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(Rev. 03/01)

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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Rex A. Niles  
Stephen D. Diehl  
Patrick W. Olin  
Deborah A. Laun

David T. Middleton, Jr.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

09/24/01

## 2. Name and address of receiving party(ies)

Name: DHD Healthcare Corporation

Internal Address: \_\_\_\_\_

Street Address: One Madison StreetCity: Wampsville State: NY Zip: 13163Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☐ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☒ Other corrected assignment  
recorded at reel 011489, frame  
0505

Execution Date: 1/18/01

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/764,636

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: August E. Roehrig, Jr.Internal Address: Hancock & Estabrook, LLPStreet Address: 1500 MONY Tower 1PO Box 4976City: Syracuse State: NY Zip: 132216. Total number of applications and patents involved: ☐ 17. Total fee (37 CFR 3.41).....\$ 40.00☒ Enclosed☒ Authorized to be charged to deposit account  
any fee deficiency8. Deposit account number: 500576

(Attach duplicate copy of this page if paying by deposit account)

## DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*August E. Roehrig, Jr.

Name of Person Signing

Signature

Date

9/6/01

Total number of pages including cover sheet, attachments, and documents: ☐ 9

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

09/25/2001 DBYRNE 00000238 09764636

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PATENT  
REEL: 012186 FRAME: 0094

## ASSIGNMENT

WHEREAS, WE, Rex A. Niles, Stephen D. Diehl, Patrick W. Olin, Deborah A. Laun, David T. Middleton, Jr., all citizens of the United States respectively, residing at 220 Drake Ave., Oneida, NY, 13421; 1509 Brothertown Road, Waterville, New York, 13480; 3970 Peterboro Road, Vernon Center, NY, 13477; 4003 Bussey Road, Syracuse, New York, 13215; 35 Hannum Street, Skaneateles, New York, 13512 respectively, Assignors, have made certain new and useful improvements in FLOW BASED INCENTIVE SPIROMETER for which application for Letters Patent of the United States is being made of even date herewith; and

WHEREAS, DHD Healthcare Corporation a corporation of the State of New York, Assignee, and having a post office address at 125 Rasbach Street, Canastota, New York, 13032 is desirous of acquiring the entire right and interest in the same;

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration to us in hand paid, the receipt of which is hereby duly and fully acknowledged, WE the said Rex A. Niles, Stephen D. Diehl, Patrick W. Olin, Deborah A. Laun, David T. Middleton, Jr., have sold and BY THESE PRESENTS do sell, assign, transfer and set over unto the said DHD Healthcare Corporation the entire right, title and interest in and to the aforesaid patent, and divisions thereof, including all foreign rights thereunder.

Date January 18th 2001

  
Rex A. Niles

STATE OF )  
 ) ss:  
COUNTY OF )

On this 17<sup>th</sup> day of January in the year 2001, before me, the undersigned, a Notary Public in and for said State, personally appeared Rex A. Niles, known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her capacity, and that by his/her signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

Witness my hand and official seal.

My commission expires:\_\_\_\_\_

JOSEPH P. COSTANZO  
Notary Public in the State of New York  
My Comm. Expires 06/30/97 No. 4176239  
9130102

Dennis P. Costanzo  
Notary Public

Date 1/18/01

Stephen D. Diehl  
Stephen D. Diehl

STATE OF )  
 ) ss:  
COUNTY OF )

On this \_\_\_\_ day of \_\_\_\_\_ in the year 2000, before me, the undersigned, a Notary Public in and for said State, personally appeared Stephen D. Diehl, known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her capacity, and that by his/her signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

Witness my hand and official seal.

My commission expires: \_\_\_\_\_

DENNIS P. COSTANZO  
NOTARY PUBLIC  
9/30/02

Dennis P. Costanzo  
Notary Public

Date 01/18/2001

Patrick W. Olin  
Patrick W. Olin

STATE OF )  
 ) ss:  
COUNTY OF )

On this 18th day of January in the year 2000, before me, the undersigned, a Notary Public in and for said State, personally appeared Patrick W. Olin, known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her capacity, and that by his/her signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.


Witness my hand and official seal.

My commission expires: \_\_\_\_\_

DENNIS P. COSTANZO  
NOTARY PUBLIC  
9/30/02

Dennis P. Costanzo  
Notary Public

Date 1/18/01

  
Deborah A. Laun


STATE OF New York )  
 )  
COUNTY OF Onondaga ) ss:

On this 18 day of January in the year 2000, before me, the undersigned, a Notary Public in and for said State, personally appeared Deborah A. Laun, known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her capacity, and that by his/her signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

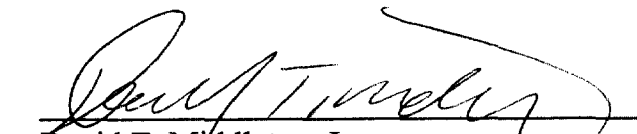
Witness my hand and official seal.

My commission expires: 7/15/02

LORI L. MILNE  
Notary Public, State of New York  
No. 01MI5063053  
Qualified in Onondaga County  
Commission Expires July 15, 2002

  
Notary Public

Date Jan 1/18/01

  
David T. Middleton, Jr.


STATE OF )  
 )  
COUNTY ) ss:

On this 18th day of January in the year 2000, before me, the undersigned, a Notary Public in and for said State, personally appeared David T. Middleton, Jr., known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her capacity, and that by his/her signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

Witness my hand and official seal.

My commission expires: 7/15/02

LORI L. MILNE  
Notary Public, State of New York  
No. 01MI5063053  
Qualified in Onondaga County  
Commission Expires July 15, 2002

  
Notary Public