

10-11-2001



101871073

Docket No.: FIS9-2001-0228

T U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings → → →

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Amy W. Chow Michael J. Danke
Julie J. Pietrzak Larry L. Proctor
Edward L. Smierciak Terry K. Tullis

09/27/01

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Address: New Orchard Road

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

City: Armonk State/Prov.: NY

Country: USA ZIP: 10504

Execution Date: 8-18-01, 8-23-01, 8-25-01, 8-19-01,
8-18-01, 9-02-01

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.

Filing date

09/928,743

I HEREBY CERTIFY THAT THIS CORRESPONDENCE
BEING DEPOSITED WITH THE UNITED STATES
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DRESSED TO: COMMISSIONER OF PATENTS AND
MARKS, WASHINGTON, D.C. 20231, ON

DATE OF DEPOSIT

NAME OF PERSON MAKING DEPOSIT

Karen L. MARS

SIGNATURE AND DATE

Additional numbers attached? ☐ Yes ☒ No

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Frederick W. Gibb, III

Registration No. 37,629

Address: McGinn & Gibb, PLLC

8321 Old Courthouse Road

Suite 200

City: Vienna State/Prov.: VA

Country: USA ZIP: 22182

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0458 FI-549

10/10/2001 010111 00000135 090458 09928743

01 FC:501 40.00 CH

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jay H. Anderson 38,371

Name of Person Signing

Signature

24 Sept 01

Date

Total number of pages including cover sheet, attachments, and document: 13

PATENT

REEL: 012227 FRAME: 0403

ASSIGNMENT

Whereas, we

| | | |
|------------------------------|--------------------------------------------------------|--------------------------------------------------------|
| Inventor and City | (1) Amy W. Chow County of Fairfield | City of Norwalk and State of Connecticut |
| | (2) Michael J. Danke County of Harris | City of Houston and State of Texas |
| | (3) Julie J. Pietrzak County of Kings | City of Brooklyn and State of New York |
| | (4) Larry L. Proctor County of Dallas | City of Coppell and State of Texas |
| | (5) Edward L. Smierciak County of Fairfield | City of Danbury and State of Connecticut |
| | (6) Terry K. Tullis County of Orange | City of Aliso Viejo and State of California |

have invented certain improvements in

Title **HUB FOR STRATEGIC INTELLIGENCE**

Dates That **and have executed, respectively, a United States patent application therefor on**

| | | | | | |
|-------------------|------------|-------------|--------------|------------|----------------------------|
| Inventors | | | | | |
| Signed the | (1) | 8-18 | 2001, | (2) | 2001, (3) 2001, |
| | (4) | | 2001, | (5) | 2001, and (6) 2001. |

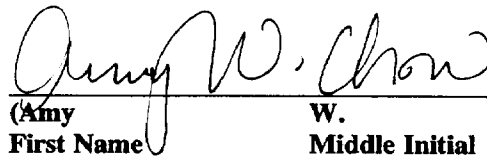
Declaration

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

City (1) Signed at Norwalk, CT.

Date on 8/18/01


(Amy W. Chow)
First Name Middle Initial Last Name

signature
inventor

City (2) Signed at

Date on

(Michael J. Danke)
First Name Middle Initial Last Name

signature
inventor

City (3) Signed at

Date on

(Julie P. Pietrzak)
First Name Middle Initial Last Name

signature
inventor

City (4) Signed at

Date on

(Larry L. Proctor)
First Name Middle Initial Last Name

signature
inventor

City (5) Signed at

Date on

(Edward L. Smierciak)
First Name Middle Initial Last Name

signature
inventor

City (6) Signed at

Date on

(Terry K. Tullis)
First Name Middle Initial Last Name

signature
inventor

ASSIGNMENT

Whereas, we

| | | |
|----------------------|------------------------------------------------|------------------------------------------------|
| Inventor and City | (1) Amy W. Chow County of Fairfield | City of Norwalk and State of Connecticut |
| | (2) Michael J. Danke County of Harris | City of Houston and State of Texas |
| | (3) Julie J. Pietrzak County of Kings | City of Brooklyn and State of New York |
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| | (5) Edward L. Smierciak County of Fairfield | City of Danbury and State of Connecticut |
| | (6) Terry K. Tullis County of Orange | City of Aliso Viejo and State of California |

have invented certain improvements in

Title **HUB FOR STRATEGIC INTELLIGENCE**

Dates That and have executed, respectively, a United States patent application therefor on

Inventors

Signed the (1) 2001, (2) *August 23,* 2001, (3) 2001,
 (4) 2001, (5) 2001, and (6) 2001.

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City (1) Signed at

Date on

(Amy W. Chow)
First Name Middle Initial Last Name

signature
inventor

City (2) Signed at

Date on

Houston, TX
August 23, 2001

(Michael J. Danke)
First Name Middle Initial Last Name

signature
inventor

City (3) Signed at

Date on

(Julie P. Pietrzak)
First Name Middle Initial Last Name

signature
inventor

City (4) Signed at

Date on

(Larry L. Proctor)
First Name Middle Initial Last Name

signature
inventor

City (5) Signed at

Date on

(Edward L. Smierciak)
First Name Middle Initial Last Name

signature
inventor

City (6) Signed at

Date on

(Terry K. Tullis)
First Name Middle Initial Last Name

signature
inventor

ASSIGNMENT

Whereas, we

Inventor
and City (1) Amy W. Chow
County of Fairfield

City of Norwalk
and State of Connecticut

(2) Michael J. Danke
County of Harris

City of Houston
and State of Texas

(3) Julie J. Pietrzak
County of ~~Kings~~

City of ~~Brooklyn~~
and State of ~~New York~~

(4) Larry L. Proctor
County of Dallas

City of Coppell
and State of Texas

(5) Edward L. Smierciak
County of Fairfield

City of Danbury
and State of Connecticut

(6) Terry K. Tullis
County of Orange

City of Aliso Viejo
and State of California

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Signed the (1) 2001, (2) 2001, (3) 8-25 2001,
(4) 2001, (5) 2001, and (6) 2001.

