

09-28-2001

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Attorney's Docket No. 015780-044

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kenneth Alan Goldberg and Patrick P. Naulleau

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name

Other: \_\_\_\_\_

Execution Date: July 23, 2001

2. Name and address of receiving party(ies):

Name: The Regents of the University of California

Address: 1111 Franklin Street

Oakland, CA 94607-5200

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: July 23, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William H. Benz, Esq.

Address: BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404

Alexandria, Virginia 22313-1404

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR § 3.41): \$ 40.00

☒ Enclosed

☒ Authorized to be charged to deposit account, if necessary

8. Deposit account number:

02-4800

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Charles H. Jew, Reg. No. 34,192  
Name of Person Signing

Signature

September 17, 2001  
Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

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# ASSIGNMENT

Attorney Docket No: 015780-044

LBL No. CIB 1697

For good and valuable consideration, the receipt of which is hereby acknowledged,  
ASSIGNOR(S),

1. Kenneth Alan Goldberg  
1195 Keeler Avenue  
Berkeley, California 94708  
Citizenship: USA

Patrick P. Naulleau  
5239 Miles Avenue, Apt. A  
Oakland, California 94618  
Citizenship: USA

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its offices located 1111 Franklin Street, Oakland CA 94607-5200, and the successors, assigns and Legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

## DIFFRACTIVE OPTICAL ELEMENT FOR EXTREME ULTRAVIOLET WAVEFRONT CONTROL

and which is found in

(a) ☒ U.S. patent application executed on \_\_\_\_\_, entitled as above and listing the above named persons as inventors

(b) ☐ U.S. application serial no. \_\_\_\_\_, filed on \_\_\_\_\_

(c) ☐ U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement, or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will

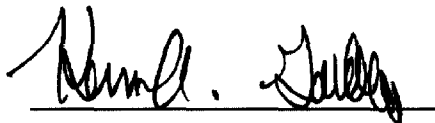
testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

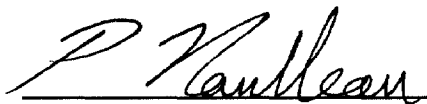
executed this

Signature of Inventor(s)

23<sup>rd</sup> day of July, 2001

  
Kenneth Alan Goldberg

23 day of July, 2001

  
Patrick P. Naulleau