


Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002)		RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
Tab Settings ▶ ▶ ▶ ▼ ▼ ▼ ▼ ▼ ▼ ▼					
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.					
1. Name of conveying party(ies): Loh, John T. Stacey, Gary		2. Name and address of receiving party(ies) Name: <u>The University of Tennessee Research Corp.</u> Internal Address: _____ _____ Street Address: <u>1534 White Avenue, Suite 403</u> _____ City: <u>Knoxville</u> State: <u>TN</u> Zip: <u>37996</u>			
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____		Execution Date: <u>October 30, 2001</u>			
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____					
A. Patent Application No.(s) <u>09/909,735</u>		B. Patent No.(s) _____			
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Frank C. Eisenschenk, Ph.D.</u> Internal Address: <u>Saliwanchik, Lloyd & Saliwanchik</u> <u>A Professional Association</u> _____ Street Address: <u>2421 N.W. 41st Street, Suite A-1</u> _____ City: <u>Gainesville</u> State: <u>FL</u> Zip: <u>32606</u>		6. Total number of applications and patents involved: _____			
_____		7. Total fee (37 CFR 3.41) \$ <u>40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account			
_____		8. Deposit account number: _____ <u>19-0065</u> (Attach duplicate copy of this page if paying by deposit account)			
DO NOT USE THIS SPACE					
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i>					
_____ Name of Person Signing		 Signature		_____ Date	
Total number of pages including cover sheet, attachments, and documents: <u>7</u>		Atty Docket No. <u>UTR-103XC1</u>			

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington, D.C. 20231

Docket No. UTR-103XC1

ASSIGNMENT

WHEREAS, we, the undersigned, residing at the indicated addresses given below, respectively, have invented certain new and useful improvements in MATERIALS AND METHODS FOR THE ENHANCEMENT OF EFFECTIVE ROOT NODULATION IN LEGUMES, for which an application for United States Letters Patent was

- signed by us as dated below.
 filed July 20, 2001, 2001, as Serial No. 09/909,735.

WHEREAS, THE UNIVERSITY OF TENNESSEE RESEARCH CORPORATION, a corporation of the State of Tennessee, having a place of business at 1534 White Avenue, Suite 403, Knoxville, TN 37996-1527, is desirous of acquiring the entire right, title, and interest in and to said invention and in and to any Letters Patent which may be granted therefor in the United States and in any and all foreign countries;

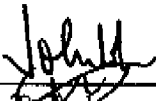
NOW, THEREFORE, in view of THE UNIVERSITY OF TENNESSEE RESEARCH CORPORATION's review and evaluation of my patent disclosure and other valuable consideration, receipt of which is hereby acknowledged, we, the undersigned, have sold, assigned, and transferred, and by these presents do sell, assign, and transfer, unto THE UNIVERSITY OF TENNESSEE RESEARCH CORPORATION, its successors and assigns, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title, and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, and extensions thereof.

We hereby authorize and request the Patent Office Officials in the United States and in any and all foreign countries to issue any and all of said Letters Patent, when granted, to THE UNIVERSITY OF TENNESSEE RESEARCH CORPORATION, as the assignees of the entire right, title, and interest in and to the same, for the sole use and behoof of THE UNIVERSITY OF TENNESSEE RESEARCH CORPORATION, its successors and assigns.

FURTHER, We agree that we will communicate to THE UNIVERSITY OF TENNESSEE RESEARCH CORPORATION, or its representatives, any facts known to us respecting said invention; testify in any legal proceedings; sign all lawful papers; execute all divisional, continuation, substitution, renewal, and reissue applications; execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to THE UNIVERSITY OF TENNESSEE RESEARCH CORPORATION; make all rightful oaths; and generally do everything possible to aid THE UNIVERSITY OF TENNESSEE RESEARCH CORPORATION, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and in any and all foreign countries.

