

09-28-2001



Case Name: SP01-169

To the Honorable Commi:

101858819

SHEET

ve attached documents or copy thereof.

(1) Conveying Party(ies): Roy J. Bourcier  
Russell A. Crook  
William G. Faber  
Dominick J. Forenz

09/21/01

Title: ALIGNMENT STRUCTURE AND METHOD FOR OPTICAL ELEMENTS

Additional name(s) of conveying party(ies) attached? Yes ☐ No ☒

(2) Receiving Party: Corning Incorporated  
Patent Dept.; SP-TI-03-1  
Corning, NY 14831

(3) Nature of conveyance: Assignment executed: September 6, 2001, September 11, 2001,  
September 4, 2001, and September 7, 2001.

(4) Application number(s) and/or patent number(s) against which this assignment is to be recorded:

A. Patent Application No.(s): 09/902,002  
Filing date: July 10, 2001

B. Patent No.(s):  
Filing date:

If this document is being filed together with a new application, this assignment is also to be recorded against said application, executed on September 6, 2001, September 11, 2001, September 4, 2001, and September 7, 2001.

(5) Name and address of party to whom correspondence concerning document should be mailed:

Name: Eric M. Smith  
Reg. No. 43,143  
Corning Incorporated  
Patent Department  
SP-TI-03-1  
Corning, NY 14831  
Phone No.: (607) 974-3380

(6) Total Number of applications and/or patents involved: one

(7) Total Fee = \$40.00

☐ Enclosed (Check No. )  
☐ Included with filing fee. Check No. \_\_\_\_  
☒ Authorization given by Corning Incorporated to charge deposit account number  
03-3325 (attached is a triplicate copy of this page)

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Eric M. Smith  
Eric M. Smith

19 September 2001  
Date

Total number of pages comprising cover sheet: One

Revision: July 28, 2000  
Document4

09/27/2001 LMUELLER 00000114 033325 09902002  
01 FC:581 40.00 CH

PATENT  
REEL: 012193 FRAME: 0189

**ASSIGNMENT**

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a **ALIGNMENT STRUCTURE AND METHOD FOR OPTICAL ELEMENTS**, such inventions being generally described in an application for Letters Patent of the United States executed on

DATE September 6, 2001

DATE September 11, 2001

DATE September 4, 2001

DATE September 7, 2001

DATE

DATE

and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at Corning, NY, this

\_\_\_\_\_  
Date

9/11/01

\_\_\_\_\_  
Roy J. Bourcier

*Russell A. Crook*

\_\_\_\_\_  
Date

9/4/01

\_\_\_\_\_  
Russell A. Crook

*William G. Faber*

\_\_\_\_\_  
Date

\_\_\_\_\_  
William G. Faber

\_\_\_\_\_  
Date

\_\_\_\_\_  
Dominick J. Forenz

State of New York)

: ss.

County of Steuben)

On the \_\_\_\_\_ day of \_\_\_\_\_ 2001, before me personally came **Roy J. Bourcier** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

\_\_\_\_\_  
Notary Public

COMMONWEALTH OF PENNSYLVANIA

~~XXXXXXXXXX~~

LEHIGH ss.

County of ~~XXXXXXXXXX~~

On the 11TH day of SEPTEMBER 2001, before me personally came **Russell A. Crook** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

*Debra L. Shipley*



State of New York)

: ss.

County of Steuben)

On the 4 day of September 2001, before me personally came **William G. Faber** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

*Karen M. Gerow*

Notary Public  
**KAREN M. GEROW**  
Notary Public, State of New York  
Qualified in Steuben County No. 01024000002  
My Commission Expires May 10, 2003

State of New York)

: ss.

County of Steuben)

On the \_\_\_\_\_ day of \_\_\_\_\_ 2001, before me personally came **Dominick J. Forenz** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

\_\_\_\_\_  
Notary Public

Signed at Corning, NY, this

Date

Roy J. Bourcier

Date

Russell A. Crook

Date

William G. Faber

Date

Dominick J. Forenz

State of New York)

: ss.

County of Steuben)

On the 6<sup>th</sup> day of September 2001, before me personally came **Roy J. Bourcier** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Notary Public

MICHELE W. O'DELL  
Notary Public, State of New York  
Schermer County No. 01121373  
Term Expires 5/31/02

State of New York)

: ss.

County of Steuben)

On the \_\_\_\_\_ day of \_\_\_\_\_ 2001, before me personally came **Russell A. Crook** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Notary Public

State of New York)

: ss.

County of Steuben)

On the 4 day of September 2001, before me personally came **William G. Faber** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Notary Public

KAREN M. GEROW  
Notary Public, State of New York  
Qualified in Steuben County No. 01GB400502  
My Commission Expires May 18, 2003

State of New York)

: ss.

County of Steuben)

On the 21<sup>st</sup> day of September 2001, before me personally came **Dominick J. Forenz** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Notary Public

CHRISTINE M. SAMSON  
Notary Public, State of New York  
No. 01SA6016665  
Qualified in Steuben County  
Commission Expires November 23, 2002