

10-10-2001

FORM PTO-1595

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U.S. DEPARTMENT OF COMMERCE

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Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1, Name of conveying party(ies):

AKIRA NONAKA
TADISHI EZAKI

Additional name(s) of conveying party(ies)
attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of

Name

☐ Other

Execution Date June 4 and 11, 2001

2. Name and address of receiving party(ies):

Name: Sony Corporation

Internal Address:

7-35, Kitashinagawa 6-chome

Shinagawa-ku, Tokyo 141, Japan

Street Address:

City:

State:

Zip:

Additional Name(s) & Address(es) attached ☐ Yes ☒ No

4. Application (number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

U.S. Serial No. 09/856,276 filed May 16, 2001

Attorney Docket No. 09798423-0006

B: Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom
correspondence concerning document should be
mailed:

SONNENSCHN NATH & ROSENTHAL

P.O. Box #061080

Wacker Drive Station

Sears Tower

Chicago, IL 60606-1080

Customer ID No. 26263

6. Total number of applications and patents involved

1

7. Total Fee (37 CFR 3.41) \$ 40.00

☒ Enclosed

☒ Authorized to be charged to deposit account any
Additional fees

8. Deposit Account Number:

19.3140

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David R. Metzger

Name of Person Signing

Signature

September 27, 2001

Date

Total number of pages 3

Mail documents to be recorded and required cover sheet information to:

Commissioner of Patents and Trademarks

Box Assignments

Washington, D.C. 20231+

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PATENT
REEL: 012223 FRAME: 0442

ASSIGNMENT

FOR a valuable consideration, the sufficiency and receipt of which is hereby acknowledged, the undersigned

Akira Nonaka
Tadashi Ezaki

whose mailing address(es) is/are:

c/o Sony Corporation
7-35, Kitashinagawa 6-chome
Shinagawa-ku, Tokyo 141, Japan

hereby sell(s), assign(s) and transfer(s) unto Sony Corporation, a corporation of Japan, having its principal office
at 7-35, Kitashinagawa 6-chome
Shinagawa-ku, Tokyo 141, Japan

the whole right, title and interest in and to a certain invention or improvement in

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disclosed in an application for Letters Patent of the United States, prepared by the firm of Sonnenschein, Nath & Rosenthal of Chicago, Illinois, and executed of even date herewith, said application being identified in the office records of said firm as Case No. 9798423-0006, and in and to the United States Letters Patent therefor, when issued, together with all improvements thereon and betterments thereof, all divisions, continuations and reissues thereof and substitutions of or for said application, and all rights and privileges under the Letters Patent that may be granted therefor, including the right to claim the benefit of an earlier filing date for the same invention in a foreign country.

I/We hereby authorize and request the Commissioner of Patents to issue the Letters Patent that may be granted for said invention or improvements to said Sony Corporation.

For the same consideration, I/we hereby agree that I/we will promptly communicate to the aforesaid assignee or its assigns full and complete information concerning said improvements or betterments of the inventions disclosed in said application, and will cooperate at any time upon request of said assignee or its assigns, at its expense, in the procurement of patent protection to cover the inventions herein assigned and to be assigned, including the execution of new, divisional, continuing and reissue applications; will make all rightful oaths, will testify in any proceedings in the United States Patent Office or in the Courts, and generally will do everything lawfully possible to aid said assignee, its successors, assigns and nominees to obtain, enjoy and enforce proper patent protection for the inventions embraced within the terms of this document.

DATE: June 11, 2001

Akira Nonaka
Akira Nonaka

DATE: _____

Tadashi Ezaki

ASSIGNMENT

FOR a valuable consideration, the sufficiency and receipt of which is hereby acknowledged, the undersigned

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Tadashi Ezaki

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7-35, Kitashinagawa 6-chome
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at 7-35, Kitashinagawa 6-chome
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I/We hereby authorize and request the Commissioner of Patents to issue the Letters Patent that may be granted for said invention or improvements to said Sony Corporation.

For the same consideration, I/we hereby agree that I/we will promptly communicate to the aforesaid assignee or its assigns full and complete information concerning said improvements or betterments of the inventions disclosed in said application, and will cooperate at any time upon request of said assignee or its assigns, at its expense, in the procurement of patent protection to cover the inventions herein assigned and to be assigned, including the execution of new, divisional, continuing and reissue applications; will make all rightful oaths, will testify in any proceedings in the United States Patent Office or in the Courts, and generally will do everything lawfully possible to aid said assignee, its successors, assigns and nominees to obtain, enjoy and enforce proper patent protection for the inventions embraced within the terms of this document.

DATE: _____

Akira Nonaka

DATE: June 4, 2001

Tadashi Ezaki
Tadashi Ezaki

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