

10-03-2001

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Our Ref.: 3673-10

Commissioner of Patents and Trademarks
Box Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kátia Ferreira Rodrigues HEERKLOTZ
Jörg Arno HEERKLOTZ
Christa WERNER

09/27/01

2. Name and address of receiving party(ies):

Name: Fundacao Oswaldo Cruz - FIOCRUZ

Internal Address: _____

Street Address: Avenida Brasil 4365;21045-900
Manguinhos

City: Rio de Janeiro

State/Country: Brazil RJ

Zip: _____

Additional name/s of conveying party/ies attached? ☐

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Assignment

☐ Change of Name

☐ Other

Execution Date: August 28, 2001

Additional name/s & address/es attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

August 28, 2001

A. Patent Application No(s).

(1) 09/918,717

(2) _____

(3) _____

B. Patent No(s).

(1) _____

(2) _____

(3) _____

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michelle N. Lester

Internal Address: _____

Street Address: Nixon & Vanderhye P.C.

1100 North Glebe Road

8th Floor

City: Arlington State: VA Zip: 22201

6. Total number of applications & patents involved: 1

7. Total fee (37 CFR 3.41)

\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our **Account No. 14-1140.**

DO NOT USE THIS SPACE

9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michelle N. Lester

Name of Person Signing

Reg. No. 32,331

Signature

September 27, 2001

Date

Total number of pages including original cover sheet, attachments, and document:

13

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PATENT
REEL: 012208 FRAME: 0933

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ASSIGNMENT OF PATENT APPLICATION

(Inventors) Kátia Ferreira Rodrigues HEERKLOTZ
Jörg Arno HEERKLOTZ
Christa WERNER

(Assignee) In consideration of the sum of one dollar (\$1.00) and other good and valuable
(Address) considerations paid to each of the undersigned, the undersigned agree(s) to assign,
and hereby does assign, transfer and set over to
Fundação Oswaldo Cruz – FIOCRUZ
(of Avenida Brasil 4365, 21045-900 Manguinhos, Rio de Janeiro, Brazil RJ
(hereinafter designated as the Assignee) the undersigned's entire right, title and
interest for the United States, its territories, dependencies and possessions in the
invention, and all applications for patent and any Letters Patent which may be granted
therefore, known as
(Title) EXTRACTS FROM THE FUNGUS GUIGNARDIA SP., THEIR USES IN
PHARMACEUTICAL COMPOSITIONS, NEW ISOLATE COMPOUND FROM THE
EXTRACT OF THE FUNGUS GIGNARDIA SP. AND ITS USE IN
PHARMACEUTICAL COMPOSITIONS (Case No. 3673-10)
for which the undersigned has (have) executed on even date herewith an application
for patent in the United States of America or, if not on even date, then has executed
(on or has already filed in
(U.S. appln. Serial No. , filed on August 1, 2001).
The undersigned acknowledges an obligation of assignment of this invention to said
assignee at the time the invention was made.

The undersigned agree(s) to execute all papers and documents necessary in connection with the application or any interference which may be declared and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient and further to perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the firm of NIXON & VANDERHYE P.C. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document. It is understood and agreed that ASSIGNEE'S attorneys Nixon & Vanderhye P.C. have represented only ASSIGNEE and will continue to represent only ASSIGNEE with respect to this invention.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned signature(s).

Date	<u>28 August 2001</u>	Signature of inventor	(<u><i>Katia Ferreira Rodrigues Heerklotz</i></u> Katia Ferreira Rodrigues HEERKLOTZ
Date	<u>28 August 2001</u>	Signature of inventor	(<u><i>Jörg Arno Heerklotz</i></u> Jörg Arno HEERKLOTZ
Date	<u>28 August 2001</u>	Signature of inventor	(<u><i>Christa Werner</i></u> Christa WERNER

Witnessed by: *[Signature]*

Date 28 August 2001

Witnessed by: *[Signature]*

Date 28 August 2001