

09-27-2001



101856784

PATENT APPLICATION

Attorney Ref.: 0007056-0175/P5725NP/ARG

Date: September 13, 2001

FORM PTO-1585

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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9.2401

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Krishnendu Chakraborty
2. Jayashri Visvanathan

2. Name and address of receiving party(ies):

Sun Microsystems, Inc.
901 San Antonio Road
Palo Alto, California 94303

3. Nature of conveyance:

- ☐ Assignment
- ☐ Security Agreement
- ☐ Merger
- ☐ Change of Name

☒ **Other: Correct the execution date on the Notice of
Recordation of Assignment Document on Reel/Frame:
011587/0235 and on the Recordation Form Cover Sheet
to show the correct Execution Date as:**

Execution Date: **February 23, 2001**

4. Application number(s) or patent number(s): 1

If this document is being filed together with a new application, the execution date of the application is: **March 1, 2001**

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? **NO**

**5. Name and address of party to whom correspondence
concerning document should be mailed:**

J.D. Harriman II
COUDERT BROTHERS
333 South Hope Street, Suite 2300
Los Angeles, CA 90071

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h)): \$40.00

☒ Enclosed

8. The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 501215. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 501215.

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mican Goldsmith
Registration No. 43,638

Date: 9/13/01

*Total number of pages comprising cover sheet: 2

OMB No. 0651-0011 (exp. 4/94)

LA 57364v1

**PATENT
REEL: 012191 FRAME: 0117**

03-20-2001



101641051

FORM PTO-1585

PATENT APPLICATION

Attorney Ref.: 0007056-0175/P5725NP/ARG

Date: March 1, 2001

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

 03/01/01
 09/797630
 U.S. PTO
1. Name of conveying party(ies):

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☐ Other:

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Date of Deposit: March 1, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 CFR § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Execution Date: 1. January 23, 2001
 2. January 23, 2001

Jose Ramos

4. Application number(s) or patent number(s): 1

If this document is being filed together with a new application, the execution date of the application is: March 1, 2001

A. Patent Application No.(s)

B. Patent No.(s)

09797630

Additional numbers attached? NO

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03/08/2001 SSESHE1 00000014 09797630

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Micah Goldsmith
 Registration No. 43,638

Date: 3/1/01

*Total number of pages comprising cover sheet: 2

OMB No. 0651-0011 (exp. 4/94)

LA 46337v1

PATENT
REEL: 012191 FRAME: 0118

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, I (We) the undersigned, **KRISHNENDU CHAKRABORTY**; and **JAYASHRI VISVANATHAN** hereby sell(s), assign(s) and transfer(s) to **SUN MICROSYSTEMS, INC.**, a Delaware Corporation, having a principal place of business at 901 San Antonio Road, Palo Alto, California 94303, ("Assignee"), its successors, assigns and legal representatives, my (our) entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which is being filed concurrently herewith, and is entitled: **"METHOD AND APPARATUS FOR FREEING MEMORY FROM AN EXTENSIBLE MARKUP LANGUAGE DOCUMENT OBJECT MODEL TREE ACTIVE IN AN APPLICATION CACHE"** and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application; agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has (have) been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Dated: 2/23/2001



Krishnendu Chakraborty

Dated: 2/23/2001



Jayashri Visvanathan