

09-27-2001

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SEP 21 2001



101857668

FORM COVER SHEET

PATENTS ONLY

Attorney Docket Number : 88265-412

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS
Box Assignment
Washington, DC 20231

Please record the attached original documents or copy thereof.

9.21.01

1. Name of conveying party(ies):

Claudia CONTI (executed 05/02/01)
Garry Dean MOPPETT (executed 05/06/01)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: _____

2. Name and address of receiving party(ies):

NESTEC S.A.
Avenue Nestlé 55
CH-1800 Vevey
SWITZERLAND

4. Application number(s) or patent number(s): **09/785,398, Filed: February 20, 2001**

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) _____

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

WINSTON & STRAWN
200 Park Avenue
New York, NY 10166-4193

6. Number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ **40.00**
Please charge to the deposit account listed in Section 8.

8. Deposit account number: **501-814****DO NOT USE THIS SPACE**

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Allan A. Fanucci Reg. No. 30,256

Signature

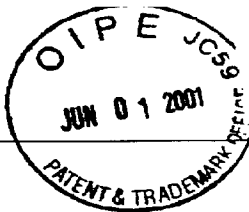
Date

9/20/01

Total number of pages including cover sheet:

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Washington, D.C. 20231



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06-20-2001

Press Mail No. EL 803540488 US



101754627

orney Docket Number : 88265-412

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS

Box Assignment
Washington, DC 20231

SEP 21 2001

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

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Allan A. Fanucci

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Signature

Date

6/1/01

Total number of pages including cover sheet:

5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignment
Washington, D.C. 20231

ASSIGNMENT

WHEREAS, WE,

Claudia CONTI, a citizen of Great Britain residing at
Flat 6, 74 Warwick Square, London, Great Britain; and

Garry Dean MOPPET, a citizen of Great Britain residing at
Springfield Two, 59 Strensall Road, Huntington, YO32 9SH Great Britain.

ASSIGNORS, are the inventors of the invention in **SUGAR WAFERS**, for which we have executed an application for a Patent of the United States

- ☒ which is identified by Docket No. 88265-412
- ☒ which was filed on February 20, 2001, as Application No. 09/785,398

and WHEREAS, NESTEC S.A., having a place of business at Avenue Nestle 55, CH-1800 Vevey, Switzerland, ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith;

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date 02.05, 2001 Claudia Conti
Claudia CONTI

On 02.05, 2001, before me, [Signature], Witness,
appeared **Claudia CONTI**, to me known and known to me to be the person of that name, who
signed the foregoing instrument, and acknowledged the same to be his/her free act and deed.

Date _____, 2001 _____
Witness

Date _____, 2001 _____
Garry Dean MOPPETT

On _____, 2001, before me, _____, Witness,
appeared **Garry Dean MOPPETT**, to me known and known to me to be the person of that
name, who signed the foregoing instrument, and acknowledged the same to be his/her free act
and deed.

Date _____, 2001 _____
Witness

ASSIGNMENT

WHEREAS, WE,

Claudia CONTI, a citizen of Great Britain residing at
73 Union Terrace, York, YO31 7ES Great Britain; and

Garry Dean MOPPET, a citizen of Great Britain residing at
~~Springfield Two, 59 Strensall Road, Huntington, YO32 9SH Great Britain.~~
35 GORDON ROAD, WINDSOR, BERKSHIRE, SL4 3RG,

ASSIGNORS, are the inventors of the invention in **SUGAR WAFERS**, for which we have executed an application for a Patent of the United States

- ☒ which is identified by Docket No. 88265-412
- ☒ which was filed on February 20, 2001, as Application No. 09/785,398

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AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

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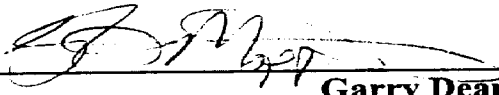
AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

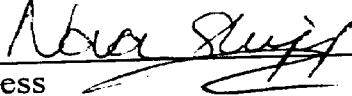
Date _____, 2001 _____
Claudia CONTI

On _____, 2001, before me, _____, Witness,
appeared **Claudia CONTI**, to me known and known to me to be the person of that name, who
signed the foregoing instrument, and acknowledged the same to be his/her free act and deed.

Date _____, 2001 _____
Witness

Date 6-5-01, 2001 _____  X
Garry Dean MOPPETT

On 6/5/01, 2001, before me, Nova Sluff, Witness,
appeared **Garry Dean MOPPETT**, to me known and known to me to be the person of that
name, who signed the foregoing instrument, and acknowledged the same to be his/her free act
and deed.

Date 6/5/01, 2001 _____ 
Witness

09-24-01

1
\$



Express Mail No. EL 803 540 001 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Claudia. CONTI et al.

Application No.: 09/785,398

Filed: February 20, 2001

For: SUGAR WAFERS



Group Art Unit: 1761

Examiner:

Attorney Docket No.: 88265-412

**REQUEST FOR CORRECTION OF
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

U.S. Patent and Trademark Office
Assignment Division
BOX ASSIGNMENTS
CG-4,1213 Jefferson Davis Hwy.
Suite 320
Washington, D.C. 20231

Sir:

Applicants hereby respectfully request a correction to the Notice of Recordation of Assignment Document (101754627A). The assignment was recorded on June 1, 2001 at Reel 011896, Frame 0394 with a typographical error in the second inventor's name.


Please correct the first name of the second inventor to read --GARRY--. A corrected assignment recordation cover sheet is attached herewith along with a copy of the original Notice with the requested change noted thereon. A copy of the recorded assignment is also enclosed in support thereof.

No fee is believed to be due for this submission. If any fees are required however, please charge the required fees to WINSTON & STRAWN Deposit Account No. 501-814.

Respectfully submitted,

Date:

9/20/01


Allan A. Fanucci (Reg. No. 30,256)

WINSTON & STRAWN
200 Park Avenue
New York, New York 10166-4193

(212) 294-6700

Enclosures

**PATENT
REEL: 012191 FRAME: 0220**



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

AUGUST 27, 2001

PTAS



101754627A

WINSTON & STRAWN
ALLAN A. FANUCCI
200 PARK AVENUE
NEW YORK, NY 10166-4193

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/01/2001

REEL/FRAME: 011896/0394
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
CONTI, CLAUDIA

DOC DATE: 05/02/2001

ASSIGNOR:
MOPPETT, GARY DEAN

DOC DATE: 05/06/2001

ASSIGNEE:
NESTEC S.A.
AVENUE NESTLE 55
CH-1800 VEVEY, SWITZERLAND

SERIAL NUMBER: 09785398
PATENT NUMBER:

FILING DATE: 02/20/2001
ISSUE DATE:

MARCUS KIRK, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

WINSTON & STRAWN
Received
Date 9/21/01

RECORDED: 09/21/2001

PATENT
REEL: 012191 FRAME: 0221