

10-12-2001

FORM PTO-1595  
(Rev. 6-93)  
OMB No. 0651-0011 (exp 4/94)



101871809

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Hon. Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Jon E. Ness  
Mark Welch  
Lorraine J. Giver  
Joel R. Cherry  
Torben V. Borchert  
Willem P.C. Stemmer  
Jeremy Minshull

9-2801

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: Novozymes A/S  
Internal Address  
  
Street Address: Krogshoejvej 36  
DK-2880 Bagsvaerd, Denmark

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
  
☐ Security Agreement ☐ Change of Name  
  
☐ Other \_\_\_\_\_

Execution Date: August 21, 2001; August 22, 2001; August 27, 2001; August 28, 2001; September 10, 2001

Additional name(s) & address(es) attached? ☒ Yes ☐ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 09/824,893

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of the party to whom correspondence concerning document should be mailed:

Name: Elias J. Lambiris, Esq.

Internal Address:

Novozymes North America, Inc.

Street Address: 405 Lexington Avenue,  
Suite 6400

City: New York State: NY Zip 10174-6401

## 6. Total number of applications and patents involved: one

## 7. Total fee (37 CFR 3.41) .....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

## 8. Deposit account number

50-1701

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and any attached copy is a true copy of the original document.

Elias J. Lambiris

Name of person signing

Signature

September 26, 2001

Date

Total number of pages including cover sheet, attachment, document: 3

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PATENT  
REEL: 12229 FRAME: 0238

To the Hon. Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies) : -

Jon E. Ness

Mark Welch

Lorraine J. Giver

Joel R. Cherry

Torben V. Borchert

Willem P.C. Stemmer

Jeremy Minshull

Name and address of receiving party(ies):

Name: Maxygen, Inc.

Internal 515 Galveston Drive

Address: \_\_\_\_\_

Street Address: 515 Galveston Drive

City: Redwood City State California Zip 94063

**ASSIGNMENT OF APPLICATION FOR PATENT****WHEREAS:**

Jon E. Ness, residing at Sunnyvale, California 94089, USA;  
Mark Welch, residing at Fremont, California 94536, USA;  
Lorraine J. Giver, residing at Santa Clara, California 94051, USA;  
Joel R. Cherry, residing at Davis, California, USA  
Torben V. Borchert, residing at Birkerød, Denmark  
Willem P.C. Stemmer, residing at Loas Gatos, California, California 95030, USA;  
Jeremy Minshull, residing at Menlo Park, California 94025, USA

(hereinafter ASSIGNORS), have made a discovery or invention entitled:

Subtilisin Variants

for which an application for a United States Patent was filed on April 2, 2001, application number 09/824893.

**WHEREAS:**

Novozymes A/S of Krogshoejvej 36, DK-2880 Bagsvaerd, Denmark and Maxygen, Inc. 515 Galveston Drive Redwood City, California 94063, USA, (hereinafter ASSIGNEES), are desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollar (\$1), the receipt of which is acknowledged, and other good and valuable consideration, ASSIGNORS do sell, assign and transfer to ASSIGNEES the full and exclusive right to the said invention in the United States and in all foreign countries and the entire right, title and interest in and to any and all patents or similar legal protection which may be granted therefor in the United States and in all foreign countries from said application or any continuation, division, renewal, extension, substitute or reissue thereof or any legal equivalent thereof in a foreign country. We hereby authorize and request the Commissioner of Patents and Trademarks and any official of any foreign country whose duty it is to issue patents on applications as aforesaid, to issue said United States and foreign patents to ASSIGNEES, of the entire right, title and interest in and to same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said patents may be granted, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

Date:


Jon E. Ness

Date:

Mark Welch

Date:

Lorraine J. Giver

Date: 10 September 2001  
Joel R. CherryDate: AUGUST 21st, 2001  
Torben V. BorchertDate: AUG 28 2001  
Willem P.C. StemmerDate: 27 Aug 2001  
Jeremy Minshall