

09-21-2001



101850739

Docket No.: 6006-004

EET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Steven R. Bailey
Christopher T. Boyle

94701

2. Name and address of receiving party(ies):

Name: ABPS Venture One, Ltd.

Internal Address: _____

Street Address: 4778 Research Drive

City: San Antonio State: TX ZIP: 78240

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: May 26, 2000

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/477,120

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David G. Rosenbaum

Internal Address Rosenbaum & Associates, P.C.

09/20/2001 BTOM11 00000095 182000 09477120

01 FC:581 40.00 CH

Street Address: 875 North Michigan Avenue

Suite #3653

City: Chicago State: IL ZIP: 60611

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

18-2000

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David G. Rosenbaum

Name of Person Signing

Signature

September 5, 2001

Date

Total number of pages including cover sheet, attachments, and document:

4

PATENT
REEL: 012172 FRAME: 0463

ASSIGNMENT AGREEMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, we, STEVEN R. BAILEY and CHRISTOPHER T. BOYLE, have sold, assigned and transferred and do hereby sell, assign and transfer unto ABPS VENTURE ONE; LTD., a Texas Limited Partnership (hereinafter "ABPS"), and its successors and assigns, all right, title and interest for the United States of America in and to certain inventions relating to improvements in **ENDOLUMINAL CARDIAC AND VENOUS VALVE PROSTHESES AND METHODS OF MANUFACTURE AND DELIVERY THEREOF** described, illustrated and claimed in an application for Letters Patent of the United States of America, Serial Number 09/477,120 filed December 31, 1999, together with the entire right, title and interest in and to the application, and in and to Letters Patent which may be issued upon the application, and upon any division, extension, continuation or reissue thereof.

SEPTIM OCCLUDER AND EMBOLIC FILTER SUB 26 MAY 2000

We also hereby sell, assign and transfer unto ABPS, the entire right, title and interest in and to the invention and in and to applications for Letters Patent therefor in all countries and/or patenting jurisdictions foreign to the United States of America, including all rights under any and all international conventions and treaties in respect of the inventions and the applications for Letters Patent in foreign countries, and I further authorize ABPS to apply for Letters Patent in foreign countries directly in its own name, and to claim the priority of the filing date of the application for Letters Patent of the United States of America under the provisions of any and all international conventions and treaties.

I hereby authorize and request the Commissioner of Patents of the United States of America to issue Letters Patent upon the application, division, extension, continuation or reissue, to ABPS for its sole use and enjoyment, its successors, assigns and legal representatives, to the full end of the term for which Letters Patent may be granted, the same as they would have been held and enjoyed by me had this assignment not been made, and I hereby authorize and request the equivalent authorities in foreign countries to issue the patents of their respective countries to the ABPS.

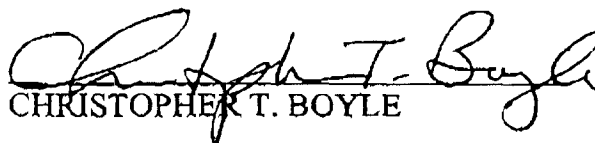
We agree that, when requested, we will, without charge to the ABPS, but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for the inventions in all countries and for vesting title thereto in the ABPS, its successors, assigns and legal representatives or nominees.

We hereby represent, warrant and covenant with ABPS, its successors, assigns and legal representatives, that the entire right, title, interest and property hereby conveyed is free from any and all prior assignment, grant, transfer, hypothecation, lien, mortgage, license or other encumbrance, including, without limitation, any prior obligation of assignment, grant, transfer, hypothecation, lien, mortgage, license or other encumbrance..

Dated: 5/26/2000


STEVEN R. BAILEY

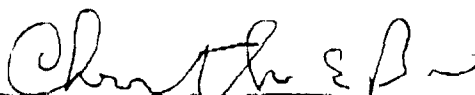
Dated: 5/26/2000


CHRISTOPHER T. BOYLE

ACKNOWLEDGED AND ACCEPTED BY:

ABPS VENTURE ONE, LTD.

By: ABPS Management, L.L.C., its General Partner

By: 
Christopher E. Bagas, President, Chief Operating Officer

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