

10-12-2001



Docket No. RD-26471

FORM PTO 1595
1-31-92

101871898

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Phillip Randall Staver
William Taylor Lotshaw
Michael Francis X. Gigliotti, Jr.
Josef Robert Unternahrer

9,28-71
09965320

2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address: _____

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

Execution Date: Jul 6, 2001 Sep 12, 2001
Jun 26, 2001 Aug 9, 2001

Street Address: One River Road

City: Schenectady State: NY ZIP: 12345

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is Jul 6, 2001 Sep 12, 2001 Jun 26, 2001 Aug 9, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: General Electric Company
Internal Address: CRD Patent Docket Rm. 4A59
Bldg. K-1, Salamone
P.O. Box 8

Street Address: One Research Circle

City: Schenectady State: NY ZIP: 12301

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

07-0868

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Patrick K. Patnode
Name of Person Signing

Signature

Sept 12, 2001

Total number of pages including cover sheet, attachments and document 4

OMB No. 0651-0011 (exp 4/94)

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Commissioner of Patents and Trademarks
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Washington, D.C. 20231

10971 U.S. PTO
09/965320
09/28/01

FORM PTO 1595
1-31-92

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Robert Snee Gilmore
Thomas James Batzinger

92801
09965320

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address: _____

Street Address: One River Road

City: Schenectady State: NY ZIP: 12345

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

Execution Date: Jul 2, 2001 Jun 25, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application

i Jul 2, 2001 Jun 25, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes No

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Internal Address: CRD Patent Docket Rm. 4A59

Bldg. K-1, Salamone

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6. Total number of applications and patents involved:

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Enclosed

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8. Deposit account number:

07-0868

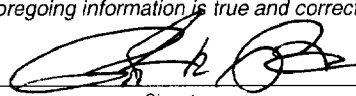
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Patrick K. Patnode

Name of Person Signing



Signature

Sep 25, 2001

Total number of pages including cover sheet, attachments and document 4

OMB No. 0651-0011 (exp 4/94)

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1c971 U.S. PTO
09/965320
09/28/01

ASSIGNMENT

Docket Number
RD-26471

In consideration of One Dollar and Other good and valuable consideration, of which I acknowledge receipt, I (we)

Phillip Randall Staver	of	Hagaman, New York
William Taylor Lotshaw	of	Niskayuna, New York Bethesda, Maryland
Michael Francis X Gigliotti, Jr.	of	Scotia, New York
Josef Robert Unternahrer	of	Niskayuna, New York LAFAYETTE, COLORADO
Robert Snee Gilmore	of	Charlton, New York
Thomas James Batzinger	of	Burnt Hills, New York
	of	
	of	
	of	
	of	
	of	
	of	
	of	
	of	
	of	
	of	

sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in:

LASER SHOCK PEENING METHOD AND APPARATUS

invented by me (us), as described in the application for United States patent

- executed concurrently herewith, or
- U.S. Serial No.: _____, filed _____

and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

July 6, 2001
Date

Phillip Randall Staver
Phillip Randall Staver

State of NEW YORK) SS:
County of _____)

BLANCHE M. DUMOULIN
Notary Public in the State of New York
Qualified in Albany Co.
01DU6037998
My Commission Expires Mar. 6, 2002

This Sixth day of July, 2001, before me personally came the

above-named Phillip Randall Staver to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

Blanche M. du Moulin
(Notary Public)

ASSIGNMENT

Docket Number
RD-26471

12 Sept 01

Date

William Taylor Lotshaw

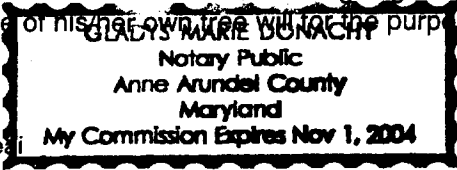
William Taylor Lotshaw

State of ~~NEW YORK~~ Maryland) SS:
Howard

County of ~~SCHENECTADY~~ SCHENECTADY X)

This 12th day of September, 2001, before me personally came

the above-named William Taylor Lotshaw to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.



Gladys Marie Donachy
(Notary Public)

26 June 01

Date

Michael Francis X Gigliotti, Jr.

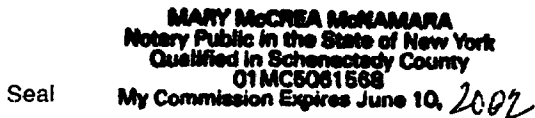
Michael Francis X Gigliotti, Jr.

State of NEW YORK) SS:

County of SCHENECTADY)

This 26th day of June, 2001, before me personally came

the above-named Michael Francis X Gigliotti, Jr. to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.



Mary McCreia McNamara
(Notary Public)

9 Aug 2001

Date

Josef Robert Unternahrer

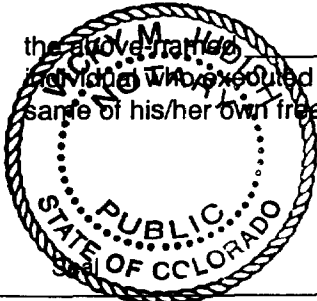
Josef Robert Unternahrer

State of ~~NEW YORK~~ Colorado) SS:

County of ~~SCHENECTADY~~ Boulder)

This 9 day of August, 2001, before me personally came

the above-named Josef Robert Unternahrer to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.



Vickie M. Judish
(Notary Public)

ASSIGNMENT

Docket Number

RD-26471

July-2-2001
Date

Robert Snee Gilmore
Robert Snee Gilmore

State of NEW YORK) SS:

County of SCHENECTADY)

This 2nd day of July, 2001, before me personally came the above-named Robert Snee Gilmore to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

MARY McCREA McNAMARA
Notary Public in the State of New York
Qualified in Schenectady County
01MC5061568
My Commission Expires June 10, 2002

Seal

Mary McCreA McNamara
(Notary Public)

6/25/2001
Date

Thomas James Batzinger
Thomas James Batzinger

State of NEW YORK) SS:

County of SCHENECTADY)

This 25th day of June, 2001, before me personally came the above-named Thomas James Batzinger to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

Kathy L Parks
(Notary Public)
KATHY L. PARKS
Notary Public in the State of New York
Residing in Schenectady County
My Commission Expires December 31, 2002

Date

State of _____) SS:

County of _____)

This _____ day of _____, 20____, before me personally came the above-named _____ to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)