


 SHEET
 3 ONLY

TO THE ASSISTANT COMMISSIONER

101863754

SIR: PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. NAME OF CONVEYING PARTY(IES) (ASSIGNORS(S)):

1. Syngenta Limited (formerly Zeneca Limited)

3.

5.

7.

2.

4.

6.

8.

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: Avecia Limited

ADDRESS: Hexagon House, Blackley, Manchester M9 8ZS, England

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)
☒ ASSIGNMENT OF ☒ WHOLE ☐ PART INTEREST
☐ ORIGINAL ☐ FACSIMILE/PHOTOCOPY
☐ CHANGE OF NAME ☐ VERIFIED TRANSLATION
☐ SECURITY ☐ MERGER ☐ OTHER:

EXEC. DATE: June 8, 2001

EXECUTION DATE(S) ON THE DECLARATION IF FILED HEREWITH: (NOTE: IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!)

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☒ YES ☐ NO

A. PAT. APP. NO.(S) series code/serial no	M#	1 st INVENTOR if not in item 1	B. PATENT NO(S)	M#	1 st INVENTOR if not in item 1

5. Name & Address of Party to Whom Correspondence
Concerning Document Should be Mailed:
 Pillsbury Winthrop LLP
 Intellectual Property Group
 1600 Tysons Boulevard McLean, VA 22102
 Tel: (703) 905-2000

6. NUMBER INVOLVED:

APPLNS 2 + PATS 45 = TOTAL = 47

7. AMOUNT OF FEE ENCLOSED: (Code 581)

ABOVE TOTAL x \$40 = \$1880

5.5 ATTY DKT:

P 243452

L3176/US

8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE
INSUFFICIENCY TO DEPOSIT ACCOUNT NUMBER: 03-3975

UNDER ORDER NO

70662

243452

MATTER NO.

CLIENT REF.

dup. sheet not required

CLIENT NO.

MATTER NO.

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Signature

 10. Total number of pages including this
 cover sheet, attachments and document
 (do not file dup. Cover sheet)

7

Attorney: Paul N. Kokulis

Reg. No. 16773

Date: September 27, 2001

Atty/Sec: PNK/MAH

TEL: (703) 905-2118

FAX: (703) 905-2500

FILE WITH PTO RETURN RECEIPT (PAT-103A)

