

10-05-2001



FORM PTO-1595

RECO

U.S. DEPARTMENT OF COMMERCE

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
(1) Seong-jin KIM (2) Jae-hoon LEE

2. Name and Address of receiving party(ies):

SAMSUNG ELECTRONICS CO., LTD.
416 Maetan-dong, Paldal-gu
Suwon-city, Kyungki-do, Republic of Korea

3. Nature of conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution Date(s): September 28, 2001

4. Application number(s) or patent number(s):

☒ This document is being filed together with a new application:

(a) The execution date(s) of the application is/are: September 28, 2001

(b) The title is: MICRO-LENS BUILT-IN VERTICAL CAVITY SURFACE EMITTING LASER
OR

☐ This document is being filed after filing of the application:

(a) Patent Application No(s). / , filed ; or

(b) Patent No(s). , issued

5. Name and address of party to whom correspondence concerning document should be mailed:

STAAS & HALSEY LLP

Our Docket: 1293.1266

Attention: Michael D. Stein

700 Eleventh Street, N.W., Suite 500

Washington, D.C. 20001

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)..... (\$ 40.00 per Assignment)

☒ Enclosed

☐ Authorized to be charged to deposit account.

8. Deposit Account No.: 19-3935 (Any underpayment is authorized to be charged to this Deposit Account)
(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael D. Stein, Reg. No. 37,240

Name of Person Signing

Signature

September 28, 2001

Date

Total number of pages including cover sheet: 2

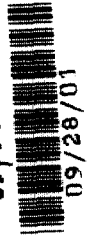
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PATENT
REEL: 012214 FRAME: 0581

U.S. ASSIGNMENT

544146

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid in the undersigned inventor(s) (hereinafter, "ASSIGNOR") by

(Insert Name(s) & Address(es) of ASSIGNEE(S))

SAMSUNG ELECTRONICS CO., LTD.

416 Maetan-dong, Paldal-gu, Suwon-city, Kyungki-do,
Republic of Korea

(hereinafter, "ASSIGNEE"), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest in the invention entitled

(Title of Invention)

MICRO-LENS BUILT-IN VERTICAL CAVITY SURFACE EMITTING LASER

relating to International Patent Application PCT/JP / and/or for which application for Letters Patent of the United States was executed on even date herewith or, if not so executed, was:

(a) executed on (Insert date of execution of application, if not concurrent)

(b) filed on
Serial No. /

Any registered attorney of STAAS & HALSEY, 700 Eleventh Street, N.W., Washington, D.C. 20001 (202/434-1500) is hereby authorized to insert in (b) the specified data, when known.

and to said application and all Letters Patent(s) of the United States granted on said application and any continuation, division, renewal, substitute, reissue or reexamination application based thereon, for the full term or terms for which the said Letters Patent(s) may be granted and including any extensions thereof (collectively, hereinafter, "said application(s) and Letters Patent(s)").

The ASSIGNOR agree(s), when requested by said ASSIGNEE and without charge to but at the expense of said ASSIGNEE, to do all acts which the ASSIGNEE may deem necessary, desirable or expedient, for securing, maintaining and enforcing protection for said invention, including in the preparation and prosecution of said application(s) and the issuance of said Letters Patent(s), in any interference, reissue, reexamination, or public use proceeding, and in any litigation or other legal proceeding which may arise or be declared in relation to same, such acts to include but not be limited to executing all papers, including separate assignments and declarations, taking all rightful oaths, providing sworn testimony, and obtaining and producing evidences.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

(Typed Name & Signature of Inventor(s))

(Date)

(Typed Name & Signature of Witness(es))

1) Seong-jin Kim
2) Jae-hoon Lee

28 Sep. 2001

28 Sep. 2001

PATENT

RECORDED: 09/28/2001

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