



101858766

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Marc Gerspacher
2. Andreas von Sprecher
3. Robert Mah
4. Silvio Roggo
5. Stefan Stutz

9.24/01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 1. 3 & 4. 05/11/99; 2. 04/19/99;

5. 05/16/99

2. Name and address of receiving party(ies)

Name: Novartis AG

Internal Address: _____

Street Address: Schwarzwaldallee 215City: Basel State: Switzerland ZIP: 4058Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/655,170

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas HoxieInternal Address: Novartis CorporationPatent and Trademark Dept.Street Address: 564 Morris AvenueCity: Summit State: NJ ZIP: 07901-10276. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40☐ Enclosed☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-0134 (in the name of Novartis Corporation)

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Carol A. LoeschornName of Person Signing
Reg. No. 35,590

Signature

Date

☐ Certificate of mailing on reverse sideTotal number of pages including cover sheet, attachments, and document: 23

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 012197 FRAME: 0670

ASSIGNMENT

I / We 1. Marc Gerspacher, Ebnetweg 6, 5073 Gipf-Oberfrick, Switzerland, citizen of Switzerland
2. Andreas von Sprecher, Nelkenweg 1, 4104 Oberwil, Switzerland, citizen of Switzerland
3. Robert Mah, Baslerstrasse 258, 4123 Allschwil, Switzerland, citizen of Canada
4. Silvio Roggo, Stettbrunnenweg 36, 4132 Muttenz, Switzerland, citizen of Switzerland
5. Stefan Stutz, Reichensteinerstr. 19, 4053 Basel, Switzerland, citizen of Switzerland

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Novartis AG, a Company organized under the laws of the Swiss Confederation, of Schwarzwaldallee 215, 4058 Basel, Switzerland**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:


ACYLAMINOALKENYLENE-AMIDE DERIVATIVES AS NK1 AND NK2 ANTAGONISTS

invented by me/us and described in the international application

No. **PCT/EP 97/04436** filed **13.08.1997**

and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **Novartis AG**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Novartis AG**.



Marc Gerspacher

Signed on

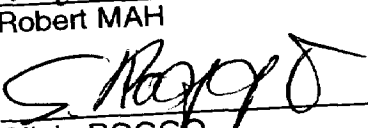
11.5.99

Andreas von Sprecher



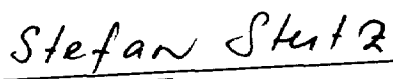
Robert MAH

11.5.99



Silvio ROGGO

11.5.99



Stefan STUTZ

16.5.99

US 10/97

ASSIGNMENT

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And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Novartis AG**.

Signed on

Marc Gerspacher

Andreas von Sprecher

Robert MAH

Silvio ROGGO

Stefan STUTZ

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