10/02/2001 LMUELLER 00000172 4532159

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1880.00

Schedule

Patents

Zeneca Limited

Case No	Territory	Application Number	Application Date	Patent No	Grant Date
31158	USA	242522	11-Mar-81	4532159	30-Jul-85
31966	USA	401713	26-Jul-82	4461647	24-Jul-84
41000	USA	815918	02-Jan-86	4736040	05-Apr-88
33279	USA	789765	21-Oct-85	4758518	19-Jul-88
33951	USA	66236	25-Jun-87	4797440	10-Jan-89
33995	USA	77875	27-Jul-87	4812572	14-Mar-89
34237	USA	162514	01-Mar-88	4824947	25-Apr-89
33347	USA	263985	27-Oct-88	4861380	29-Aug-89
33485	USA	281078	08-Dec-88	4978788	18-Dec-90
34905	USA	406339	13-Sep-89	5003067	26-Mar-91
34907	USA	406338	13-Sep-89	5010192	23-Apr-91
34882	USA	398369	24-Aug-89	5053495	01-Oct-91
34527	USA	719369	24-Jun-91	5153259	06-Oct-92
35343	USA	860564	30-Mar-92	5171848	15-Dec-92
33485	USA	532578	04-Jun-90	5176843	05-Jan-93
34882	USA	719342	24-Jun-91	5203912	20-Apr-93
36608	USA	958058	08-Oct-92	5248816	28-Sep-93
36519	USA	932296	19-Aug-92	5260487	09-Nov-93
35845	USA	723324	28-Jun-91	5262527	16-Nov-93
35844	USA	07/723323	28-Jun-91	5268459	07-Dec-93
33485	USA	07/957029	06-Oct-92	5281336	25-Jan-94
36800	USA	08/000086	04-Jan-93	5296023	22-Mar-94
33049	USA	07/70676	06-Jul-87	5300255	05-Apr-94
37134	USA	08/103697	10-Aug-93	5354920	11-Oct-94
35844	USA	07/983170	30-Nov-92	5374301	20-Dec-94
37133	USA	08/108424	19-Aug-93	5399761	21-Mar-95
37375	USA	08/178869	07-Jan-94	5473053	05-Dec-95
36763	USA	08/12435	02-Feb-93	5494511	27-Feb-96
37133	USA	08/404468	15-Mar-95	5502254	26-Mar-96
33049	USA	08/165828	14-Dec-93	5536445	16-Jul-96
37380	USA	08/492003	19-Jan-94	5558816	24-Sep-96
37163	USA	08/392796	20-Aug-93	5580764	03-Dec-96
37375	USA	08/495418	17-Dec-93	5616694	01-Apr-97
37484	USA	08/525725	25-Feb-94	5700395	23-Dec-97
37917X	USA	08/596179	20-Dec-94	5753022	19-May-98
37656	USA	08/578658	06-Jun-94	5763675	09-Jun-98
37998X	USA	08/702482	17-Feb-95	5792887	11-Aug-98
60037	USA	08/875075	26-Feb-96	5936094	10-Aug-99
36023	USA	08/063330	19-May-93	5990174	23-Nov-99
60014	USA	08/860280	18-Dec-95	6005032	21-Dec-99
60200	USA	09/331241	13-Nov-97	6040452	21-Mar-00
33485	USA	08/145239	03-Nov-93	6113804	05-Sep-00
34973	USA	08/968091	12-Nov-97	6146879	14-Nov-00

Zeneca Limited & Zeneca Resins BV

Case No	Territory	Application Number	Application Date	Patent No	Grant Date
34527	USA	273091	18-Nov-88	5043098	27-Aug-91
34527	USA	719985	24-Jun-91	5128406	07-Jul-92

Applications

Zeneca Limited

Case No	Territory	Application Number	Application Date	Patent No	Grant Date
37633	USA	08/564193	27-May-94		
60155	USA	09/242899	14-Aug-97		

CONFIRMATION OF ASSIGNMENT

This confirmation of assignment is made this 8 day of June 2001 between Syngenta Limited (the "Assignor"), a company registered in England and Wales with number 2710846 whose registered office is at Fernhurst, Haslemere, Surrey GU27 3JE England (formerly called Zeneca Limited of 15 Stanhope Gate, London W1Y 6LN, England) and Avecia Limited (the "Assignee"), a company registered in England and Wales with number 3730853, having its principal place of business at Hexagon House, Blackley, Manchester M9 8ZS, England (formerly called ZSC Specialty Chemicals UK Limited)

WHEREAS

- A. The Assignor is the owner in whole or in part of the patents and patent applications set forth in the Schedule attached hereto;
- B. The Assignor under its former name Zeneca Limited, for good and valuable consideration, the receipt of which is acknowledged, assigned its entire right, title and interest in and to said patents and patent applications to the Assignee under its former name ZSC Specialty Chemicals UK Limited as of June 30, 1999;
- C. Subsequent to June 30, 1999, ZSC Specialty Chemicals UK Limited changed its name to Avecia Limited, and Zeneca Limited changed its name to Syngenta Limited as shown by the attached Exhibits A and B respectively;
- D. No document reflecting said assignment from the Assignor to the Assignee, or said changes of name, have previously been recorded in respect of said patents and patent applications and the parties now wish to record such assignment from the Assignor to the Assignee and said changes of name.

