

10-10-2001

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Docket No. GC631

101868220

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Peter Gualfetti, Colin Mitchinson, Jay Phillips

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: GENENCOR INTERNATIONAL, INC.

Address: 925 Page Mill Road  
Palo Alto, CA 94304-1013

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: November 22, 2000 and September 19, 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/632,570

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Victoria L. Boyd

Address: GENENCOR INTERNATIONAL, INC.  
925 Page Mill Road  
Palo Alto, California 94304-1013

## 6. Total number of applications and patents involved: 1

## 7. Total fee (34 CFR 3.41) ..... \$ 40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit Account number: 07-1048  
(Attach duplicate copy of this page if paying by deposit account.)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Victoria L. Boyd  
Name of Person Signing

Signature

September 27, 2001  
Date

Total number of pages comprising cover sheet: 4

10/09/2001 (AMENDED) 00000125 071048 09632570  
40 00 PMPATENT  
REEL: 012223 FRAME: 0527

## Assignment

WHEREAS, **Peter Gualfetti**, residing at **129 Arkansas Street, Apt. 4, San Francisco, CA 94107**, **Colin Mitchinson**, residing at **381 Myrtle Street, Half Moon Bay, CA 94019**, and **Jay Phillips** residing at **785 Barron Avenue, Palo Alto, CA 94306**, have invented a new and useful invention in and an application for United States Letters Patent was filed on August 4, 2000, United States Serial No. 09/632,570; and

WHEREAS, **Genencor International, Inc.**, a corporation organized and existing under and by virtue of the laws of the State of Delaware, having its principal place of business at 925 Page Mill Road, Palo Alto, CA 94304 is desirous of acquiring an interest in and to said invention, and in the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern:


That for good and valuable consideration the receipt of which is hereby acknowledged, the said inventors have and do hereby sell, assign, transfer and set over unto the said Genencor International, Inc., its successors and assigns, the full and exclusive right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but for, to and in all other countries, and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said Genencor International, Inc. in accordance with this Assignment.

Said Inventor/Inventors hereby covenant/covenants and agree/agrees to cooperate with Genencor International, Inc. to enable said Genencor International, Inc. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers and other assistance, all to the extent deemed necessary or desirable by said Genencor International, Inc. for (a) perfecting the right, title and interest herein conveyed, (b) prosecuting any of said applications, (c) filing and prosecuting applications for reissuance of any said patents, (d) interference or other priority proceedings involving said invention, and (e) legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions, provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Genencor International, Inc.

The terms and covenants of this Assignment shall inure to the benefit of said Genencor International, Inc., its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

Said Inventors hereby warrant and represent that they have not entered and will not enter into any assignment, contract or understanding in conflict herewith.

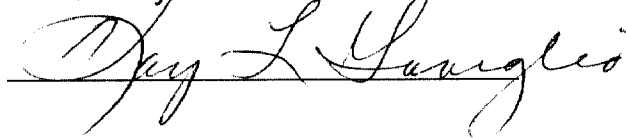
IN WITNESS WHEREOF I undersign as follows:

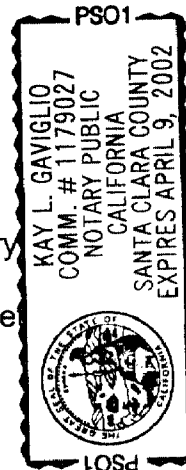
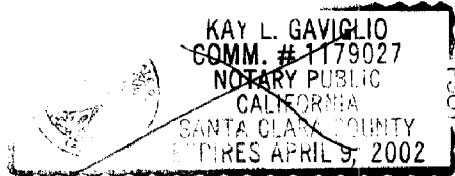
  
Peter Gualfetti


STATE OF CALIFORNIA )  
COUNTY OF SANTA CLARA )

On November, 2000, before me, Kay L. Gaviglio, Notary Public, personally appeared, Peter Gualfetti, personally known to me or proven to me on the basis of satisfactory evidence to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same in his authorized capacity for the purposes set forth therein.

Witness my hand and official seal.





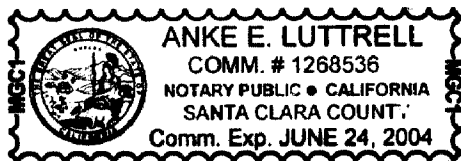
  
Colin Mitchinson

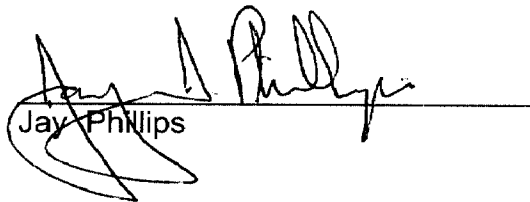
STATE OF CALIFORNIA )  
COUNTY OF SANTA CLARA )

On 22 November, 2000, before me, Anke E. Luttrell, Notary Public, personally appeared, Colin Mitchinson, personally known to me or proven to me on the basis of satisfactory evidence to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same in his authorized capacity for the purposes set forth therein.

Witness my hand and official seal.





  
Jay Phillips

STATE OF WASHINGTON )  
COUNTY OF KING )

On 19 SEPT., 2001, before me, TAMARA SCHMAUTZ, Notary Public,  
personally appeared, Jay Ian Phillips personally known to me or proven to me on the basis of  
satisfactory evidence to be the person whose name is subscribed to the foregoing instrument,  
and acknowledged to me that he executed the same in his authorized capacity for the purposes  
set forth therein.

Witness my hand and official seal.

