

10-01-2001

U.S. DEPARTMENT OF COMMERCE



2 SHEET

Patent and Trademark Office
Docket No. 226272000501

9-24-01

101861221

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Stephen D. LUPTON, James M. ALLEN, and Andrew L. FELDHAUS

- ☒ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☐ Corporation-State ☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Targeted Genetics Corporation
 Internal Address: 1100 Olive Way, Suite 100
 Street Address: 1100 Olive Way, Suite 100
 City: Seattle, State: Washington ZIP: 98101

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: June 2, 1994 for all conveying parties

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
 09/213,993

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Catherine M. Polizzi
 Morrison & Foerster LLP
 755 Page Mill Road
 Palo Alto, California 94304-1018

6. Total number of applications and patents involved: one (1)

7. Total fee (37 C.F.R. § 3.41): \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account, referencing
 Attorney Docket 226272000501

8. Deposit account number: 03-1952

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.21 that may be required by this paper, or to credit any overpayment to Deposit Account No. 03-1952.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name: Nicholas S. Buffinger
 Registration No: 39,124

 Signature

9/24/01
 Date

Total number of pages comprising cover sheet, attachments and document: three (3)

Mail documents to be recorded with required cover sheet information to:

U.S. Patent and Trademark Office
 Office of Public Records
 Box Assignments
 Crystal Gateway 4, Room 335
 Washington, D.C. 20231

pa-627199

PATENT
REEL: 012198 FRAME: 0384

JOINT ASSIGNMENT

THIS ASSIGNMENT, by Stephen D. Lupton, James M. Allen and Andrew L. Feldhaus (hereinafter referred to as the assignors), residing at Seattle, Washington, Seattle, Washington, and Lynnwood, Washington respectively, witnesseth:

WHEREAS, the said assignors are inventors of certain new and useful improvements in HYBRID GENES FOR USE IN THE PRODUCTION OF T_H-INDEPENDENT CYTOTOXIC T CELLS set forth in an application for Letters Patent of the United States, X having an oath or declaration executed on even date herewith, based on International Application No. PCT/US 94/03659 which was filed on 4 April 1994; and

WHEREAS, Targeted Genetics Corporation, a corporation duly organized under and pursuant to the laws of Washington, and having its principal place of business at 1100 Olive Way, Suite 100, Seattle, Washington 98101

(hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, the said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said assignors are the sole and lawful owner of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successors, legal representatives and assigns, that the said assignors will, whenever counsel of the said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of the said assignee, its successors, legal representatives and assigns.

AND the said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to the said assignee as the assignee of said inventions and the Letters Patent to be issued thereon

for the sole use and behoof of the said assignee, its successors, legal representatives and assigns.

Date	<u>6-2-94</u>	Name of Inventor	<u>Stephen D. Lupton</u> Stephen D. Lupton
Date	<u>6-2-94</u>	Name of Inventor	<u>James M. Allen</u> James M. Allen
Date	<u>6-2-94</u>	Name of Inventor	<u>Andrew L. Feldhaus</u> Andrew L. Feldhaus

Jon M. Case 6/2/94

JON M. CASE
STATE OF WASHINGTON
NOTARY --- PUBLIC
My Commission Expires 10-29-97