

10-03-2001

FORM PTO-1595  
P21289.P06



EET

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

To the Honorable Commis:

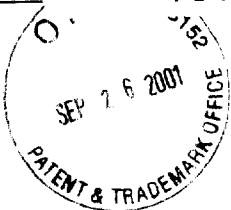
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attached original documents or copy thereof.

1. Name of Conveying party(ies):

ENDO, Hirofumi  
YONETANI, Yoshiyuki  
MIZOGUCHI, Hiroshi  
HASHIMOTO, Shin-ichi  
OZAKI, Akio

9-2-01



Additional name(s) of conveying party(ies) attached? \_\_\_ Yes X No

2. Name and address of receiving party(ies)

Name: KYOWA HAKKO KOGYO CO., LTD.

Internal Address: \_\_\_\_\_

Street Address: 6-1, Ohtemachi 1-chome, Chiyoda-ku, Tokyo

100-8185, JAPAN

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional name(s) and address(es) attached? \_\_\_ Yes X No

3. Nature of conveyance:

X Assignment                      \_\_\_ Merger  
\_\_\_ Security Agreement            \_\_\_ Change of Name  
\_\_\_ Other \_\_\_\_\_

Execution Date: September 13, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)  
09/869,334

B. Patent No.(s)

Additional numbers attached: \_\_\_ Yes X No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bruce H. Bernstein

Internal Address: P 21289

Street Address: GREENBLUM & BERNSTEIN, P.L.C.

1941 Roland Clarke Place

City: Reston State: VA ZIP: 20191

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41).....\$ 40.00

X Enclosed  
\_\_\_ Authorized to be charged to deposit account

8. Deposit account number:

19-0089

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Bruce H. Bernstein, Reg. No. 29,027  
Name of Person Signing

[Signature]  
Signature

9/26/01  
Date

Total number of pages including cover sheet, attachments and document: 4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

**PATENT**  
**REEL: 012206 FRAME: 0781**

ASSIGNMENT

WHEREAS, **Hirofumi ENDO**, a citizen of Japan, whose post office address is c/o Head Office, Kyowa Hakko Kogyo Co., Ltd., 6-1, Ohtemachi 1-chome, Chiyoda-ku, Tokyo 100-8185, Japan, **Yoshiyuki YONETANI**, a citizen of Japan, whose post office address is c/o Tokyo Research Laboratories, Kyowa Hakko Kogyo Co., Ltd., 6-6, Asahi-machi 3-chome, Machida-shi, Tokyo 194-8533, Japan, **Hiroshi MIZOGUCHI**, a citizen of Japan, whose post office address is c/o Tokyo Research Laboratories, Kyowa Hakko Kogyo Co., Ltd., 6-6, Asahi-machi 3-chome, Machida-shi, Tokyo 194-8533, Japan, **Shin-ichi HASHIMOTO**, a citizen of Japan, whose post office address is c/o Tokyo Research Laboratories, Kyowa Hakko Kogyo Co., Ltd., 6-6, Asahi-machi 3-chome, Machida-shi, Tokyo 194-8533, Japan, and **Akio OZAKI**, a citizen of Japan, whose post office address is c/o Technical Research Laboratories, Kyowa Hakko Kogyo Co., Ltd., 1-1, Kyowa-cho, Hofu-shi, Yamaguchi 747-8522, Japan, hereinafter referred to as the ASSIGNORS, have invented a certain improvement relating to

**Process For Producing HMG-CoA Reductase Inhibitors**

for which an application for Letters Patent in the United States was filed in the United States Patent and Trademark Office on July 26, 2001 and awarded Serial No. 09/869,334, and which Application is a National Stage of International Application No. PCT/JP00/00472.

AND WHEREAS, **Kyowa Hakko Kogyo Co., Ltd.**, a corporation organized and existing under the laws of Japan, whose post-office address is 6-1, Ohtemachi 1-chome, Chiyoda-ku, Tokyo 100-8185, Japan, hereinafter known as the ASSIGNEE, is desirous of acquiring the entire right, title and interest for the United States in and to said invention and application, including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted thereon, including any and all renewals, reissues, and prolongations thereof.

NOW, THIS WITNESSETH that for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt whereof is hereby acknowledged, said ASSIGNORS hereby assign, sell and transfer to said ASSIGNEE, its assigns and legal representatives, the entire and exclusive right, title and interest in and to said invention and application, for the United States including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted therefor, said ASSIGNEE and its assigns and legal representatives to have, hold, exercise and enjoy the said invention and application, including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted thereon, including any and all renewals, reissues and prolongations thereof, with all the rights, powers, privileges, and advantages in any ways arising from or pertaining thereto, for and during the term or terms of any and all such Letters Patent when granted, including any and all renewals, reissues, and prolongations thereof, for the use and benefit of said ASSIGNEE and its assigns and legal representatives in as ample and beneficial a manner to all intents and purposes as the said ASSIGNORS might or could have held and enjoyed the same, if this assignment had not been made.

AND said ASSIGNORS hereby agree to execute all papers necessary to file applications in the United States for said invention and to assign the same to said ASSIGNEE, or any assignee acquiring title to said invention, and to execute any other papers that may be needed in connection with filing said application and securing Letters Patent thereon.

AND said ASSIGNORS authorize and request the Commissioner of Patents to issue Letters Patent on said application, and on any and all divisions and continuations thereof, to said ASSIGNEE, its assigns and legal representatives, in accordance herewith.

IN TESTIMONY WHEREOF, this assignment is executed by said ASSIGNORS, on the respective dates indicated below.

Witnessed by:

Satoru Tamura Sep. 13, 2001  
 Name Date  
 Satoru TAMURA

Hirofumi Endo Sep. 13, 2001  
 Name Date  
 Hirofumi ENDO

Shinji Ishido Sep. 13, 2001  
 Name Date  
 Shinji ISHIDO

Witnessed by:

Makoto Yagasaki Sep. 13, 2001  
 Name Date  
 Makoto YAGASAKI

Yoshiyuki Yonetani Sep. 13, 2001  
 Name Date  
 Yoshiyuki YONETANI

Hajime Ikeda Sep. 13, 2001  
 Name Date  
 Hajime IKEDA

Witnessed by:

Makoto Yagasaki Sep. 13, 2001  
Name Date  
Makoto YAGASAKI

Hiroshi Mizoguchi Sep. 13, 2001  
Hiroshi MIZOGUCHI Date

Hajime Ikeda Sep. 13, 2001  
Name Date  
Hajime IKEDA

Witnessed by:

Akinori Yasuhara Sep. 13, 2001  
Name Date  
Akinori YASUHARA

Shin-ichi Hashimoto Sep. 13, 2001  
Shin-ichi HASHIMOTO Date

Koichi Miyake Sep. 13, 2001  
Name Date  
Koichi MIYAKE

Witnessed by:

Akinori Yasuhara Sep. 13, 2001  
Name Date  
Akinori YASUHARA

Akio Ozaki Sep. 13, 2001  
Akio OZAKI Date

Koichi Miyake Sep. 13, 2001  
Name Date  
Koichi MIYAKE