of Disease**; and

WHEREAS, Rutgers, the State University, hereinafter referred to as the assignee, of **New Brunswick, New Jersey**, a corporation of **New Jersey**, is desirous of acquiring the entire right, title and interest in and to the said inventions or improvements and in and to the said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we, the said assignors, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over to said assignee, the entire right, title and interest in and to said inventions or improvements and said application and any and all continuations, divisions and renewals of and substitutes for said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in the United States and any and all other countries, and any reissue or reissues or extension or extensions of said Letters Patent, and assign to and authorize said assignee, to file in our names applications for Letters Patent in all countries, the same to be held and enjoyed by said assignee, its successors, assigns, nominees or legal representatives, to the full end of the term or terms for which said Letters Patent respectively may be granted, reissued or extended, as fully and entirely as the same would have been held and enjoyed by us had this assignment, sale and transfer not been made.

AND we hereby covenant that we have full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith, and we further

covenant and agree that we will each time request is made and without undue delay, execute and deliver all such papers as may be necessary or desirable to perfect the title to said inventions or improvements, said application and said Letters Patent to said assignee, its successors, assigns, nominees, or legal representatives, and each of us agrees to communicate to said assignee or to its nominee all known facts respecting said inventions or improvements, said application and said Letters Patent, to testify in any legal proceedings, to sign all lawful papers to execute all disclaimers and divisional, continuing, reissue and foreign applications, to make all rightful oaths, and generally to do everything possible to aid said assignee, its successors, assigns, nominees and legal representatives to obtain and enforce for its or their own benefit proper patent protection for said inventions or improvements in any and all countries.

AND we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue to said assignee, as assignee of the entire right, title and interest, any and all Letters Patent for said inventions or improvements, including any and all Letters Patent of the United States which may be issued and granted on or as a result of the application aforesaid, in accordance with the terms of this assignment.

IN WITNESS WHEREOF, we have hereunto set our hands and seals.

Geeta Ghai  
4.9 7-16-01

Geeta Ghai (L.S.)  
Geeta Ghai

Huang Yu Chen (L.S.)  
K Huang Yu Chen

Robert T. Rosen (L.S.)  
Robert T. Rosen

Mingfu Wang (L.S.)  
Mingfu Wang

Nitin Telang (L.S.)  
Nitin Telang

Martin Lipkin (L.S.)  
Martin Lipkin

Chi-Tang Ho (L.S.)  
Chi-Tang Ho

[WHERE ALL INVENTORS SIGN BEFORE THE SAME NOTARY:]

\_\_\_\_\_ :

SS

*pb 7-16-01  
Geetha*

COUNTY OF \_\_\_\_\_ :

On this \_\_\_\_\_ day of \_\_\_\_\_, 2001, before me personally came the above named Geeta Ghai, Huang Yu Chen, Robert T. Rosen, Mingfu Wang, Nitin Telang, Martin Lipkin and Chi-Tang Ho, to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of those persons' own free will for the use and purposes therein set forth.

\_\_\_\_\_  
Notary Public

[WHERE INVENTORS SIGN BEFORE SEPARATE NOTARIES:]

\_\_\_\_\_ :

SS

COUNTY OF MIDDLESEX \_\_\_\_\_ :

On this 16 day of July, 2001, before me personally came the above named Geeta Ghai to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.

*8-21-01 PB Geetha*

*[Signature]*  
\_\_\_\_\_  
Notary Public

**JOYCE TRACY**  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires 09-23-06

STATE OF NEW JERSEY :

SS

COUNTY OF MIDDLESEX :

On this 04th day of August, 2001, before me personally came the above named Kuang Yu Chen to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.

pb 7-16-01

[Signature]  
Notary Public

\_\_\_\_\_  
COUNTY OF MIDDLESEX :

SS

On this 11th day of July, 2001, before me personally came the above named Robert T. Rosen to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.

[Signature]  
Notary Public

**JOYCE TRACY**

NOTARY PUBLIC OF NEW JERSEY

My Commission Expires 05-23-06

\_\_\_\_\_  
COUNTY OF Middlesex :

SS

On this 22nd day of August, 2001, before me personally came the above named Mingfu Wang to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.

[Signature]  
Notary Public

**JACQUELINE RUSNAK**  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires 7-1-05

NEW YORK :

SS

COUNTY OF NEW YORK :

On this 20th day of July, 2001, before me personally came the above named Nitin Telang to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.

**KATHERINE L. CRAPPSE**  
Notary Public, State of New York  
No. 01CR5017801  
Qualified in New York County  
Commission Expires 9-13-05

Katherine L. Crappse  
Notary Public

NEW YORK :

SS

COUNTY OF NEW YORK :

On this 24th day of July, 2001, before me personally came the above named Martin Lipkin to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.

**KATHERINE L. CRAPPSE**  
Notary Public, State of New York  
No. 01CR5017801  
Qualified in New York County  
Commission Expires 9-13-05

Katherine L. Crappse  
Notary Public

\_\_\_\_\_  
COUNTY OF Middlesex :

SS

On this 20th day of August, 2001, before me personally came the above named Chi-Tang Ho to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.

Joseph G. Innocent  
Notary Public  
**JOSEPH G. INNOCENT**  
NOTARY PUBLIC OF NEW YORK  
Commission Expires 3/21/2004