



09-26-2001



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U.S. Department of Commerce  
Patent and Trademark Office

Our Ref.: 121-285

Commissioner of Patents and Trademarks  
Box Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Gary W. PACE Pol-Henri W. GUIVARCH  
Awadesh K. MISHRA  
Robert A. SNOW  
Pol-Henri W. PARIKH

9-19-01

Additional name/s of conveying party/ies attached? ☐

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Assignment ☐ Change of Name  
☐ Other

Execution Date: September 4, August 31, 31,  
September 6, and August 31, 2001

2. Name and address of receiving party(ies):

Name: RTP Pharma  
Internal Address:  
Street Address: 4364 Alston Avenue  
Suite 201

City: Durham  
State/Country: North Carolina  
Zip: 27713

Additional name/s & address/es attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

1

A. Patent Application No(s).

(1) 09/838,593  
(2)  
(3)

B. Patent No(s).

(1)  
(2)  
(3)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Arthur R. Crawford  
Internal Address:  
Street Address: Nixon & Vanderhye P.C.  
1100 North Glebe Road  
8<sup>th</sup> Floor  
City: Arlington State: VA Zip: 22201

6. Total number of applications & patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00  
☒ Enclosed  
☐ Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.

DO NOT USE THIS SPACE

9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Arthur R. Crawford  
Name of Person Signing  
Reg. No. 25,327

Signature

September 18, 2001  
Date

Total number of pages including original cover sheet, attachments, and document: [4]

# ASSIGNMENT OF PATENT APPLICATION

(Inventors) Gary PACE  
Awadesh MISHRA  
Robert A. SNOW  
Indu PARIKH  
Pol-Henri W. Guivarc'h'

(Assignee) In consideration of the sum of one dollar (\$1.00) and other good and valuable  
(Address) considerations paid to each of the undersigned, the undersigned agree(s) to assign,  
(Other Countries) and hereby does assign, transfer and set over to  
(Title) (RTP PHARMA  
(of 4364 Alston Avenue, Suite 201, Durham, North Carolina 27713  
(hereinafter designated as the Assignee) the undersigned's entire right, title and  
interest for the United States, its territories, dependencies and possessions, and for  
the country of  
( in the invention, and all application for patent and any Letters Patent which  
may be granted therefore, known as  
**SPRAY DRYING PROCESS AND COMPOSITIONS OF FENOFIBRATE** (Case No.  
121-285)  
for which the undersigned has (have) executed on even date herewith an application  
for patent in the United States of America or, if not on even date, then has executed  
(on or has already filed in  
(U.S. appln. Serial No. **09/880,104**, filed on **June 14, 22001**  
(The undersigned acknowledges an obligation of assignment of this invention to said  
assignee at the time the invention was made.

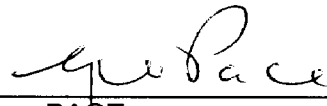
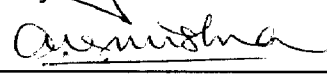
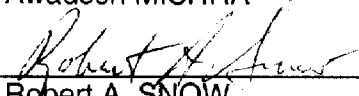
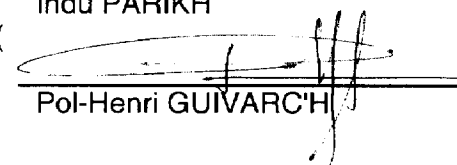
The undersigned agree(s) to execute all papers and documents necessary in connection with the application or any interference which may be declared and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient and further to perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

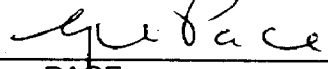
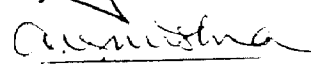

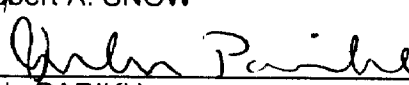
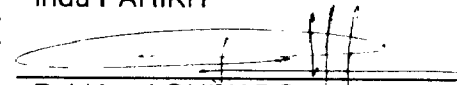
The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the firm of NIXON & VANDERHYE P.C. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned signature(s).

Date	<u>Sept 4, 2001</u>	Signature of inventor	( <u></u> Gary PACE
Date	<u>Aug. 31, 2001</u>	Signature of inventor	( <u></u> Awadesh MISHRA
Date	<u>August 31, 2001</u>	Signature of inventor	( <u></u> Robert A. SNOW
Date	_____	Signature of inventor	( _____ Indu PARIKH
Date	<u>31 August 2001</u>	Signature of inventor	( <u></u> Pol-Henri GUIVARCH

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned signature(s).

Date	<u>Spt 4, 2001</u>	Signature of inventor	( <u></u> Gary PACE
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Date	<u>August 31, 2001</u>	Signature of inventor	( <u></u> Robert A. SNOW
Date	<u>Sept. 6, 2001</u>	Signature of inventor	( <u></u> Indu PARIKH
Date	<u>31 August 2001</u>	Signature of inventor	( <u></u> Pol-Henri GUIVARC'H