

09-28-2001

FORM PTO-1595

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U.S. DEPARTMENT OF COMMERCE

2001 Staas & Halsey LLP

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

JCS78 U.S. PTO
09/956944
09/21/01

1. Name of conveying party(ies):
 1) Takeshi SHIOGA 2) Kazuaki KARASAWA 3) Kazuaki KURIHARA

2. Name and Address of receiving party(ies):

09/21/01

FUJITSU LIMITED
 1-1, Kamikodanaka, 4-chome
 Nakahara-ku, Kawasaki-shi
 Kanagawa 211-8588 Japan

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution Date(s): September 13, 2001

4. Application number(s) or patent number(s):

09/956944

☒ This document is being filed together with a new application:

(a) The execution date(s) of the application is/are: September 13, 2001

(b) The title is: CAPACITOR AND SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING
 THE SEMICONDUCTOR DEVICE

OR

☐ This document is being filed after filing of the application:

(a) Patent Application No(s). _____ / _____, filed _____; or

(b) Patent No(s). _____, issued _____

5. Name and address of party to whom correspondence concerning document should be mailed:

STAAS & HALSEY LLP

Our Docket: 1077.1011

Attention: James D. Halsey, Jr.

700 Eleventh Street, N.W., Suite 500

Washington, D.C. 20001

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)..... (\$ 40.00 per Assignment)

☒ Enclosed
☐ Authorized to be charged to deposit account.

8. Deposit Account No.: 19-3935 (Any underpayment is authorized to be charged to this Deposit Account)
 (Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

09/24/2001 MAHME1 00000024 09956944

04 FC:581

40.00 DP

James D. Halsey, Jr., Reg. No. 22,729
 Name of Person Signing

Signature

September 21, 2001
 Date

Total number of pages including cover sheet: 2

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U.S. ASSIGNMENT

6&H 7/84

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned Inventor(s) (hereinafter, "ASSIGNOR") by

(Insert Name(s) & Address(es) of ASSIGNEE(S))

FUJITSU LIMITED

1-1, Kamikodanaka 4-chome, Nakahara-ku, Kawasaki-shi,

Kanagawa 211-8588 Japan

(hereinafter, "ASSIGNEE"), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled

(Title of Invention)

CAPACITOR AND SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING
THE SEMICONDUCTOR DEVICE

relating to International Patent Application PCT/JP / and/or for which application for Letters Patent of the United States was executed on even date herewith or, if not so executed, was:

(a) executed on _____; (Insert date of execution of application, if not concurrent)

(b) filed on _____

Serial No. ____/____;

Any registered attorney of STAAS & HALSEY, 700 Eleventh Street, N.W., Washington, D.C. 20001 (202/434-1500) is hereby authorized to insert in (b) the specified data, when known.

and to said application and all Letters Patent(s) of the United States granted on said application and any continuation, division, renewal, substitute, reissue or reexamination application based thereon, for the full term or terms for which the said Letters Patent(s) may be granted and including any extensions thereof (collectively, hereinafter, "said application(s) and Letters Patent(s)").

The ASSIGNOR agree(s), when requested by said ASSIGNEE and without charge to but at the expense of said ASSIGNEE, to do all acts which the ASSIGNEE may deem necessary, desirable or expedient, for securing, maintaining and enforcing protection for said invention, including in the preparation and prosecution of said application(s) and the issuance of said Letters Patent(s), in any interference, reissue, reexamination, or public use proceeding, and in any litigation or other legal proceeding which may arise or be declared in relation to same, such acts to include but not be limited to executing all papers, including separate assignments and declarations, taking all rightful oaths, providing sworn testimony, and obtaining and producing evidence.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

(Typed Name & Signature of Inventor(s))

(Date)

(Typed Name & Signature of Witness(es))

1) <u>Takeshi Shioga</u> Takeshi Shioga	<u>September 13, 2001</u>	<u>Yasuko Watanabe</u> Yasuko Watanabe
2) <u>Kazuaki Karasawa</u> Kazuaki Karasawa	<u>September 13, 2001</u>	<u>Yasuko Watanabe</u> Yasuko Watanabe
3) <u>Kazuaki Kurihara</u> Kazuaki Kurihara	<u>September 13, 2001</u>	<u>Yasuko Watanabe</u> Yasuko Watanabe
4) _____	_____	_____
5) _____	_____	_____
6) _____	_____	_____

PATENT

RECORDED: 09/21/2001

REEL: 012196 FRAME: 0516