

10-01-01

10-05-2001

Docket No.: 42830-00236

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



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To the Honorable Commissioner of Patents

attached original documents or copy thereof.

1. Name of conveying party(ies):

Gary J. Rosenthal
Jeffrey B. Etter

09/28/01

2. Name and address of receiving party(ies):

Name: RxKinetix, Inc.

Internal Address: _____

Street Address: 1172 Century Drive, Suite 260

City: Louisville State: CO ZIP: 80027

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: September 17, 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/893,339

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ross E. Breyfogle, Esq.

Internal Address: MARSH FISCHMANN &
BREYFOGLE LLP

Street Address: 3151 S. Vaughn Way, Suite 411

10/04/2001 TDIAT1 00000141 09893339

01 FC+581

40.00 OP

City: Aurora State: CO ZIP: 80014

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☐ Authorized to be charged to deposit account

8. Deposit account number:

50-1419

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ross E. Breyfogle

Name of Person Signing

Signature

September 27, 2001

Date

Total number of pages including cover sheet, attachments, and document:

3

PATENT

REEL: 012214 FRAME: 0255

ASSIGNMENT

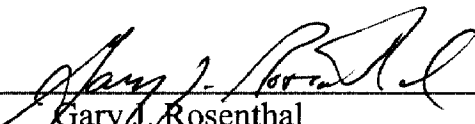
WHEREAS, we, Gary J. Rosenthal of 133 Cherrywood Lane, Louisville, Colorado, 80027 and Jeffrey B. Etter of 1318 Deer Trail Road, Boulder, Colorado, 80302 have invented a certain new and useful invention entitled "COMPOSITION FOR DELIVERY OF HEMATOPOIETIC GROWTH FACTOR," for which an application for Letters Patent of the United States has been prepared and filed on June 26, 2001, receiving Serial No. 09,893,339, and further identified as Attorney File No. 42830-00236;

NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, RxKinetix, Inc., a Delaware corporation having a place of business at 1172 Century Drive, Suite 260, Louisville, Colorado, 80027, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefor to a party other than RxKinetix, Inc. and we are under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

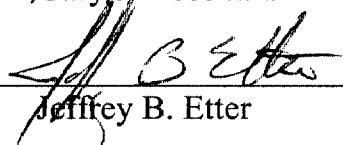
The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment to RxKinetix, Inc., its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

Date: 9/17/01

By: 
Gary J. Rosenthal

Date: 9/12/01

By: 
Jeffrey B. Etter

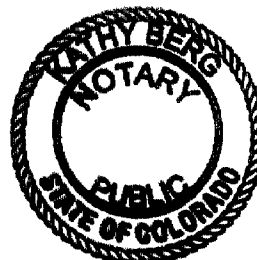
STATE OF COLORADO)
COUNTY OF Boulder) ss.

Before me, a Notary Public in and for said County and State, personally appeared Gary J. Rosenthal, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 17th day of September, 2001.

Kathy Berg
Notary Public

My Commission Expires: 3/31/05



STATE OF COLORADO)
COUNTY OF Boulder) ss.

Before me, a Notary Public in and for said County and State, personally appeared Jeffrey B. Etter, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 17th day of September, 2001.

Kathy Berg
Notary Public

My Commission Expires: 3/31/05

