

US010428



Form PTO 1595  
(Rev. 6-93)

101870057

U.S. Dept. of Commerce  
Patent and Trademark Office

To the Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ERIC SHEN

Additional name(s) of conveying party(ies) attached?

Yes

No

09/29/01

2. Name and address of receiving party(ies):

Name: Koninklijke Philips Electronics N.V.

Internal Address: \_\_\_\_\_

Street Address: Groenewoudseweg 1

5621 BA, Eindhoven, The Netherlands

Additional name(s) & address(es) attached?

Yes

No

3. Nature of conveyance:

Assignment

Merger

Security Agreement

Change of Terms

Execution Date: September 21, 2001

4. Application number(s) or patent number(s):

09/965064

If this document is being filed together with a new application, the execution date of the application, is:

September 21, 2001

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Philips Electronics North America Corporation

Internal Address: \_\_\_\_\_

Street Address: 580 White Plains Road

City: Tarrytown State: NY Zip: 10591

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41) ...\$40.00

Enclosed

Authorized to Deposit Account

10/14 U.S. PTO  
09/26/01  
09/26/01

10/05/2001 610M11

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8. Deposit Account Number: \_\_\_\_\_

(Attach duplicate copy of this page paying  
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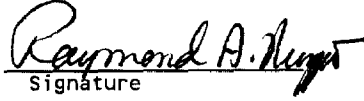
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Raymond A. Nuzzo

\_\_\_\_\_  
Name of Person Signing

  
\_\_\_\_\_  
Signature

September 26, 2001

\_\_\_\_\_  
Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner for Patents  
Box Assignments  
Washington, D.C. 20231

For good and valuable consideration, I, as a below-named Assignor, hereby sell, assign, and transfer the entire right, title, and interest in the following to Koninklijke Philips Electronics N.V. having a place of business at Groenewoudseweg 1, 5621 BA, Eindhoven, The Netherlands, its successors, assigns, and legal representatives, including any nominees (collectively "the Assignee"):

(1) my/our invention relating to ELECTRONIC BALLAST WITH LAMP RUN-UP CURRENT REGULATION as described in the U.S. patent application

executed concurrently herewith,

executed on \_\_\_\_\_,

filed in the United States Patent and Trademark Office

on \_\_\_\_\_ as Serial Number \_\_\_\_\_,

(2) the foregoing application and all other U.S. and foreign patent applications based thereon, including divisions, continuations, reissues, and extensions, and

(3) all patents granted on these applications.

I will provide my cooperation to enable the Assignee to enjoy the foregoing right, title, and interest to the fullest extent. Upon request at the expense of the Assignee, I agree to execute all papers, take all rightful oaths, testify in all legal proceedings including patent prosecutorial actions and infringement actions, and do all other such acts which may be necessary, desirable, or convenient for securing and maintaining patents on the foregoing invention or for perfecting title thereto in the Assignee. I authorize and request that these patents be issued to the Assignee.

I certify that I have the full right to convey the above rights.

9/21/01  
Date

Eric Shen, Assignor

Sworn and subscribed to before me  
this 21 day of September, 2001.

Jean C. Provenzano  
NOTARY PUBLIC

JEAN C. PROVENZANO  
NOTARY PUBLIC, State of New York  
No. 4609688  
Qualified in Westchester County  
Commission Expires May 31, 192003