

10-11-2001



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Docket No.: WLJ.028CIP

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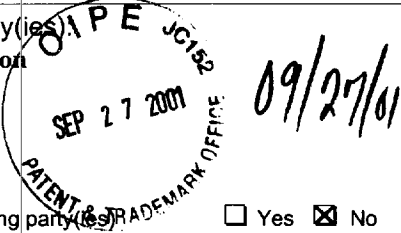
U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**BHARDWAJ, Jyoti, Kiron**  
**LEA, Leslie, Michael**



Additional names(s) of conveying party(ies) ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **SURFACE TECHNOLOGY SYSTEMS LIMITED**

Address: **IMPERIAL PARK**

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: **NEWPORT** State/Prov.: \_\_\_\_\_

Country: **UNITED KINGDOM** ZIP: **NP1 9UJ**

Execution Date: **AUGUST 3, 2001**

Additional name(s) & address(es) ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Patent Application No.

Filing date

B. Patent No.(s)

**09/884,460**

**06/20/2001**

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **ADAM C. VOLENTINE**

Registration No. **33289**

Address: **VOLENTINE FRANCOS, PLLC**

**12200 SUNRISE VALLEY DRIVE, SUITE 150**

**10/10/2001 6TOM11 00000151 500238 09884460**

**01 FC:581 40.00 CH**

City: **RESTON**

State/Prov.: **VA**

Country: **U.S.A.**

ZIP: **20191**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

**50-0238**

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**ADAM C. VOLENTINE**

Name of Person Signing

Signature

**SEPTEMBER 27, 2001**

Date

Total number of pages including cover sheet, attachments, and

**3**

**PATENT**  
**REEL: 012227 FRAME: 0343**

# ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned

Insert Name(s)  
of Inventor(s)

BHARDWAJ, Jyoti, Kiron

LEA, Leslie, Michael

and

Insert Name(s)  
of Assignee(s)

the undersigned hereby sell(s) and assign(s) to

SURFACE TECHNOLOGY SYSTEMS LIMITED

Address

of Imperial Park, Newport, NP1 9UJ

(hereinafter designated as the Assignee) the entire right, title and interest for the United States as defined in 35 USC 100, in the invention known as

Title of  
Invention

PLASMA PROCESSING APPARATUS

Date of Signing  
of Application

for which an application for patent in the United States of America has been executed by the undersigned

on 3RD AUGUST 2001

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division or reissue thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) to Adam C. Volentine, Reg. No. 33,289, and the firm of JONES VOLENTINE, P.L.L.C. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date \_\_\_\_\_, Name of Inventor J. Bhargava

Jyoti Kiron BHARDWAJ

Date 3RD AUGUST 2001, Name of Inventor L. M. Lea

Leslie Michael LEA

Date \_\_\_\_\_, Name of Inventor \_\_\_\_\_

Date \_\_\_\_\_, Name of Inventor \_\_\_\_\_

Date \_\_\_\_\_, Name of Inventor \_\_\_\_\_

(Note regarding Witnessing and/or Acknowledgment: Acknowledgment before a United States Consul is preferred but not required. If not acknowledged, then it is recommended that the execution by the Inventor(s) be witnessed by at least two witnesses who sign here. However, the current practice of the U.S. Patent and Trademark Office is to record an Assignment even if it has not been acknowledged and/or witnessed.)

Witness \_\_\_\_\_

Witness \_\_\_\_\_

### ACKNOWLEDGMENT

\_\_\_\_\_  
\_\_\_\_\_  
} ss

This \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_, before me personally came the above-named \_\_\_\_\_

to me personally known as the individual(s) who executed the foregoing assignment, who did acknowledge to me that he (they) executed the same of his (their) own free will for the purposes therein set forth.

\_\_\_\_\_  
Official Signature

SEAL

\_\_\_\_\_  
Official Title

\*\*\*\*\*

Applicant Reference No.: MJ/CS/STS.13 CIP Atty Docket No.: WLJ.028CIP

Serial No.: 09/884,460 Filing Date: JUNE 20, 2001