

10-10-2001

Form PTO-1595
1-31-92

RECOR



EET

U.S. Department of Commerce
Patent and Trademark Office

101868477

To the Commissioner of Patents and Trademarks: **Please record the attached original documents or copy thereof.**

1. Name of conveying party(ies):

Yungmo Kang

Additional name(s) of conveying party(ies) attached?

Yes No

09/27/01

2. Name and address of receiving party(ies):

Capstone Turbine Corporation

Street Address:

21211 Nordhoff Street

City / State:

Chatsworth, California

Additional name(s) of receiving party(ies) attached?

Yes No

10997 U.S. PTO
09/966514
09/27/01

3. Nature of conveyance:

Assignment Security Agreement
 Merger Change of Name

Execution Date: August 17, 2001

4. Application number(s) or Patent Number(s):

If this document is being filed together with a new application, the execution date of the application is: 08/17/2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes No

09/966514

5. Name and address of party to whom correspondence concerning documents should be mailed:

Name: **Rachele Wittwer**
Address: **Irell & Manella LLP**
1800 Avenue of the Stars
Suite 900
City: **Los Angeles**
State: **CA**
Zip: **90067**

6. Total no. of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00
 Enclosed
 Authorized to charge to deposit account

8. Deposit account number: **09-0946**

DO NOT USE THIS SPACE

9. **Statement and signature:** To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert Pupa

Name of person signing

Signature

September 27, 2001

Date

Total number pages including cover sheet, attachments, and documents: 3

10/03/2001 STEUMEL1 00000004 09966514

05 FC:581

40.00 OP

ASSIGNMENT

This assignment is made by Yungmo Kang Assignor, to Capstone Turbine Corporation, Assignee, having a place of business at 21211 Nordhoff Street, Chatsworth, California 91311.

WHEREAS, Assignor has invented a new and useful invention entitled IMPROVED ANNULAR RECUPERATOR DESIGN for which an application for United States Letters Patent

- was filed on _____ and given Application No.
- is being filed herewith; and

WHEREAS, Assignor believes himself to be the original, first and sole inventor of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and of other good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee, the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights in which such applications are entitled under international conventions, treaties, or otherwise.

Further, Assignor agrees that, upon request and without further compensation, but at no expense to Assignor, his legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that he has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as to the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on this 17th day of August, 2001.

ASSIGNOR,

Yungmo Kang

Yungmo Kang

State of California)
County of Los Angeles) ss

On this 17th day of August, 2001, before me personally appeared Yungmo Kang, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person or the entity upon behalf of which the person acted executed the instrument.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year in this certificate first above written.

Debbie Bernard

Notary Public

