

10-04-2001

DEPARTMENT OF COMMERCE  
Patent & Trademark Office

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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Brian James Clark**  
**William Jeffrey Heng**

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and Address of receiving party(ies):

Name: **AmerUs Life Insurance Company**

Address: **611 Fifth Avenue**

City: **Des Moines**

State: **Iowa**

Zip: **50309**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other: Declaration and Power of Attorney

Execution date(s) of each conveying party:  
September 4, 2001

4(a). Patent Application No.(s): 09/845,669

Patent No.(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: **Michael S. Pavento, Esq.**  
Address: **SUTHERLAND ASBILL & BRENNAN LLP**  
**999 Peachtree Street, NE**  
**Atlanta, Georgia 30309-3996**

6. Total number of applications and patents involved: **1**

7. Total fee (37 C.F.R. §3.41) enclosed: \$ **40.00**

**DO NOT USE THIS SPACE**

8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an addressed to: Box Assignments, Commissioner of Patents & Trademarks, Washington, DC 20231, on the date given below.

ATTORNEY NAME:

**Michael S. Pavento**  
Reg. No. 42,985

Total number of pages including  
cover sheet: 3

Date: 9/15/01

Attorney Docket No. **17698-0004**

Signature

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks  
Box Assignments  
Washington, DC 20231

# ASSIGNMENT

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WHEREAS, we, **Brian James Clark**, a citizen of the United States of America, residing at **13823 Lakeshore Drive, Clive, Iowa 50325**; and **William Jeffrey Heng**, a citizen of the United States of America, residing at **1931 Elm Circle, West Des Moines, Iowa 50265**, have invented certain inventions and improvements disclosed in an application for Letters Patent entitled “**Maximization of a Hedged Investment Budget for an Index-Linked Insurance Product**,” and filed in the United States Patent and Trademark Office on April 30, 2001 and assigned serial number 09/845,669; and

WHEREAS, **AmerUs Life Insurance Company**, a corporation of the State of Iowa, having a principal place of business at **611 Fifth Avenue, Des Moines, Iowa 50309**, is desirous of acquiring an interest in the same;

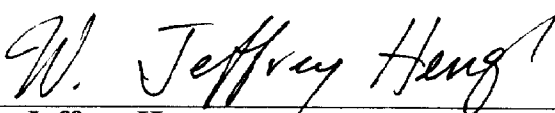
NOW, THEREFORE, for and in consideration of Five Dollars (\$5.00) and other good and valuable consideration, the receipt and sufficiency of which we hereby acknowledge, we, **Brian James Clark** and **William Jeffrey Heng**, by these presents do sell, assign and transfer unto **AmerUs Life Insurance Company**, the full, exclusive and entire right, title, and interest in and to said application, in and to any divisions, continuations, and reissues thereof, and in and to all inventions and improvements disclosed and described in said application, preparatory to obtaining Letters Patent of the United States therefor; and we hereby request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States resulting from said application, or from a division, continuation, or reissue thereof, to **AmerUs Life Insurance Company**, as the assignee, for its interest and for the sole use and benefit of **AmerUs Life Insurance Company** and its assigns and legal representatives;

For the same consideration, we, by these presents do sell, assign, and transfer to **AmerUs Life Insurance Company**, the full, exclusive, and entire right, title and interest in and to any foreign application or applications corresponding to said application, in whole or in part, in countries other than the United States, in and to any Letters Patent and similar protective rights granted on said foreign applications, and in and to the right to claim any applicable priority rights arising from or required for said foreign applications under the terms of any applicable conventions, treaties, statutes, or regulations; said foreign applications to be filed and issued in the name of **AmerUs Life Insurance Company**, or its designee insofar as permitted by applicable law.

AND, for the same consideration, we agree to sign all lawful papers, execute all division, continuing, reissue and other applications, make all assignments and rightful oaths, and generally do everything possible to aid **AmerUs Life Insurance Company**, its successors, assigns, and nominees, to obtain and enforce proper protection for all said inventions and improvements in all countries throughout the world.

  
\_\_\_\_\_  
**Brian James Clark**

Date: 9-4-2001

  
\_\_\_\_\_  
**William Jeffrey Heng**

Date: 9-4-2001

Docket No.: 26548-0007