

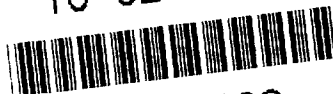
10-02-2001

Docket No.: 99,216-H

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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P08/REV02

HEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



101863996

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Igor B. Roninson
Milos Dokmanovic
Bey-Dih Chang

09/27/01

2. Name and address of receiving party(ies):

Name: Board of Trustees of the University of Illinois

Internal Address: 352 Henry Administration Building

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 9/12/01; 9/14/01

Street Address: 506 South Wright Street

City: Urbana State: IL ZIP: 61801

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/865,879

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kevin E. Noonan, Reg. No. 35,303

Internal Address: McDonnell Boehnen Hulbert

& Berghoff

10/01/2001 TDIAZ1 00000068 09865879

01 FD:581

40.00 DP

Street Address: 300 South Wacker Drive, Suite 3200

City: Chicago State: IL ZIP: 60606

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

13-2490

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kevin E. Noonan

Name of Person Signing

Signature

September 24, 2001

Date

Total number of pages including cover sheet, attachments, and document: 9

PATENT

REEL: 012200 FRAME: 0759

ASSIGNMENT

Case No.: 99,216-H

Serial No.: 09/865,879

Inventors: Igor B. Roninson, Milos Dokmanovic, Bey-Dih Chang

Date of Execution

of Application:

Filing Date: May 25, 2001

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to:

Board of Trustees of the University of Illinois

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

**REAGENTS AND METHODS FOR IDENTIFYING AND MODULATING EXPRESSION OF GENES
REGULATED BY RETINOID**

and identified as:

Case No. 99,216-H

in the offices of McDONNELL BOEHNNEN HULBERT & BERGHOF and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

The undersigned warrant themselves to be the owners of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this 14 day of September 2001.


Igor B. Roninson

State of Illinois

County of Cook

The foregoing instrument was acknowledged before me this 14th day of Sept, 2001 by Igor B. Roninson.


NOTARY PUBLIC



WITNESS my hand and seal this ____ day of _____, 2001.

Milos Dokmanovic

State of _____

County of _____

The foregoing instrument was acknowledged before me this ____ day of _____, 2001 by Milos Dokmanovic.

NOTARY PUBLIC

WITNESS my hand and seal this ____ day of _____, 2001.

Bey-Dih Chang

State of _____

County of _____

The foregoing instrument was acknowledged before me this ____ day of _____, 2001 by Bey-Dih Chang.

NOTARY PUBLIC

ASSIGNMENT

Case No.: 99,216-H

Serial No.: 09/865,879

Inventors: Igor B. Roninson, Milos Dokmanovic, Bey-Dih Chang

Date of Execution

of Application:

Filing Date: May 25, 2001

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Board of Trustees of the University of Illinois

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

**REAGENTS AND METHODS FOR IDENTIFYING AND MODULATING EXPRESSION OF GENES
REGULATED BY RETINOIDS**

and identified as:

Case No. 99,216-H

in the offices of McDONNELL BOEHNEN HULBERT & BERGHOFF and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

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The undersigned warrant themselves to be the owners of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

- 1 -

McDONNELL BOEHNEN
HULBERT & BERGHOFF
300 SOUTH WACKER DRIVE
CHICAGO, ILLINOIS 60606
TELEPHONE (312) 913-0001

HULBERT & BERGHOFF
300 SOUTH WACKER DRIVE
CHICAGO, ILLINOIS 60606
TELEPHONE (312) 913-0001

**PATENT
REEL: 012200 FRAME: 0763**

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this ____ day of _____, 2001.

Igor B. Roninson

State of _____

County of _____

The foregoing instrument was acknowledged before me this ____ day of _____, 2001 by Igor B. Roninson.

NOTARY PUBLIC

WITNESS my hand and seal this 12th day of September, 2001.

Milos Dokmanovic

Milos Dokmanovic

State of Illinois

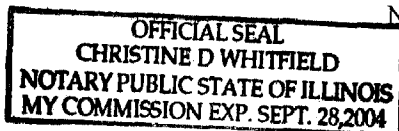
County of Cook

The foregoing instrument was acknowledged before me this 12th day of

Sept, 2001 by Milos Dokmanovic.

Christine D. Whitfield

NOTARY PUBLIC



WITNESS my hand and seal this ____ day of _____, 2001.

Bey-Dih Chang

State of _____

County of _____

The foregoing instrument was acknowledged before me this ____ day of

_____, 2001 by Bey-Dih Chang.

NOTARY PUBLIC

ASSIGNMENT

Case No.: 99,216-H

Serial No.: 09/865,879

Inventors: Igor B. Roninson, Milos Dokmanovic, Bey-Dih Chang

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WITNESS my hand and seal this _____ day of _____, 2001.

Igor B. Roninson

State of _____

County of _____

The foregoing instrument was acknowledged before me this _____ day of _____, 2001 by Igor B. Roninson.

NOTARY PUBLIC

WITNESS my hand and seal this _____ day of _____, 2001.

Milos Dokmanovic

State of _____

County of _____

The foregoing instrument was acknowledged before me this _____ day of _____, 2001 by Milos Dokmanovic.

NOTARY PUBLIC

WITNESS my hand and seal this 12th day of Sep, 2001.

Bey-Dih Chang
Bey-Dih Chang

State of Illinois

County of COOK

The foregoing instrument was acknowledged before me this 12th day of Sept, 2001 by Bey-Dih Chang.

Christine D. Whitfield
NOTARY PUBLIC