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City (1) Signed at

Date on

| | | | |
|--------------------|----------------------|--------------------|-----------------------|
| (Amy First Name | W. Middle Initial | Chow) Last Name | signature inventor |
|--------------------|----------------------|--------------------|-----------------------|

City (2) Signed at

Date on

| | | | |
|------------------------|----------------------|---------------------|-----------------------|
| (Michael First Name | J. Middle Initial | Danke) Last Name | signature inventor |
|------------------------|----------------------|---------------------|-----------------------|

City (3) Signed at Boulder, CO

Date on 25-Aug-2001

| | | | |
|----------------------|----------------------|------------------------|-----------------------|
| (Julie First Name | J. Middle Initial | Pietrzak) Last Name | signature inventor |
|----------------------|----------------------|------------------------|-----------------------|

JSP 25 Aug 01

City (4) Signed at

Date on

| | | | |
|----------------------|----------------------|-----------------------|-----------------------|
| (Larry First Name | L. Middle Initial | Proctor) Last Name | signature inventor |
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City (5) Signed at

Date on

| | | | |
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| (Edward First Name | L. Middle Initial | Smierciak) Last Name | signature inventor |
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City (6) Signed at

Date on

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|----------------------|----------------------|----------------------|-----------------------|
| (Terry First Name | K. Middle Initial | Tullis) Last Name | signature inventor |
|----------------------|----------------------|----------------------|-----------------------|

ASSIGNMENT

Whereas, we

| | | |
|----------------------|------------------------------------------------|------------------------------------------------|
| Inventor and City | (1) Amy W. Chow County of Fairfield | City of Norwalk and State of Connecticut |
| | (2) Michael J. Danke County of Harris | City of Houston and State of Texas |
| | (3) Julie J. Pietrzak County of Kings | City of Brooklyn and State of New York |
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Inventors

Signed the (1) 2001, (2) 2001, (3) 2001,
 (4) 8-19 2001, (5) 2001, and (6) 2001.

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City (1) Signed at

Date on

| | | |
|------------|----------------|-----------|
| (Amy | W. | Chow) |
| First Name | Middle Initial | Last Name |

signature
inventor

City (2) Signed at

Date on

| | | |
|------------|----------------|-----------|
| (Michael | J. | Danke) |
| First Name | Middle Initial | Last Name |

signature
inventor

City (3) Signed at

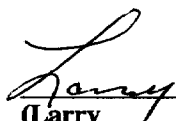
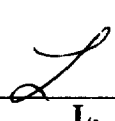
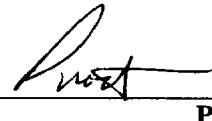
Date on

| | | |
|------------|----------------|-----------|
| (Julie | P. | Pietrzak) |
| First Name | Middle Initial | Last Name |

signature
inventor

City (4) Signed at Coppel1, TX

Date on 8-19-01

| | | |
|---------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|
|  (Larry |  L. |  Proctor) |
| First Name | Middle Initial | Last Name |

signature
inventor

City (5) Signed at

Date on

| | | |
|------------|----------------|------------|
| (Edward | L. | Smierciak) |
| First Name | Middle Initial | Last Name |

signature
inventor

City (6) Signed at

Date on

| | | |
|------------|----------------|-----------|
| (Terry | K. | Tullis) |
| First Name | Middle Initial | Last Name |

signature
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| (4) | 2001, | (5) | 8-18 | 2001, and (6) | 2001. |

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City (1) Signed at

Date on

(Amy
First Name

W.
Middle Initial

Chow)
Last Name

signature
inventor

City (2) Signed at

Date on

(Michael
First Name

J.
Middle Initial

Danke)
Last Name

signature
inventor

City (3) Signed at

Date on

(Julie
First Name

P.
Middle Initial

Pietrzak)
Last Name

signature
inventor

City (4) Signed at

Date on

(Larry
First Name

L.
Middle Initial

Proctor)
Last Name

signature
inventor

City (5) Signed at Danbury, CT

Date on 2001-08-18

(Edward
First Name

L.
Middle Initial

Smierciak)
Last Name

signature
inventor

City (6) Signed at

Date on

(Terry
First Name

K.
Middle Initial

Tullis)
Last Name

signature
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City (1) Signed at

Date on

(Amy
First Name

W.
Middle Initial

Chow)
Last Name

signature
inventor

City (2) Signed at

Date on

(Michael
First Name

J.
Middle Initial

Danke)
Last Name

signature
inventor

City (3) Signed at

Date on

(Julie
First Name

P.
Middle Initial

Pietrzak)
Last Name

signature
inventor

City (4) Signed at

Date on

(Larry
First Name

L.
Middle Initial

Proctor)
Last Name

signature
inventor

City (5) Signed at

Date on

(Edward
First Name

L.
Middle Initial

Smierciak)
Last Name

signature
inventor

City (6) Signed at
ALISO VIEJO, CA
Date on
9-2-01

(Terry
First Name

K.
Middle Initial

Tullis)
Last Name

signature
inventor