FORM PTO-1595 (Modified) (Rev, 6-93) OMB No. 0651-0011 (exp.4/94) Copyi #t 1996-97 LegalStar P08A/REV02

10-04-2001



101865562

Docket No.: 133/135

ΞΤ

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Tab Saturitys	000002
To the Honorable Commissioner of Patents and Tradem	arks: Please record the attached original documents or copy thereof.
1. Name of conveying party(ies): Monsanto Co./Pharmacia Corp.	Name and address of receiving party(ies): Name: Spectrum Laboratories, Inc.
	Address: 18617 Broadwick St.
	Address. 1001/ Broadwick St.
Additional names(s) of conveying party(ies) attached?	
3. Nature of conveyance:	
■ Assignment	
☐ Security Agreement ☐ Change of Name	City: Rancho Dominguez State/Prov.: CA
Other	Country: <u>U.S.A.</u> ZIP: <u>90220</u>
Execution Date: January 25, 2001	Additional name(s) & address(es) attached? ☐ Yes 図 No
4. Application number(s) or registration numbers(s):	
If this document is being filed together with a new applic	cation, the execution date of the application is:
Patent Application No. Filing date	B. Patent No.(s)
•	
09/736,339 12/15/00	
_	
ــــــــــــــــــــــــــــــــــــــ	pers attached? Yes No
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1
Name: Edgar W. Averill, Jr.	7. Total fee (37 CFR 3.41):\$ 40.00
Registration No. 24,752	☐ Enclosed - Any excess or insufficiency should be
Registration No. 24,752 Address: 8244 Painter Ave.	Enclosed - Any excess or insufficiency should be credited or debited to deposit account
	 ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account ☑ Authorized to be charged to deposit account
	credited or debited to deposit account Authorized to be charged to deposit account
Address: 8244 Painter Ave.	Credited or debited to deposit account Authorized to be charged to deposit account 8. Deposit account number:
Address: 8244 Painter Ave. City: Whittier State/Prov.: CA	credited or debited to deposit account Authorized to be charged to deposit account
Address: 8244 Painter Ave. City: Whittier State/Prov.: CA Country: U.S.A. ZIP 90602	credited or debited to deposit account Authorized to be charged to deposit account 8. Deposit account number: 01-3022
Address: 8244 Painter Ave. City: Whittier State/Prov.: CA Country: U.S.A. Zn 90602 0/04/2001 DBYRNE 00000030 013022 09736339 DC	Credited or debited to deposit account Authorized to be charged to deposit account 8. Deposit account number:
Address: 8244 Painter Ave. City: Whittier State/Prov.: CA Country: U.S.A. 21 90602 0/04/2001 DBYRNE 00000030 013022 09736339 DC FC:581 40.00 CH 9. Statement and signature.	Authorized to be charged to deposit account 8. Deposit account number: 01-3022 NOT USE THIS SPACE
Address: 8244 Painter Ave. City: Whittier State/Prov.: CA Country: U.S.A. 211 90602 0/04/2001 DBYRNE 00000030 013022 09736339 DC FC:581 40.00 CH 9. Statement and signature. To the best of my knowledge and belief, the foregoing in	credited or debited to deposit account Authorized to be charged to deposit account 8. Deposit account number: 01-3022
City: Whittier State/Prov.: CA Country: U.S.A. ZII: 90602 O/04/2001 DBYRNE 00000030 013022 09736339 FC:581 40.00 CH 9. Statement and signature. To the best of my knowledge and belief, the foregoing it of the original document.	Authorized to be charged to deposit account 8. Deposit account number: 01-3022 NOT USE THIS SPACE

PATENT

REEL: 012208 FRAME: 0113

SPECTRUMS ORIGINAL

Supported Polymeric Liquid Membrane Sale Agreement

This Agreement is effective _______ January 25;_____, 2001___ (the "Effective Date") and is by and between:

Pharmacia Corporation, a Delaware corporation having an office at 800 North Lindbergh Blvd., St. Louis, MO 63167 ("Pharmacla") and

Spectrum Laboratories, Inc., a Delaware corporation with offices at 23022 La Cadena Laguna Hills, CA 92653 ("Spectrum").

In consideration of the mutual covenants and payments described below.

Pharmacia agrees to assign certain patent rights and technology to Spectrum.

- 1. Definitions
- 1.01 The following terms shall have the indicated meanings.
- 1.02 Patent Rights means those patents and patent applications listed in Attachment A, which is incorporated by reference, together with all continuations, divisionals, continuations-in-part and extensions.
- 1.03. Technology means the technical information which relates to supported polymeric liquid membranes which is contained in the technical reports listed in Attachment B, which is incorporated by reference.
- Assignments and Reservation of Rights
- 2.01 Pharmacia agrees to assign to Spectrum the Patent Rights, subject to the reserved rights provided for below.
- 2.02 Pharmacia agrees to assign to Spectrum the Technology, subject to the reserved rights provided for below.
- 2.03 Spectrum shall be responsible for preparing and recording any and all documents necessary for perfecting or recording the transfer of ownership of the Patent-Rights in any applicable patent offices. Pharmacia shall cooperate by providing such information as may be reasonably requested by Spectrum and by executing such documents.
- 2.04 Pharmacia reserves for itself and for its subsidiary, Monsanto Company, a Delaware corporation, also with offices at 800 North Lindbergh Blvd., St. Louis. MO 63167, a nonexclusive, worldwide, paid up license under the Patent Rights and under the Technology for research, development and production of materials.

Attachment A - Patent Rights

Case Numbers 977 and 12479 (US only)

Extracting Organic Compounds from Aqueous Solutions

US patent 5,512,180 issued April 30, 1996
EPO patent 561,760 - Issued August 26, 1998 in Austria (E170091), Belgium, EPO patent 561,760 - Issued August 26, 1998 in Austria (E170091), Belgium, Switzerland, Dehmark, Germany, Spain, France, UK, Greece, Ireland, Switzerland, Luxembourg, Netherlands, Sweden Canada patent 2,092,029 issued August 1999

Japan patent 2637890 issued April 25, 1997 (under opposition)

Mexico patent 931569 issued October 30, 1998

Case Number 978

Supported Liquid Membrane and Separation Process

US 5,507,949 patent issued April 16, 1996
Canada patent 9,092,030 issued September 19, 2000
EPO application Publication No. 0561759 published Sept. 22, 1993 (allowed)
Japan patent 2615335 issued March 11, 1997
Mexico patent 187417 issued December 10, 1997

Case Number 12051

A Membrane Process for Making Enhanced Flavor Fluids

US application 09219899 filed December 24, 1998 (allowed and divisional being filed)

Australia application 2204399 filed December 24, 1998 Canada application 2315741 filed December 24, 1998 EPO application 9890660576 filed December 24, 1998

Case Numbers 12413 and 12478 (US only)

Nevel Solid Poly-Amphiphilic Polymer Having Use in a Separation Process

US patent 5,552,053 issued September 3, 1996
Canada application 2103742 filed August 10, 1993 (allowed)
EPO application publication number 0583234 published February 16, 1994
Japan patent 2563072 issued September 19, 1996
Mexico patent 190051 issued October 14, 1998

6

RECORDED: 09/28/2001 REEL: 012208 FRAME: 0115