NOW, THEREFORE, IT IS HEREBY AGREED:

- 1. The Assignor acknowledges the assignment to the Assignee, for good and valuable consideration, the receipt and sufficiency of which are duly acknowledged by Assignor, of the Assignor's entire right, title and interest in and to
 - (a) the patents and applications for patents noted in the attached Schedule together with the right to be registered as proprietor thereof and, in relation to the patent applications and any letters patent which may arise or issue therefrom, and with the intent that upon the application proceeding to grant the resultant registration shall vest in the Assignee; and
 - (b) any and all patents and applications for patents equivalent or corresponding to any of the patents and applications listed in the Schedule and/or claiming priority from or divided out of any of such patents or applications, together with such rights as Assignor may have to sue for past infringement of any such patent rights, to hold unto the Assignee absolutely.

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2. The Assignor shall from time to time execute and deliver or procure the execution and delivery of all such instruments of transfer, conveyance and/or assignment as the Assignee may reasonably require to perfect or record the Assignee's title to or interest in the patents and patent applications noted in the Schedule PROVIDED THAT the Assignee shall prepare and assume the cost of obtaining, preparing, executing, notarizing, legalizing and/or recording any such instrument of transfer, conveyance and/or assignment or any other document needed to perfect the Assignee's interest in or title to the same or any portion thereof or to establish a chain of title.
3. All of the benefits and obligations of this Assignment accrue to Avecia Limited by virtue of the name change of ZSC Specialty Chemicals UK Limited to Avecia Limited.
4. This Assignment shall be governed by and construed in accordance with the relevant U.S. federal patent laws except that any dispute arising under paragraph 2 and any other matter relating to this Confirmation of Assignment, or the Assignment itself, for which there is no relevant U.S. federal patent law shall be governed by and construed in accordance with the laws of the State of Delaware and the parties hereto submit to the non-exclusive jurisdiction of the federal and state courts in the State of Delaware.

IN WITNESS WHEREOF this Confirmation of Assignment has been executed by the duly authorized representatives of the parties the day and year first above written.

For Syngenta Limited (formerly called Zeneca Limited).

X
Signature: _____

Full Name: **William Robert McAllen Spence**
authorised signatory

Capacity: _____

For Avecia Limited (formerly called ZSC Specialty Chemicals UK Limited)

X
Signature: _____

Full Name: **Michael Frederick Sevant**
authorised signatory

Capacity: _____

L3176/US/1

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37375	USA	08/495418	17-Dec-93	5616694	01-Apr-97
37484	USA	08/525725	25-Feb-94	5700395	23-Dec-97
37917X	USA	08/596179	20-Dec-94	5753022	19-May-98
37656	USA	08/578658	06-Jun-94	5763675	09-Jun-98
37998X	USA	08/702482	17-Feb-95	5792887	11-Aug-98
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EXHIBIT A

THE COMPANIES ACT 1985

Company No. 3730853

The Registrar of Companies for England and Wales hereby certifies that

AVECIA LIMITED

formerly called **ZSC SPECIALTY CHEMICALS UK LIMITED**

which name was changed by special resolution was incorporated under the **Companies Act 1985**

as a limited company on the **11th March 1999** and that the certificate of incorporation altered to meet the circumstances of the case was issued on the **1st July 1999**

Given at Companies House, Cardiff the **8th September 1999**

J. LUKER
for the Registrar of Companies



Companies House

— for the record —

PATENT
REEL: 012199 FRAME: 0506



EXHIBIT B

**CERTIFICATE OF INCORPORATION
ON CHANGE OF NAME**

Company No. 2710846

The Registrar of Companies for England and Wales hereby certifies that

ZENECA LIMITED

having by special resolution changed its name, is now incorporated
under the name of

SYNGENTA LIMITED

Given at Companies House, London, the 21st November 2000

N. Richards.

MR. N. RICHARDS

For The Registrar Of Companies



C O M P A N I E S H O U S E

RECORDED: 09/28/2001

PATENT
REEL: 012199 FRAME: 0507