

09-27-2001



SHEET

U.S. DEPARTMENT OF COMMERCE

LY

Patent and Trademark Office

To the Honorable Commission

101857591

the attached original documents or copy thereof.

1. Name of conveying party(ies):

Frederick Sachs

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: September 14, 2001

2. Name and address of receiving party(ies):

Name: The Research Foundation of State University of New York

Internal Address: _____

Street Address: Suite 220 UB Commons
520 Lee Entrance
University at BuffaloCity: Amherst State: NY ZIP: 14228Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s) 5,968,838 - Issued: 10/19/1999Additional Numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ranjana KadleHodgson Russ LLPInternal Address: Intellectual Property Law SectionStreet Address: One M&T Plaza, Suite 2000City: Buffalo State: NY ZIP: 14203-23916. Total number of applications and patents involved: / 1 /7. Total fee (37 CFR 3.41)..... \$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

08-2442

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ranjana Kadle Reg. No. 40.041

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Signature

September 20, 2001

Date

Total number of pages including cover sheet, attachments and document:

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Mail documents to be recorded with required cover sheet information to:
Commissioner for Patents and Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, Frederick Sachs, residing at 10263 New Oregon Road, Eden, New York 14057, the undersigned

Hereby sells, assigns and transfers to THE RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW YORK

a corporation of the State of New York, having a place of business at Suite 220, UB Commons, 520 Lee Entrance, University at Buffalo, Amherst, New York 14228, its successors, assigns and legal representatives, his entire right, title and interest in and to U.S. patent no. 5,968,838 issued on October 19, 1999 and entitled Antiarrhythmic Peptide From Venom of Spider Grammostola Spatulata and in and to any and all reissued patents which shall be issued in the United States; and

Covenants with said assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Dated: _____

9/14/01


Frederick Sachs

STATE OF New York
COUNTY OF Essex ss:

Sworn to before me this 14th day of September, 2001.


Notary Public

BFLODOCS:588578_1 (CM5#01)

James J. Rosso, Notary Public
State of New York
Qualified in Essex County
My commission expires 1/31/02