Docket No. UTR-103XC1

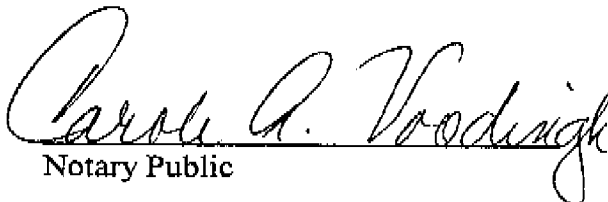
IN TESTIMONY WHEREOF, I have hereunto set my hand this 30th day of October, 2001.

Signed 
John F. Loh

Address: 1611 Laurel Avenue, #1017
Knoxville, Tennessee 37916

State of Tennessee)
)
County of Knox)

On this 30th day of October, 2001, personally appeared before me the above-named **John T. Loh**, to me known and known to me to be the person described in the foregoing instrument, who executed the foregoing instrument, and who severally acknowledged the same to be his free act and deed in and for the purposes set forth in said instrument.


Notary Public

My Commission Expires: **MY COMMISSION EXPIRES SEPTEMBER 12, 2004**

SEAL

Docket No. UTR-103XC1

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day of _____, 2001.

Signed _____

Address: Gary Stacey
5117 Ridgemont Drive
Knoxville, Tennessee 37918

State of Tennessee)
)
County of Knox)

On this ____ day of _____, 2001, personally appeared before me the above-named **Gary Stacey**, to me known and known to me to be the person described in the foregoing instrument, who executed the foregoing instrument, and who severally acknowledged the same to be his free act and deed in and for the purposes set forth in said instrument.

Notary Public

My Commission Expires:

SEAL

Docket No. UTR-103XC1

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- signed by us as dated below.
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NOW, THEREFORE, in view of THE UNIVERSITY OF TENNESSEE RESEARCH CORPORATION's review and evaluation of my patent disclosure and other valuable consideration, receipt of which is hereby acknowledged, we, the undersigned, have sold, assigned, and transferred, and by these presents do sell, assign, and transfer, unto THE UNIVERSITY OF TENNESSEE RESEARCH CORPORATION, its successors and assigns, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title, and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, and extensions thereof.

We hereby authorize and request the Patent Office Officials in the United States and in any and all foreign countries to issue any and all of said Letters Patent, when granted, to THE UNIVERSITY OF TENNESSEE RESEARCH CORPORATION, as the assignees of the entire right, title, and interest in and to the same, for the sole use and behoof of THE UNIVERSITY OF TENNESSEE RESEARCH CORPORATION, its successors and assigns.

FURTHER, We agree that we will communicate to THE UNIVERSITY OF TENNESSEE RESEARCH CORPORATION, or its representatives, any facts known to us respecting said invention; testify in any legal proceedings; sign all lawful papers; execute all divisional, continuation, substitution, renewal, and reissue applications; execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to THE UNIVERSITY OF TENNESSEE RESEARCH CORPORATION; make all rightful oaths; and generally do everything possible to aid THE UNIVERSITY OF TENNESSEE RESEARCH CORPORATION, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and in any and all foreign countries.

Docket No. UTR-103XC1

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day of _____, 2001.

Signed _____

John T. Loh
Address: 1611 Laurel Avenue, #1017
Knoxville, Tennessee 37916

State of Tennessee)
)
County of Knox)

On this ____ day of _____, 2001, personally appeared before me the above-named **John T. Loh**, to me known and known to me to be the person described in the foregoing instrument, who executed the foregoing instrument, and who severally acknowledged the same to be his free act and deed in and for the purposes set forth in said instrument.

Notary Public

My Commission Expires:

SEAL

Docket No. UTR-103XC1

IN TESTIMONY WHEREOF, I have hereunto set my hand this 30 day of Oct, 2001.

Signed *[Signature]*
Gary Stacey
Address: 5117 Ridgmont Drive
Knoxville, Tennessee 37918

State of Tennessee)
)
County of Knox)

On this 30th day of October, 2001, personally appeared before me the above-named **Gary Stacey**, to me known and known to me to be the person described in the foregoing instrument, who executed the foregoing instrument, and who severally acknowledged the same to be his free act and deed in and for the purposes set forth in said instrument.

[Signature]
Notary Public

My Commission Expires: **MY COMMISSION EXPIRES SEPTEMBER 12, 2004**

SEAL