

09-28-2001



FORM PTO-1595

101858370

COVER SHEET

U.S. DEPARTMENT OF COMMERCE

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of Conveying party(ies):

Kulwinder S. Dua  
 Scott T. Moore  
 John A. Karpel

9-17-01

Additional name(s) of conveying party(ies) attached? \_\_\_ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment \_\_\_ Merger  
 \_\_\_ Security Agreement \_\_\_ Change of Name  
 \_\_\_ Other \_\_\_\_\_

Execution Date: September 7, 2001Additional name(s) & address(es) attached? \_\_\_ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No. 09/876,520

B. Patent No.

Additional numbers attached? \_\_\_ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Anton P. NessStreet Address: P.O. Box 2269City: Bloomington State: IN ZIP: 47402-2269

## 6. Total number of applications and patents involved

17. Total fee (37 CFR 3.41) ..... \$40.00

\_\_\_ Enclosed

☒ Authorized to be charged to deposit account8. Deposit account number: 13-2528

DO NOT USE THIS SPACE

## 9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Anton P. Ness

Name of Person Signing

Signature

September 13, 2001

Date

Total number of pages including cover sheet, attachments, and document 4

## CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231.

Grace Lewis

(Typed or printed name of person mailing paper or fee)

Date: September 13, 2001

Grace Lewis

(Signature of person mailing paper or fee)

## ASSIGNMENT AND AGREEMENT

For value received, We, Kulwinder S. Dua, residing at 1520 Rolling Meadow, Brookfield, WI 53045, U.S.A., Scott T. Moore, residing at 745 Irish Road, Rural Hill, NC 27045, U.S.A., and John A. Karpriel, residing at 4149 Allistair Road, Winston-Salem, NC 27104, U.S.A., hereby sell, assign, and transfer to **Wilson-Cook Medical Incorporated**, a corporation of the State of North Carolina, having an office at 4900 Bethania Station Road, Winston-Salem, North Carolina, 27105, U.S.A., and its successors, assigns and legal representatives, hereinafter collectively "Cook," the entire right, title and interest, for the United States of America, in and to certain inventions related to "Prosthesis Having a Sleeve Valve" described in an application for Letters Patent of the United States, filed June 7, 2001, and assigned Serial No. 09/876,520, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent or any continuation, division, renewal, or substitute thereof, and any reissue or re-examination thereof that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Cook the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

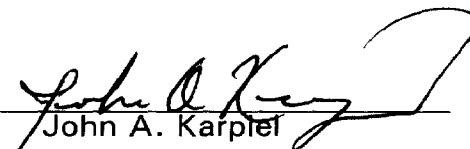
We authorize Cook to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Cook to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Cook in the United States and in all countries foreign to the United States, or to such nominees as Cook may designate.

We agree that, when requested, we shall, without charge to Cook but at their expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

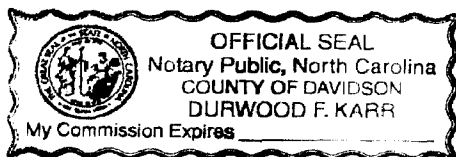
Date: 9-5-01

  
John A. Karpiel

United States of America )

State of N.C. ) ss:County of Forsyth )

On this 5 day of SEPT., 2001, before me personally came John A. Karpiel, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



  
Notary Public

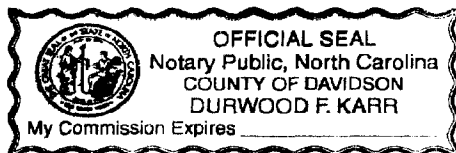
My Commission Expires: 3-4-03Date: 9/5/01

  
Scott T. Moore

United States of America )


State of N.C. ) ss:County of Forsyth )

On this 5 day of SEPT., 2001, before me personally came Scott T. Moore, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



  
Notary Public

My Commission Expires: 3-4-03

Date: September 07, 2001  
Kulwinder S. Dua

United States of America )

State of WISCONSIN ) ss:County of MILWAUKEE )

On this 7<sup>th</sup> day of September, 2001, before me personally came Kulwinder S. Dua, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Joyce A. Bruner  
Notary PublicMy Commission Expires: 4/6/03