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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office
Docket No. 2433-0016
DRS/sas October 9, 2001

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Yu-Ping CHU and Yu-Wen CHU

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: September 25, 2001

2. Name and address of receiving party(ies)

Name: ProMOS Technologies Inc.

Internal Address: 3F, No. 19, Li-Hsin Road,

Science-Based Industrial Park, Hsinchu, Taiwan

Street Address: _____

City: _____ State: _____ Zip: _____

Country: _____ Postal Code: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

September 25, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Attorney Name: Donald R. Studebaker

Firm Name: Nixon Peabody LLP

Internal Address: Suite 800

Street Address: 8180 Greensboro Drive,

City: McLean State: VA Zip: 22102

7. Total number of applications and patents involved: _____

8. Total fee (37 CFR 3.41)\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

6. Submission Type

- ☒ New
☐ Resubmission (Non-Recordation)
Document ID# _____
☐ Correction of PTO Error
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19-2380(2433-0016)

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10. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donald R. Studebaker #32,815

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: 03

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on _____

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PATENT
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ASSIGNMENT

WHEREAS, (1) Chu, Yu-Ping; (2) Chu, Yu-Wen

(hereinafter designated as the undersigned) has (have) invented certain new and useful
improvements in

ANISOTROPIC FORMATION PROCESS OF OXIDE LAYERS FOR VERTICAL
TRANSISTORS

for which an application for Letters Patent of the United States of America was filed
on _____, and assigned Serial No. _____, and;

WHEREAS, ProMOS Technologies Inc. of

3F, No. 19, Li-Hsin Rd., Science-Based Industrial Park, Hsinchu, Taiwan

its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is
desirous of acquiring the entire right, title and interest in and to said invention and in and to any
Letters Patent(s) that may be granted therefor in the United States of America;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to the
undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and
valuable consideration, the undersigned has (have) sold, assigned and transferred, and by these
presents do sell, assign and transfer unto said Assignee the full and exclusive right to the said
invention in the United States of America and its territories and for all foreign countries,
dependencies and possessions and the entire right, title and interest in and to any and all Letters
Patent(s) which may be granted therefor in the United States of America and its territories,
dependencies and possessions, and in and to any and all divisions, reissues, continuations and
extensions thereof for the full term or terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this
application and any continuing, divisional or reissue applications thereof and also to execute
separate assignments in connection with such applications as the Assignee may deem necessary
or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any
interference which may be declared concerning this application or any continuation, division or

reissue thereof or Letters Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims under or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue any and all Letters Patents of the United States of America resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of Nixon Peabody LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

In witness thereof, this Assignment has been executed by the undersigned on the date(s) opposite the undersigned name(s).

Date 09/25/2001, Name of Inventor Chu, Yu-Ping (SEAL)

Date 09/25/2001, Name of Inventor Chu, Yu-Wen (SEAL)