

10-16-2001



101875396

Docket No: 55821 (71699)

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

COVER SHEET  
ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Signe VARNER  
Eugene DEJUAN, Jr.  
Terry SHELLEY

10-7-01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Johns Hopkins University

Address: 111 MarketPlace, Suite 906  
Baltimore, Maryland 21202

City: State: Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: 9/27/01, 9/27/01, 10/2/01, respectively

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/888,079

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Peter F. Corless

Street Address: Edwards & Angell, LLP  
P.O. Box 9169

City: Boston State: MA Zip: 02209

09/15/2001 DBYRNE 00000170 09888079

01 FC:581

40.00

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Lisa Swiszc Hazzard (Reg. 44,368)  
Name of Person Signing

Signature

Date

Oct. 4, 2001

Total number of pages including cover sheet, attachments, and documents: ☐

**ASSIGNMENT**

KNOW ALL MEN BY THESE PRESENTS, THAT

WHEREAS, We, Signe Varner, Eugene DeJuan, Jr. and Terry Shelley, (hereinafter designated as the "Assignors"), having invented certain improvements in METHOD AND DEVICE FOR SUBRETINAL DRUG DELIVERY, for which application Serial No. 09/880,079 has been filed in the United States Patent and Trademark Office on June 22, 2001 (the "Invention");

WHEREAS, Johns Hopkins University, located at 111 Market Place, Suite 906 Baltimore, Maryland 21202, (hereinafter referred to as the "Assignee"), is desirous of acquiring the entire right, title and interest in and to the same invention, and in and to the said application, and any Letters Patent that may issue thereon;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and in consideration of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, and transferred, and do hereby sell, assign and transfer to the Assignee, its successors and assigns, their entire right, title and interest for the United States in and to the said invention and in and to the said application and all patents which may be granted therefore, and all divisions, reissues, substitutions, continuations, in whole or in part, re-examinations, and extensions thereof, and all applications claiming priority therefrom; and the Assignors hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as their interest is concerned, to the Assignee; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for which any and all of said Letters Patent for said invention may issue, to the same extent as the Assignors would hold and enjoy if this Assignment had not been made.

The Assignors also have sold, assigned, and transferred, and do hereby sell, assign and transfer to the Assignee, its successors and assigns, their entire right, title and interest in and to the invention disclosed in said application, in all countries of the world foreign to the United States, including the right to file applications and obtain patents for said invention in its own name in said countries and including all rights of priority in said countries under the terms of any applicable international convention; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for which any and all of said Letters Patent for said invention may issue, to the same extent as the Assignors would hold and enjoy if this Assignment had not been made.

The Assignors further agree to execute any and all patent applications, assignments, affidavits, and any other papers in connection therewith necessary to perfect such patent rights, and also agree, at the request of the Assignee, to testify in any legal proceedings, sign all lawful papers, make all lawful oaths, and generally do everything possible to aid said Assignee, its successors and assigns, to obtain, maintain and enforce patent protection for said invention in all countries.

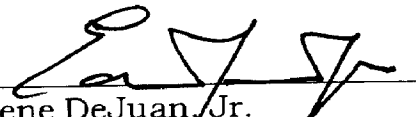
The Assignors also hereby grant the law firm of Dike, Bronstein, Roberts & Cushman, Intellectual Property Group of EDWARDS & ANGELL LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this documents.

IN WITNESS WHEREOF, the Assignors have caused this Assignment to be executed.

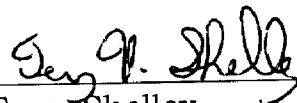
Dated this 27<sup>th</sup> day of September, 2001.

  
Signe Varner

Dated this 27 day of Sept, 2001.

  
Eugene DeJuan, Jr.

Dated this 2 day of Oct, 2001.

  
Terry Shelley

CERTIFICATE

State of ~~Maryland~~ CALIFORNIA  
 County of ~~Baltimore~~ LOS ANGELES

Personally appeared before me the above-named Signe Varner, who signed the foregoing Assignment in my presence on the date thereof and acknowledged the same to be her voluntary act and deed.



Jean Cadette  
 NOTARY PUBLIC  
 My Commissioner Expires: APRIL 7, 2004

State of ~~Maryland~~ CALIFORNIA  
 County of ~~Baltimore~~ LOS ANGELES

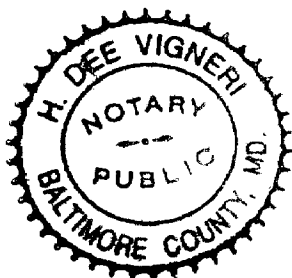
Personally appeared before me the above-named Eugene DeJuan, Jr., who signed the foregoing Assignment in my presence on the date thereof and acknowledged the same to be his voluntary act and deed.



Jean Cadette  
 NOTARY PUBLIC  
 My Commissioner Expires: APRIL 7, 2004

State of Maryland                     )  
 County of Baltimore                )

Personally appeared before me the above-named Terry Shelley, who signed the foregoing Assignment in my presence on the date thereof and acknowledged the same to be his voluntary act and deed.



H. Dee Vigneri  
 NOTARY PUBLIC  
 My Commissioner Expires: 10-01-05

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