

SEP 10 2001

09-21-2001



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FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

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HEET U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office
2001 P 07934 US

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

Name of conveying party(ies)

Sylvain Nadeau, 770 Green Lane, London, Ontario, Canada
N6H 2V1William Holmes, 1498 Glenora Drive, London, Ontario, Canada
N5X 1V5

9-10-01

2. Name and address of receiving party(ies)

Name: Siemens Automotive, Inc.

Internal Address: _____

Street Address: 2185 Derry Road WestCity: Mississauga State: Ontario ZIP: L5N 7A6Additional name(s) & address(es) attached? ☐ Yes ☒ NoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____Execution Date: Sylvain Nadeau - August 24, 2001
William Holmes - August 31, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/865,983

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Elsa Keller

Internal Address _____

Siemens CorporationIntellectual Property DepartmentStreet Address: 186 Wood Avenue SouthCity: Iselin State: NJ ZIP: 088306. Total number of applications and patents involved: one7. Total Fee (37 CFR 3.41) \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account No.

19-2179

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Frank J. Nuzzi Reg. No. 42,944

Name of Person Signing

Signature

Date

DO NOT USE THIS SPACE

PATENT

REEL: 012172 FRAME: 0043

ASSIGNMENT

For good and valuable consideration, I, Sylvain Nadeau residing at 770 Green Lane, London, Ontario, Canada N6H 2V1 and William Holmes residing at 1498 Glenora Drive, London, Ontario, Canada N5X 1V5.

Hereby sell, assign and transfer to Siemens Automotive Inc. a corporation organized and existing under the federal laws of Canada, having its principal place of business at 2185 Derry Road West, Mississauga, Ontario, Canada L5N 7A6, hereinafter "Assignee", its successors, assigns and legal representatives, the entire right, title and interest in and for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned and filed on May 2, 2001, Serial No. 09/865,983 and is entitled:

**TURBOMACHINE WITH DOUBLE-FACED ROTOR-SHROUD
SEAL STRUCTURE**

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining

proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: AUG 27 / 2001


SYLVAIN NADEAU

Witness: W. J. Bourdykine
(type name) W. J. Bourdykine

Witness: K. A. Kurbatskii
(type name) K. A. Kurbatskii

Date: AUG. 31 / 2001


WILLIAM HOLMES

Witness: Paul McLennan
(type name) Paul McLennan

Witness: K. A. Kurbatskii
(type name) K. A. Kurbatskii