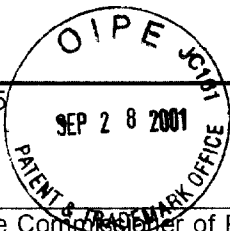
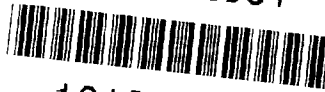


FORM PTO-1595

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101866017

DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Stephen T. Wellinghoff Hong Dixon

09/28/01

2. Name and address of receiving party(ies):

Name: Southwest Research InstituteAddress: 6220 Culebra RoadCity: San Antonio State: Texas ZIP: 78228-0510

Additional Name(s) of conveying party(ies) attached?

☐ Yes☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Additional name(s) &amp; address(es) attached?

☐ Yes☒ NoExecution Dates: March 9, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/692,690

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning documents should be mailed:

Name: Paula D. MorrisInternal Address: Paula D. Morris & Assoc., P.C.2925 Briarpark, Suite 930Mailing Address: SameCity: Houston State: Texas ZIP: 770426. Total number of applications and patents involved:  
[ 1 ]7. Total fee (37 CFR 1.21(h)): \$40.00

(Paid, See Attached)

☒ Enclosed☐ Charge Deposit Account8. Deposit account number: 50-0997(SWRI-2278-07)

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paula D. Morris

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: [ 3 ]

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PATENT  
REEL: 012213 FRAME: 0781

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>In Re Application of</b>	§	
Stephen T. Wellinghoff, et al	§	
	§	
<b>Serial No.:</b> 09/692,690	§	<b>Action:</b> Assignment
	§	
<b>Filed:</b> October 19, 2000	§	

**Title:** Composites Made Using Functionalized Nanoparticles

**TO: Commissioner of Patents  
and Trademarks  
Washington, D. C. 20231**

WHEREAS, we, Stephen T. Wellinghoff, a citizen of the United States, residing at 7718 Benbrook, San Antonio, Bexar County, Texas and Hong Dixon, a citizen of the United States, residing at 11417 Lago Vista, Helotes, Bexar County, Texas, together with Henry R. Rawls and Barry K. Norling, are joint inventors of new and useful improvements in Composites Made Using Functionalized Nanoparticles for which we have executed an application for Letters Patent which was filed on October 19, 2000; and WHEREAS, we represent that we are joint owners of said invention and application for Letters Patent; and,

WHEREAS, SOUTHWEST RESEARCH INSTITUTE, hereinafter called "ASSIGNEE," a Texas Corporation having its principal office at 6220 Culebra Road, San Antonio, Bexar County, Texas, is desirous of acquiring our right, title, and interest in and to the said application and the invention therein described and claimed and any Letters Patent that may be issued upon said application or for the invention therein contained;

NOW, THEREFORE, for and in consideration of the sum of Sixty-Five Dollars (\$65.00) lawful money of the United States, and other valuable consideration, to us in hand paid, the receipt and sufficiency whereof we hereby acknowledge, we, the said Stephen T. Wellinghoff and Hong Dixon have sold, assigned and transferred, and do hereby sell, assign and transfer unto the said ASSIGNEE, its successors and assigns, our entire right, title and interest in and to (a) said invention and worldwide rights therein, including the right to claim the priority date of said application and all other rights arising under the International Convention for the Protection of Industrial Property; (b) said application, including all divisions, continuations, continuations-in-part and substitutions

thereof; and (c) all United States and foreign patents which shall issue on said invention, including all reissues, renewals, divisions and extensions thereof, for the United States, its territories and possessions and all foreign countries, including the right to file applications for Letters Patent on said invention in any and all foreign countries, the same to be held and enjoyed by said ASSIGNEE, its assigns and successors, as fully and entirely as the same would have been held and enjoyed by us, had this Assignment and sale not been made.


We request that any and all such Letters Patent for said invention be issued to ASSIGNEE, its successors, assigns and legal representatives, or to such nominees as it may designate.

We covenant and agree that we and our executors or administrators will, at any time upon the request and at the expense of said ASSIGNEE, execute and deliver any and all papers and to perform all lawful acts that may be necessary or desirable, in the opinion of said ASSIGNEE, to enable and assist said ASSIGNEE to (a) obtain Letters Patent, both domestic and foreign, on said invention; (b) establish, maintain and secure title in said ASSIGNEE, its successors and assigns, to said invention, application and Letters Patent, including making such title of lawful and public record; and (c) defend, establish or otherwise preserve the validity of said Letters Patent against any and all infringers, and perform such other acts as are necessary to give full force and effect to this Assignment.

ASSIGNORS further covenant with ASSIGNEE that the rights and property herein conveyed are free and clear of any encumbrances, and that ASSIGNORS have the full right to convey the same as herein expressed.

IN TESTIMONY WHEREOF, we have fully executed this Assignment this 9 day of March, 2001.

  
\_\_\_\_\_  
Stephen T. Wellinghoff

  
\_\_\_\_\_  
Hong Dixon

STATE OF TEXAS       §  
                                 §  
COUNTY OF BEXAR   §

BEFORE ME, the undersigned authority, on this day personally appeared Stephen T. Wellinghoff known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN under my hand and seal of office this 9<sup>th</sup> day of March, 2001.

  
Notary Public, State of Texas

STATE OF TEXAS       §  
                                 §  
COUNTY OF BEXAR   §



BEFORE ME, the undersigned authority, on this day personally appeared Hong Dixon known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that she executed the same for the purposes and consideration therein expressed.

GIVEN under my hand and seal of office this 9<sup>th</sup> day of March, 2001.

  
Notary Public, State of Texas

