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09/890988

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08/08/01

CHAPTER II

IN THE UNITED STATES ELECTED OFFICE (EO/US)

PCT/PL00/00013

22 FEBRUARY 2000

5 MARCH 1999

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

UPSETTING DEVICE

TITLE OF INVENTION

10-15-2001

Tadeusz RUT

APPLICANT(S)



101872904

Box PCT

Assistant Commissioner for Patents

Washington, D.C. 20231

ATTENTION: EO/US

09/890988

COVER SHEET FOR ASSIGNMENT

IN THE UNITED STATES ELECTED OFFICE (EO/US)

FOR INTERNATIONAL APPLICATION ENTERING NATIONAL STAGE

IN U.S. DESIGNATED OFFICE (DO/US) UNDER 35 U.S.C. 371

IDENTIFICATION OF APPLICATION

(37 CFR 3.21 and 37 CFR 3.31(a)(4))

1. The patent application to which the attached assignment (document) refers, is identified as follows:

- a. Date of execution: JULY 19, 2001
- b. Name of each inventor:
 - 1: Tadeusz RUT
 - 2:
 - 3:

CERTIFICATION UNDER 37 C.F.R. 1.10*

(Express Mail label number is **mandatory**.)

(Express Mail certification is optional.)

I hereby certify that this Cover Sheet for Assignment (Document) Accompanying international Application Entering National Phase", along with any document referred to, is being deposited with the United States Postal Service on this date August 8, 2001, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL728214420US, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

MARIA MELIAN

(type or print name of person mailing paper)

Signature of person mailing paper

WARNING:

Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

WARNING:

Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Cover Sheet for Assignment In the United States Elected Office (EO/US) for international Application Entering National Stage under 35 USC 371--page 1 of 5) 13-21

PATENT
REEL: 012235 FRAME: 0334

c. Title of invention: UPSETTING DEVICE

NOTE: See 37 CFR 3.21.

NAME OF PARTY(IES) CONVEYING INTEREST
(37 CFR 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

Name 1: Tadeusz RUT

Name 2: _____

Name 3: _____

NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST
(37 CFR 3.31(a)(2))

3. The rights are being conveyed to:

Name: Instytut Obróbki Plastycznej

Address: ul. Jana Pawła II nr 14,
61-139 Poznań, PL

Telephone No.: ()

DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED
(37 CFR 3.31(a)(3))

4. The accompanying document intends to accomplish:

☒ an assignment.

☐ a security agreement.

☐ a license.

☐ a merger.

☐ a change of name.

☐ a change of address.

☐ other.

**NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE
SHOULD BE MAILED (37 CFR 3.31(a)(5))**

5. Please address correspondence to:

Name: WILLIAM R. EVANS
Address: c/o Ladas & Parry
26 West 61st Street
New York, New York 10023
Telephone No.: (212) 708-1930

DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 CFR 3.31(a)(7))

6. A. ☒ The attached assignment (document) was executed on July 19, 2001.
Date

OR *If there is more than one conveying party who executed on different dates, indicate the date of execution of the assignment document for each conveying party.*

B. ☐ The attached assignment was executed by _____
Name
on _____ .
Date

☐ The attached assignment was executed by _____
Name
on _____ .
Date

☐ The attached assignment was executed by _____
Name
on _____ .
Date

☐ The attached assignment was executed by _____
Name
on _____ .
Date

☐ The attached assignment was executed by _____
Name
on _____ .
Date

☐ The attached assignment was executed by _____
Name
on _____ .
Date

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

NOTE: The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation.. 37 CFR 3.26.

The attached document:

☒ is in the English language.

☐ is not in the English language. A verified English translation signed by the individual making the translation is attached.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8 1/2 x 14 inches) with a 2.5 cm. (one inch) margin on all sides." 37 CFR 3.24.

7. Submitted herewith is:

☒ the original document.

☐ a true copy of the original document.

NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76 at 67.

**NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET
AND THE FEE (37 CFR 3.31(a)(6))**

8. A. This cover sheet identifies only one application:

B. The fee for recordal (37 CFR 1.21(h)) is \$40.00.

☒ Attached is a check for \$40.00.

☐ Please charge Account _____ \$40.00.

☐ A duplicate of this cover sheet is attached.

STATEMENT (37 CFR 3.31(a)(9)) AND SIGNATURE (37 CFR 3.31(a)(10))

9. To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate or officer where a corporation's name appears on the document" Notice of June 24, 1992, 1140 O.G. 63-76, at 65.

Date: August 8, 2001

Name of party submitting document

Signature of party submitting document

(complete the following, if the party submitting the document is applicant's attorney)



SIGNATURE OF PRACTITIONER

WILLIAM R. EVANS

(type or print name of practitioner)

Reg. No. 25858

Tel. No.: (212) 708-1930

Customer No. 00140

P.O. Address

c/o Ladas & Parry
26 West 61st Street
New York, N.Y. 10023

TOTAL NUMBER OF PAGES BEING SUBMITTED

10. The total number of pages being submitted, including cover sheet attachment(s), and documents are:

8
Total number of pages submitted

For: ☐ U.S. and/or ☐ Foreign Rights
For: ☒ U.S. Application or
☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☒ PCT Application
By: ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Inventor(s) or person(s) or entity(ies)
who own the invention

(type or print name(s) of ASSIGNOR(S)) **Tadeusz RUT**

Address **ul. Za Cytadelą 33, 61-659 Poznań, PL**

Nationality **Polish**

*(if assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO, add the following)*

Recorded on _____ Reel
Frame

hereby sells, assigns and transfers to

ASSIGNEE:

Instytut Obróbki Plastycznej
(type or print name(s) of ASSIGNEE(S))

Address **ul. Jana Pawła II nr 14, 61-139 Poznań, PL**

Nationality **Polish**

and the successors, assigns and legal representatives of the ASSIGNEE

(Assignment of Invention - page 1 of 3) 16-3

**EXPRESS MAIL LABEL
NO.: EL 728214420 US**

**PATENT
REEL: 012235 FRAME: 0339**

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided _____ percent (_____ %) interest

for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☐ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled: "UPSETTING DEVICE"

Name of inventor(s) Tadeusz RUT

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. § 3.21)

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention.

☐ Express mail label no.:

Mailed:

- ☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.

- (d) ☐ U.S. application no. _____ filed on _____
(e) ☒ International application no. PCT /PL 00/ 00013 filed on February 22, 2000
(f) ☐ U.S. patent no. _____ issued

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

- (g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: *The date of signing must be the same as the date of execution of the application, if item (a) was checked above.*

Date: 13 July 2001

Tadeusz Rut
Signature of ASSIGNOR(S)

Date: _____

Date: _____

Date: _____

(if ASSIGNOR is a legal entity, complete the following information)

*(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)*

Title

NOTE: *No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.*

[] Notarization or Legalization Page Added.

(Assignment of Invention—page 3 of 3) 16-3