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(Rev. 3/01)  
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PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Peter F. King

10-1-01

2. Name and address of receiving party(ies):

Name: Openwave Systems Inc.

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached?

☒ No ☐ Yes

3. Nature of Conveyance

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other:

Execution Date(s): 9/18/01

Street Address: 1400 Seaport Boulevard, Office #W4152

City: Redwood City State/Province: CA Zip: 94063

Country: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application Number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/872,997

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Jordan M. Becker

Name: Blakely, Sokoloff, Taylor & Zafman LLP

Internal Address: \_\_\_\_\_

Street Address: 12400 Wilshire Boulevard, 7<sup>th</sup> Floor

City: Los Angeles State: California Zip: 90025

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41).....\$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit Account Number:

02-2666

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and believe, the foregoing is true and correct and any attached copy is a true copy of the original document*

Jordan M. Becker Reg. 39,602

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Assistant Commissioner of Patents, Box Assignments  
Washington, D.C. 20231

Atty Docket No. 03399P052

10/03/2001 LMUELLER 00000121 09872997

01 FC:581

40.00 DP

PATENT  
REEL: 012208 FRAME: 0420

No.: 03399P052 (For Execution After Filing Patent Application)

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, I  
the undersigned, Peter F. King

hereby sell, assign, and transfer to Openwave Systems Inc.  
a corporation of Delaware, having a principal place of business at  
1400 Seaport Boulevard, Office #W4152, Redwood City, California 94063, ("Assignee"),  
and its successors, assigns, and legal representatives, the entire right, title, and interest for the  
United States and all foreign countries, in and to any and all improvements that are disclosed in  
the application for the United States patent that was filed May 31, 2001 and assigned  
Application No. 09/872,997 and is entitled

METHOD OF ESTABLISHING A SECURE TUNNEL THROUGH A PROXY SERVER  
BETWEEN A USER DEVICE AND A SECURE SERVER

and in and to said application and all divisional applications, continuation applications,  
continued prosecution applications, continuation-in-part applications, substitute applications,  
renewal applications, reissue applications, reexaminations, extensions, and all other patent  
applications that have been or shall be filed in the United States and all foreign countries on  
any of said improvements; and in and to all original patents, reissued patents, reexamination  
certificates, and extensions that have been or shall be issued in the United States and all  
foreign countries on said improvements; and in and to all rights of priority resulting from the  
filing of said United States application;

agree that said Assignee may apply for and receive a patent or patents for said  
improvements in its own name; and that, when requested, without charge to, but at the  
expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in  
good faith the intent and purpose of this Assignment, the undersigned will execute all divisional  
applications, continuation applications, continued prosecution applications, continuation-in-part  
applications, substitute applications, renewal applications, reissue applications, reexaminations,  
extensions, and all other patent applications on any and all said improvements; execute all  
rightful oaths, assignments, powers of attorney, and other papers; communicate to said  
Assignee, its successors, assigns, and representatives all facts known to the undersigned  
relating to said improvements and the history thereof; and generally assist said Assignee, its  
successors, assigns, or representatives in securing and maintaining proper patent protection  
for said improvements and for vesting title to said improvements, and all applications for  
patents and all patents on said improvements, in said Assignee, its successors, assigns, and  
legal representatives; and

covenant with said Assignee, its successors, assigns, and legal representatives that no  
assignment, grant, mortgage, license, or other agreement affecting the rights and property  
herein conveyed has been made to others by the undersigned, and that full right to convey the  
same as herein expressed is possessed by the undersigned.

Each Inventor: Please Sign and Date Below:

Sept 18, 2001  
Date

Peter F. King  
Name: PETER F. KING

Each Inventor: Please  
also list the date that you  
signed the accompanying  
DECLARATION AND  
POWER OF ATTORNEY:

9/13, 2001  
Date

State of: California  
County of: San Mateo

Assignment Document Return Address:  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
12400 Wilshire Blvd., Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300

On this 18th day of September, 2001, before me, ELAINE KRAMER-ADAMS  
the undersigned Notary Public, personally appeared PETER F. KING  
☒ personally known to me ☐ proved to me on the basis of satisfactory evidence to be the  
person(s) whose name(s) IS subscribed to the within instrument, and acknowledged  
that HE executed it.

WITNESS my hand and official seal.

Elaine Kramer-Adams  
Notary's Signature

