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(Rev. 03/01)

REC

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

101866256

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ALSTOM POWER N.V.

9-2901

2. Name and address of receiving party(ies)

Name: ALSTOM (Switzerland) Ltd

Internal Address:

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other

Street Address: Haselstrasse 16

CH-5401 Baden, Switzerland

City: State: Zip:

Execution Date: September 25, 2001

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) (See Attached Schedule) Twelve (12) Applications 09/899008 etc...

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Russell W. Warnock

Internal Address:

ALSTOM Power Inc.

Street Address: 2000 Day Hill Road

City: Windsor State: CT Zip: 06095

6. Total number of applications and patents involved: 12

7. Total fee (37 CFR 3.41).....\$ 480.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

03-2578

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Russell W. Warnock

*Russell W. Warnock*

September 25, 2001

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments  
Washington, D. C. 20231

0/04/2001 6TON11 00000057 032578 09899008

01 FC:581 400.00 CH

PATENT  
REEL: 012212 FRAME: 0177

**SCHEDULE**

**Inventions**

<b><u>DOCKET NO.</u></b>	<b><u>CTRY.</u></b>	<b><u>APPLICATION NO.</u></b>	<b><u>APPLICATION DATE</u></b>
W01/002-0	US	09/899008	03 JL 2001
WC000320	US	09/769553	25 JA 2001
WC000390	US	09/769708	25 JA 2001
WC000400	US	09/950959	12 SE 2001
WC000410	US	09/934105	12 AU 2001
WC000650	US	09/898614	03 JL 2001
WC981110	US	09/735224	12 DE 2000
WC990120	US	09/790101	21 FE 2001
WC990130	US	09/790102	21 FE 2001
WC990420	US	09/852478	10 MY 2001
WC990480	US	09/740356	18 DE 2000
WC990530	US	09/643530	22 AU 2000

ASSIGNMENT

WHEREAS, ALSTOM POWER N.V., a Netherlands corporation, having a place of business at Hullenbergweg 393-395, 1101CS Amsterdam, The Netherlands, is the owner in the United States of America of the entire right, title and interest in and to the applications for patents listed in the Schedule attached hereto, and to the inventions disclosed therein.

WHEREAS, ALSTOM (Switzerland) Ltd, a joint stock company of Switzerland, having a place of business at Haselstrasse 16, CH-5401 Baden, Switzerland, is desirous of acquiring the entire right, title and interest in and to said applications for patents and to the inventions disclosed therein, including all rights of action and recovery for past infringement.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ALSTOM POWER N.V., does hereby sell, assign and transfer unto ALSTOM (Switzerland) Ltd, the entire right, title and interest in and to said applications for patents and to the inventions disclosed therein and including any reissues, additions, and extensions thereof together with all rights of action and recovery for past infringement thereof which are owned in whole or in part by ALSTOM POWER N.V., the same to be held and enjoyed by ALSTOM (Switzerland) Ltd, its successors and assigns or other legal representatives as fully and entirely as the same would have been held and enjoyed by ALSTOM POWER N.V. had this assignment not been made.

IN WITNESS, WHEREOF, ALSTOM POWER N.V. has caused this instrument to be executed by its duly authorized officer this 25 day of September, 2001.

ALSTOM POWER N.V.

By: Russell W. Warnock  
Russell W. Warnock

United States of America     )  
State of Connecticut         ) ss. Enfield  
County of Hartford         )

On this 25 day of September, 2001, before me, a notary public, personally appeared Russell W. Warnock to me known and known to me to be the person who signed the foregoing instrument as Attorney of ALSTOM POWER N.V., a Netherlands corporation of Hullenbergweg 393-395, 1101CS Amsterdam, The Netherlands). I further certify that said individual is duly authorized to sign the foregoing instrument in the name of said corporation.

SEAL

Janey Bruno  
Notary Public

**JANEY BRUNO**  
**NOTARY PUBLIC**  
MY COMMISSION EXPIRES APR. 30, 2006

Accepted this 25 day of September, 2001,

ALSTOM (Switzerland) Ltd

By: Arthur E. Fournier, Jr.  
Arthur E. Fournier, Jr.

United States of America     )  
State of Connecticut         ) ss. Enfield  
County of Hartford            )

On this 25 day of September, 2001, before me, a notary public, personally appeared Arthur E. Fournier, Jr. to me known and known to me to be the person who signed the foregoing instrument as Attorney of ALSTOM (Switzerland) Ltd, a joint stock company of Switzerland, having an office at Haselstrasse 16, CH-5401 Baden, Switzerland. I further certify that said individual is duly authorized to sign the foregoing instrument in the name of said corporation.

SEAL

Janey Bruno  
Notary Public

**JANEY BRUNO**  
**NOTARY PUBLIC**  
MY COMMISSION EXPIRES APR. 30, 2005