

09-28-2001

To the Hon. Commissioner of Patents and Trademarks
Please record the attached original or copy thereof
Docket No. **GK-GEY-1060 / 500350.20059**



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SUBMISSION TYPE:

New
 Resubmission (Non-Recordation) Document ID # _____
 Correction of PTO Error / Reel # _____ / Frame # _____
 Corrective Document / Reel # 010789 / Frame # 0201
Enclosed is the originally filed PTO-1619A
and PTO's Notice of Recordation (18JUL00)

Assignment Change of Name
 Merger Security Agreement
 Other: **Re-record the enclosed assignment, the original PTO-1619A dated May 2, 2000 misspelled the second assignee's name.**
EXECUTION DATE: **February 22, 2000**

Name of conveying Party(ies):

**Dieter SCHULZ
Norbert HAECKL
Rainer BRUNNEN
Tom ROEHM**

9.27.01

Execution Date(s) (M / D / Y):

**February 22, 2000
February 22, 2000
February 22, 2000
April 19, 2000**

Name of receiving Party(ies):

**HENKE-SASS, WOLF GmbH and
SOFAMOR DANEK GROUP, INC., Surgical Navigation
Technologies**

Address of receiving Party(ies):

**Kronenstrasse 16, D-78532 Tuttlingen, GERMANY and
1800 Pyramid Place, Memphis, TENNESSEE 38132**

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative designation is attached: YES NO
(DESIGNATIONS MUST BE A SEPARATE DOCUMENT FROM ASSIGNMENT)

Correspondence and/or Domestic Representative Name, Address and Phone No.:
Gerald H. Kiel, Esq., Reed Smith LLP, 375 Park Avenue, New York, NY 10152 [Tel. No. (212)521-5400]

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Pages Enter the total number of pages of the attached conveyance document including any attachments: **[8]**

Application number(s) or Patent number(s):

Enter either the patent Application Number or the Patent Number (DO NOT ENTER BOTH NUMBERS for the same property)

A) Application number(s): **09/464,180** B) Patent number(s):

If this document is being filed together with a New Application, enter the date the patent application was signed by the first named executing inventor (M/D/Y):

Patent Cooperation Treaty (PCT)

Number of Properties Enter the total number of properties involved: **[1]**

Fee Amount Fee Amount for Properties Listed (37 CFR 3.41): **\$ 40.00**

Method of payment: Enclosed Deposit Account
(The Commissioner is hereby authorized to charge the deposit account any additional fees required or to credit any overpayment to Deposit Account No: 50-1529.)

Deposit Account
Enter for payment by deposit account or if additional fees can be charge to the account.

Deposit Account Number: **50-1529**
Authorization to charge additional fees Yes No

Statement and Signature To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Gerald H. Kiel
Reg. No.: 25,116
Name of Person Signing

Gerald H. Kiel
Signature

Date: **September 10, 2001**

MAILING CERTIFICATE I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box ASSIGNMENTS, Patent and Trademarks Office, Washington, DC 20031 on **September 10, 2001**

Ruth Montalvo
/ Ruth Montalvo

05-19-2000



101361376

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Docket No. **GK-GEY-1**

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Nature of Conveyance:

- Assignment Change of Name
- Merger Security Agreement
- Other: MAY - 5

EXECUTION DATE: **February 22, 2000 and April 19, 2000**

Name of conveying Party(ies):

**Dieter SCHULZ
Norbert HAECKL
Rainer BRUNNEN
Thomas E. ROEHM, III**

Execution Date (M / D / Y):

**February 22, 2000
February 22, 2000
February 22, 2000
April 19, 2000**

Name of receiving Party(ies):

**HENKE-SASS, WOLF GmbH and
Sofamer Danek Group Inc., Surgical Navigation Technologies**

Address of receiving Party(ies):

**Kronenstrasse 16, D-78632 Tuttlingen, GERMANY and
1800 Pyramid Place, Memphis, TENNESSEE 38132, respectively**

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative designation is attached: YES NO
(DESIGNATIONS MUST BE A SEPARATE DOCUMENT FROM ASSIGNMENT)

Correspondence and/or Domestic Representative Name, Address and Phone No.:

Gerald H. Kiel, Esq., McAulay Nissen Goldberg & Kiel, LLP, 261 Madison Avenue, New York, NY 10016-2391
(212)986-4090

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Pages

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09/464,180

B) Patent number(s):

If this document is being filed together with a New Application, enter the date the patent application was signed by the first named executing inventor: (M/D/Y) **February 22, 2000 and April 19, 2000, respectively**

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned

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- Enclosed
- Deposit Account

(The Commissioner is hereby authorized to charge the deposit account any additional fees required or to credit any overpayment to Deposit Account No: 13-0025.)

Deposit Account

Enter for payment by deposit account or if additional fees can be charge to the account.

