

10-01-2001

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PATENTS U.S.U.S. Department of Commerce
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks:
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ROBERT R. ALFANO
WUBAO WANG

09/27/01

Additional name(s) of conveying
party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agr. ☐ Change of Name
☐ Other
Execution Date: September 17, 2001

2. Name and address of receiving party(ies):

Name: The Research Foundation of City
Internal Address: University of New York

Street Address:

30 West BroadwayCity: New York State: NY ZIP: 10007Additional Name(s) & address(es) attached?
☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution
date of the application is: _____

A. Patent Application No.(s)
09/717,327

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party
to whom correspondence concerning
document should be mailed:Name: KRIEGSMAN & KRIEGSMAN
Internal Address: _____

Street Address: _____

665 FRANKLIN STREETCity: FRAMINGHAM State: MA ZIP: 017026. Total number of applications and
patents involved: ONE7. Total fee (37 C.F.R. 3.41).... \$40.00☒ Enclosed☐ Authorized to be charged to deposit
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8. Deposit Account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and
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Name of Person Signing

Signature

9-25-01

Date

Total number of pages including cover sheet, attachments and document: 3

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PATENT
REEL: 012198 FRAME: 0663

ASSIGNMENT

81248CIP

WHEREAS, ROBERT R. ALFANO, 3777 INDEPENDENCE AVE., BRONX, NEW YORK 10463, AND WUBAO WANG OF 138-10 FRANKLIN AVENUE, APT. 5B, FLUSHING, NEW YORK 11355 (hereinafter referred to as ASSIGNORS), have invented and own a certain invention entitled: METHOD AND SYSTEM FOR EXAMINING BIOLOGICAL MATERIALS USING LOW POWER CW EXCITATION RAMAN SPECTROSCOPY for which Letters Patent of the United States were filed on November 20, 2000 and assigned Serial No. 09/717,327.

WHEREAS: THE RESEARCH FOUNDATION OF CITY UNIVERSITY OF NEW YORK, a Corporation existing under the Laws of the State of New York having a principal place of business at 30 West Broadway, New York, New York 10007 (hereafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and application and to and under said Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

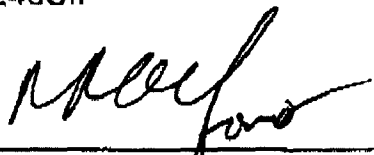
NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sell, assign and transfer to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries and to said application and Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which could conflict with this assignment and sale;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

METHOD AND SYSTEM FOR EXAMINING BIOLOGICAL MATERIALS USING LOW POWER CW EXCITATION
RAMAN SPECTROSCOPY

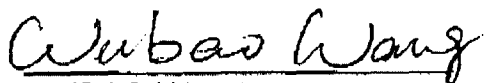
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ROBERT R. ALFANO

Printed Name of 1st Witness

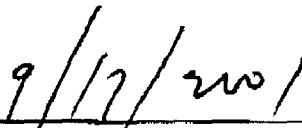
Signature of 1st Witness



WUBAO WANG

Printed Name of 1st Witness

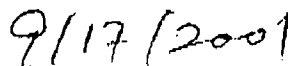
Signature of 1st Witness



Date

Printed Name of 2nd Witness

Signature of 2nd Witness



Date

Printed Name of 2nd Witness

Signature of 2nd Witness