



To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kwong Hon WONG
Katherine SAENGERAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____Execution Date: September 11, 2001 (K.W.) and September 10, 2001 (K.S.)

2. Name and address of receiving party(ies)

Name: International Business Machines Corporation

Internal Address: _____

Street Address: New Orchard RoadCity: Armonk State: NY ZIP: 10504Additional name(s) & address(es) attached? ☐ Yes ☒ NoJ1040 U.S. PTO
09/966496
09/28/01

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

TBD

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Elsa KellerInternal Address: Infineon Technologies North America Corp.c/o Siemens CorporationIntellectual Property DepartmentStreet Address: 186 Wood Avenue SouthCity: Iselin State: NJ ZIP: 088306. Total number of applications and patents involved: 17. Total Fee (37 CFR 3.41) \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account No.

19-2179

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stanton C. Braden

Name of Person Signing

Reg. No. 32,556

[Signature]
SignatureSeptember 28, 2001

Date

Total number of pages including cover sheet, attachments, and document: 3

ASSIGNMENT**WHEREAS, we**

(1) Keith WONG
County of _____ of Wappingers Falls,
and State of New York

(2) Katherine SAENGER
County of _____ of Ossining,
and State of New York

have invented certain improvements in **METHODS FOR CRYSTALLIZING
METALLIC OXIDE DIELECTRIC FILMS AT LOW TEMPERATURE** and executed
a United States patent application therefor on:
(dates that Inventors signed the declaration)

(1) _____ (2) _____

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a
corporation of New York, having a place of business at Armonk, New York 10504,
(hereinafter called IBM), desires to acquire the entire, right, title and interest in the
said application and invention, and to any United States and foreign patents to be
obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby
acknowledged, I, the above named, hereby sell, assign and transfer to IBM, its
successors and assigns, the entire right, title and interest in the said application and
the invention therein disclosed for the United States and foreign countries, and all
rights of priority resulting from the filing of said United States application, and I
request the Commissioner of Patents to issue any Letters Patent granted upon the
invention set forth in said application to IBM, its successors and assigns; and I will
execute all papers necessary in connection with the United States and foreign
applications when called upon to do so by IBM.

Signed and sealed
(city and date)

(1) at East Fishkill, NY
on 9/11 2001

X Kwong Hon WONG
Kwong Hon WONG

Signed and sealed
(city and date)

(2) at East Fishkill, NY
on _____ 2001

Katherine SAENGER

PATENT

REEL: 012226 FRAME: 0508

ASSIGNMENT**WHEREAS, we**

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County of _____ of Wappingers Falls,
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obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby
acknowledged, I, the above named, hereby sell, assign and transfer to IBM, its
successors and assigns, the entire right, title and interest in the said application and
the invention therein disclosed for the United States and foreign countries, and all
rights of priority resulting from the filing of said United States application, and I
request the Commissioner of Patents to issue any Letters Patent granted upon the
invention set forth in said application to IBM, its successors and assigns; and I will
execute all papers necessary in connection with the United States and foreign
applications when called upon to do so by IBM.

Signed and sealed
(city and date)

(1) at East Fishkill, NY
on _____ 2001

Kwong Hon WONG

Signed and sealed
(city and date)

(2) at East Fishkill, NY
on 9/10 2001

X Katherine Saenger
Katherine SAENGER

PATENT**RECORDED: 09/28/2001****REEL: 012226 FRAME: 0509**