

09-25-2001

HEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings



To the Honorable Commissioner of

101854413

the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ronald V. O'Connell

09/12/01

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: September 4, 2001

2. Name and address of receiving party(ies):

Name: NTK Powerdex, Inc.

Internal Address:

Street Address: 34099 Melinz Parkway

City: Eastlake State: OH ZIP: 44095-4001

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

09/954693

If this document is being filed together with a new application, the execution date of the application is: September 4, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark Kusner

Internal Address: Mark Kusner Co., LPA

Street Address: Highland Place - Suite 310

6151 Wilson Mills Road

City: Highland Heights State: OH ZIP: 44143

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

50-0537

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Mark Kusner, Reg. No. 31,115

Name of Person Signing

Signature

September 12, 2001

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

09/20/2001 SFELEKE1 00000003 09954693

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(Form PTO-1595--Recordation Form Cover Sheet - page 1 of 3)

PATENT
REEL: 012180 FRAME: 0035

For: U.S. Rights and Foreign Rights
For: U.S. Application
By: Inventor

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEES to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Ronald V. O'Connell
774 Geneva-Windsor Road
Harpersfield, OH 44041
Nationality: US

hereby sells, assigns and transfers to

ASSIGNEES:

NTK Powerdex, Inc.
34099 Melinz Parkway
Eastlake, OH 44095-4001 US
An Ohio Corporation

and the successors, assigns and legal representatives of the ASSIGNEES the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

PROTECTIVE SHIELD TO PREVENT SHORTING IN POLYMER BATTERY

and which is found in (37 C.F.R. Section 3.21) U.S. patent application executed on even date herewith and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEES will, upon their request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEES or their legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

(Assignment of Invention--page 1 of 2)

IN WITNESS WHEREOF, I have hereunto set hand and seal on the date set forth below.

Date: Sept. 4, 2001



Signature of Ronald V. O'Connell

(Assignment of Invention--page 2 of 2)