Deposit Account Number: 13-0025

Authorization to charge additional fees Yes No

Statement and Signature To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Gerald H. Kiel

Reg. No.: 25,116

Name of Person Signing

Signature

Date: May 2, 2000

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: **BOX ASSIGNMENT, Patents and Trademark Office, Washington, DC 20231** on May 2, 2000

Ruth Montalvo

ASSIGNMENT - PATENT APPLICATION

UNITED STATES OF AMERICA

Whereas, I/We Dieter Schulz, Norbert Haeckl and Rainer Brunnen of Grubenstrasse 7, D-78570 Muehlheim a.D., Germany; Wolfbuehl 21, D-88637 Leibertingen, Germany; and Hohnerstrasse 3, D-78606 Seitingen, Germany, respectively (hereinafter "Assignor") have invented certain new and useful improvements in ENDOSCOPE WITH A COUPLING DEVICE (VIDEO COUPLER) FOR CONNECTION OF A VIDEO CAMERA for which application for Letters Patent in the United States of America ___ is about to be filed; ___X___ has been filed.

And Whereas, Henke-Sass, Wolf GmbH of Kronenstrasse 16, D-78532 Tuttlingen, Germany and SOFAMOR DANEK GROUP INC., Surgical Navigation Technologies of 1800 Pyramid Place, Memphis, Tennessee 38132, respectively (hereinafter "Joint Assignee") is/are desirous of acquiring an interest therein and in the Letters Patent to be obtained therefor:

Now, Therefore, be it known by all whom it may concern, that for good and valuable consideration (the sufficiency of which is hereby acknowledged) the Assignor has assigned, transferred and set over, and by these presents does assign, transfer and set over unto the said Assignee for the territory of the United States of America, the full and exclusive right, title and interest in and to the said invention, as fully set forth and described in the specification.

A. prepared and executed on _____

B. filed in the U.S. Patent and Trademark Office under Serial No. 09/464,180

on December 16, 1999 including any division, continuation, substitute or renewal application thereof; said invention, application and Letters Patent to be held and enjoyed by the said Assignee to the full end of the term for which said Letters Patent is granted, as fully and entirely as the same would have been held by the Assignor had this assignment and transfer not been made.

Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent for said invention to said Assignee.

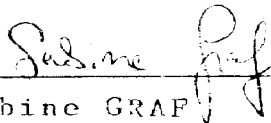
In testimony whereof, the Assignor has hereunto set his hand this

22 day of February 2000.

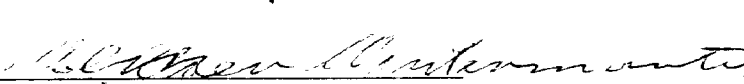
WITNESS:



Armin LEKITSCH

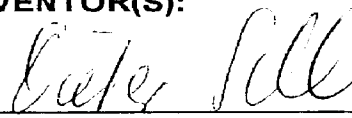


Sabine GRAP

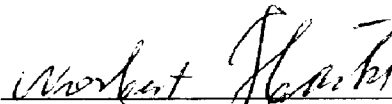


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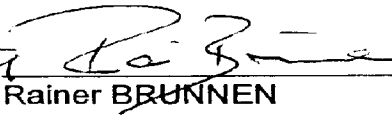
INVENTOR(S):



Dieter SCHULZ



Norbert HAECKL



Rainer BRUNNEN

ASSIGNMENT – PATENT APPLICATION

UNITED STATES OF AMERICA

Whereas, I/We Thomas E. Roehm, III of 410 Highway 59, Braden, TN 38010 (hereinafter “Assignor”) have invented certain new and useful improvements in ENDOSCOPE WITH A COUPLING DEVICE (VIDEO COUPLER) FOR CONNECTION OF A VIDEO CAMERA for which application for Letters Patent in the United States of America ___ is about to be filed; X has been filed.

And Whereas, Henke-Sass, Wolf GmbH of Kronenstrasse 16, D-78532 Tuttlingen, Germany and SOFAMOR DANEK GROUP, INC., Surgical Navigation Technologies of 1800 Pyramid Place, Memphis, Tennessee 38132, respectively (hereinafter “Joint Assignee”) is/are desirous of acquiring an interest therein and the Letters Patent to be obtained therefor:

Now, Therefore, be it known by all whom it may concern, that for good and valuable consideration (the sufficiency of which is hereby acknowledged) the Assignor has assigned, transferred and set over, and by these presents does assign, transfer and set over unto the said Assignee for the territory of the United States of America, the full and exclusive right, title and interest in and to the said invention, as fully set forth and described in the specification.

- A. prepared and executed on _____

- B. filed in the U.S. Patent and Trademark Office under Serial No. 09/464,180 on December 16, 1999 including any division, continuation, substitute or renewal application thereof; said invention, application and Letters Patent to be held and enjoyed by the said Assignee to the full end of the term for which said Letters Patent is granted, as fully and entirely as the same would have been held by the Assignor had this assignment and transfer not been made.

Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent for said invention to said Assignee.

In testimony whereof, the Assignor has hereunto set his hand this 19th day of April, 2000.

WITNESS:

Jerry G. Province

INVENTOR (S):

Thomas E. Roehm, III
Thomas E. Roehm, III